

On K^* Search for Top- k Planning

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Abstract

Finding multiple high-quality plans is essential in many planning applications, and top- k planning asks for finding the k best plans, naturally extending cost-optimal classical planning. Several attempts have been made to formulate top- k classical planning as a k -shortest paths finding problem and apply K^* search, which alternates between A^* and Eppstein’s algorithm. However, earlier work had shortcomings, among which were failing to handle inconsistent heuristics and degraded performance in Eppstein’s algorithm implementations. As a result, existing evaluation results severely underrate the performance of the K^* based approach to top- k planning. In this paper, we present a new top- k planner based on a novel variant of K^* search. We address the following three aspects. First, we show an alternative implementation of Eppstein’s algorithm for classical planning, which resolves a major bottleneck in earlier attempts. Second, we present a new strategy for alternating A^* and Eppstein’s algorithm, that improves the performance of K^* on the classical planning benchmarks. Last, we introduce a simple mitigation of the limitation of K^* to tasks with a single goal state, allowing us to preserve heuristic informativeness in face of imposed task reformulation. Empirical evaluation results show that the proposed approach achieves the state-of-the-art performance on the classical planning benchmarks. The code is available at <https://github.com/IBM/kstar>.

Introduction

Enumerating the k -best solutions is desirable for designing many optimization algorithms and it is essential for various real-world applications (Eppstein 2014). Top- k planning (Riabov, Sohrabi, and Udrea 2014; Katz et al. 2018) enumerates the k best plans in cost optimal classical planning problems, and top- k planners have been deployed in many planning applications such as hypothesis generation (Sohrabi, Riabov, and Udrea 2016), scenario planning (Sohrabi et al. 2018), and machine learning pipeline generation (Katz et al. 2020), to name a few. To date, top- k planners implement one of the following three approaches: (1) adapting K^* (Aljazzar and Leue 2011) for classical planning (Riabov, Sohrabi, and Udrea 2014; Katz et al. 2018), (2) iteratively reformulating the input task to forbid found plans (FI) (Riabov, Sohrabi, and Udrea 2014; Katz et al. 2018), or (3) extending symbolic

search (SymK) (Speck, Mattmüller, and Nebel 2020). In this paper, we focus on top- k planning with K^* search which finds k shortest *non-simple* paths from a source node to a terminal node in a search graph by alternating between A^* (Hart, Nilsson, and Raphael 1968) for exploring the search graph G_{A^*} and Eppstein’s k shortest paths algorithm (EA) (Eppstein 1998) for enumerating k shortest non-simple paths in G_{A^*} . The merit of EA is in its asymptotic time complexity: $O(n \log n + m + k)$, where n is the number of nodes and m is the number of edges in a search graph, namely constant time overhead per path compared to finding a single shortest path. However, such a remarkable performance is achievable only after using complicated heap-based data structures, often limiting broader usage. This makes simpler alternatives, such as m -best search (Dechter, Flerova, and Marinescu 2012) appealing in complex search spaces. In practice, Jiménez and Marzal (1999) reported that EA may spend a significant amount of time for initializing necessary data structures, and Jiménez and Marzal (2003) improved the running time by building them in a lazy manner. The intensive memory requirement for storing all explored edges, as well as all nodes, is another shortcoming which K^* (Aljazzar and Leue 2011) alleviates by expanding search graphs on the fly using A^* .

In classical top- k planning, Riabov, Sohrabi, and Udrea (2014) implemented a blind K^* search in the $SPPL$ planner (Riabov and Liu 2005). Later, Katz et al. (2018) implemented another variant of K^* based top- k planner that supports consistent heuristics in the `Fast Downward` planning system (Helmert 2006a). When we examine earlier evaluation results, we see that existing K^* based top- k planners could not utilize inconsistent heuristics such as `LM-cut` (Helmert and Domshlak 2009), which are known to be among the most informative and best-performing ones. In addition, Katz et al. (2018) reported non-anytime behavior of K^* based planners, namely, finding all request k plans within a short time or failing to find any. Further, Speck, Mattmüller, and Nebel (2020) reported that the performance improvement of K^* based planners due to `iPDB` heuristics (Haslum et al. 2007) compared with the blind heuristics was less than two percents in coverage. Although evaluation results may vary depending on the evaluation settings and benchmark sets used, the existing results clearly motivate revisiting K^* search for top- k planning. In this paper,

we address the following three aspects:

- an alternative implementation of lazy EA using linked lists to utilize pointer-based operations,
- a new switching strategy between A^* and EA that reflects the in-depth evaluation of various combinations of hyperparameters that trigger switching between the two,
- a simple method that preserves heuristics informativeness despite the need to reformulate the input classical planning task as a single-goal state task.

We implemented a new K^* based top- k planner in the Fast Downward planning system release 20.6 (Helmert 2006a), and evaluated on all benchmarks from optimal tracks of International Planning Competitions 1998–2018 along with state-of-the-art top- k planners, FI (Katz et al. 2018) and SymK (Speck, Geiser, and Mattmüller 2020). The evaluation results show that the K^* based approach was indeed underrated in the earlier evaluations, and we see that the K^* based approach performs better than FI and SymK.

Background

This section introduces top- k planning and necessary notations and basic concepts in K^* search for top- k planning.

Top- k Classical Planning

A *planning task* $\Pi = \langle \mathcal{V}, \mathcal{O}, s_0, s_* \rangle$ in SAS⁺ formalism (Bäckström and Nebel 1995) consists of a finite set of finite-domain *state variables* \mathcal{V} , a finite set of *actions* \mathcal{O} , an *initial state* s_0 , and the *goal* s_* . Each variable $v \in \mathcal{V}$ is associated with a finite domain $dom(v)$ of values. An assignment of a value $d \in dom(v)$ to a variable $v \in \mathcal{V}$ denoted by a pair $\langle v, d \rangle$ is called *fact*, and the set of all facts is denoted by F . A *partial assignment* p maps a subset of variables $vars(p) \subseteq \mathcal{V}$ to values in their domains. For a variable $v \in \mathcal{V}$ and a partial assignment p , the value of v in p is denoted by $p[v]$ if $v \in vars(p)$ and we say $p[v]$ is *undefined* otherwise. A full assignment s is called a *state*, and the set of all states is denoted by \mathcal{S} . State s is *consistent* with a partial assignment p if they agree on all variables in $vars(p)$, denoted by $p \subseteq s$. Each action o in \mathcal{O} is a pair $\langle pre(o), eff(o) \rangle$, where $pre(o)$ and $eff(o)$ are partial assignments called *pre-condition* and *effect*, respectively. Furthermore, o has an associated non-negative cost denoted by $C(o) \in \mathbb{R}^{0+}$. An action o is applicable in state s if $pre(o) \subseteq s$. Applying o in s results in a state denoted by $s[o]$, where $s[o][v] = eff(o)[v]$ for all $v \in vars(eff)$, and $s[o][v] = s[v]$ for all other variables. An action sequence $\pi = \langle o_1, \dots, o_n \rangle$ is applicable in state s if there are states $\langle s_0, \dots, s_n \rangle$ such that o_i is applicable in s_{i-1} and $s_{i-1}[o_i] = s_i$ for $0 \leq i \leq n$. We denote s_n by $s[\pi]$. An action sequence with $s_* \subseteq s_0[\pi]$ is called a *plan*. The cost of a plan π , denoted by $C(\pi)$ is the sum of the costs of the actions in the plan. The set of all plans is denoted by \mathcal{P}_Π , and an *optimal plan* is a plan in \mathcal{P}_Π with the minimum cost. Next, we present the *top- k planning problem*, as defined by Sohrabi et al.; Katz et al. (2016; 2018).

Definition 1 (top- k planning problem) *Given a classical planning task Π and a natural number k , top- k planning problem is the task of finding a set of plans $P \subseteq \mathcal{P}_\Pi$ that satisfy the following properties:*

- For all plans $\pi \in P$, if there exists a plan $\pi' \in \mathcal{P}_\Pi$ such that $C(\pi') < C(\pi)$, then $\pi' \in P$,
- $|P| \leq k$, and if $|P| < k$, then $P = \mathcal{P}_\Pi$.

We say a top- k planning problem $\langle \Pi, k \rangle$ is solvable if $|P| = k$ and unsolvable if $|P| < k$. Note that cost-optimal planning is a special case of top- k planning for $k = 1$.

Single Goal State Reformulation One of the limitations of K^* that has not been discussed in the planning literature is its restriction to graphs with a single terminal node. In classical planning, tasks can have many goal states if the goal s_* is a partial assignment. In such cases, we can reformulate Π into a planning task with a single goal state. Katz et al. (2018) showed such a reformulated task as $\Pi^g = \langle \mathcal{V}^g, \mathcal{O}^g, s_0^g, s_*^g \rangle$, where $\mathcal{V}^g = \mathcal{V} \cup \{v_g\}$ with a binary indicator variable v_g for reaching a goal state, $\mathcal{O}^g = \{ \langle pre(o) \cup \langle v_g, 0 \rangle, eff(o) \rangle \mid o \in \mathcal{O} \} \cup \{o_g\}$ with a goal-achieving action o_g such that $pre(o_g) = s_* \cup \{ \langle v_g, 0 \rangle \}$ and $eff(o_g) = \{ \langle v_i, t[v_i] \rangle \mid v_i \in vars(t) \} \cup \{ \langle v_g, 1 \rangle \}$ for an arbitrary full state t , $s_0^g = s_0 \cup \{ \langle v_g, 0 \rangle \}$, and $s_*^g = eff(o_g)$.

In words, the auxiliary goal-achieving zero-cost action can be applied only once upon reaching the original goal, changing the state to the new goal state. After that, no action is applicable in the new goal state, and therefore there is a one-to-one correspondence between the plans of Π and those of Π^g . It is worth noting that such task transformations indeed impact the quality of domain-independent heuristics. In the rest of the paper, we will assume that all planning tasks are reformulated as a single goal-state task and drop superscript g in the notation if it is clear from the context.

Eppstein’s Algorithm and K^* Search

We will review high-level ideas of EA in K^* search, especially on the heap-based data structures and criteria for safely enumerating the k best paths from partially explored search graph using A^* . For more details, please refer to Eppstein (1998) and Aljazzar and Leue (2011).

Eppstein’s Implicit Path Representation We assume familiarity with A^* , and begin by introducing notations related to EA in the context of K^* search. Denoting the explicit search graph explored by A^* as G_{A^*} , EA can utilize the shortest path tree T_{A^*} maintained by A^* to represent all paths between the initial state s_0 and the unique goal state s_* using a sequence of “side-tracked” edges (STE), where each STE $(u, v) \in G_{A^*} \setminus T_{A^*}$. For each STE (u, v) between nodes from u to v , we can compute the deviation cost $\delta_o(u, v)$ through an action o against the cost of the incoming edge toward v in T_{A^*} by $\delta_o(u, v) = g(u) + C(o) - g(v)$ if and only if $v = u[o]$. We denote an arbitrary goal reaching path from s_0 in G_{A^*} by $\rho_{A^*}(s_0, s_*)$, and the unique path from u to v in T_{A^*} by $\rho_{A^*}^*(u, v)$ if it exists. Then, any $\rho_{A^*}(s_0, s_*)$ can be uniquely represented by an ordered sequence of STEs, denoted by $SIDETRACKS(\rho_{A^*}(s_0, s_*)) = \langle (u_1, v_1), \dots, (u_q, v_q) \rangle$, where an STE closer to s_* appears earlier in the sequence. Namely, $\rho_{A^*}(s_0, s_*)$ can be reconstructed from $SIDETRACKS(\rho_{A^*}(s_0, s_*))$ by

$$\rho_{A^*}(s_0, s_*) = \rho_{A^*}^*(s_0, u_q) \circ [\circ_{i=q}^2 \{ (u_i, v_i) \circ \rho_{A^*}^*(v_i, u_{i-1}) \}] \circ (u_1, v_1) \circ \rho_{A^*}^*(v_1, s_*),$$

where \circ concatenates edges and paths from left to right.

Heaps for Representing Paths EA traverses a search graph called path graph G_{EA} , where each node encodes a path $\rho_{A^*}(s_0, s_*)$. In order to generate G_{EA} , EA maintains the following three heap-based data structures:

- *incoming heap* $H_{in}(v)$, a binary heap for storing all incoming STEs (\cdot, v) toward $v \in T_{A^*}$ sorted by $\delta(\cdot, v)$,
- *tree heap* $H_T(t)$, a binary heap for storing the root node $ROOTSTE(v)$ of $H_{in}(v)$ for every node v in the shortest path $\rho_{A^*}^*(s_0, t)$ from s_0 to t in T_{A^*} ,
- *graph heap* $H_G(t)$, a 3-heap that merges $H_T(t)$ and $H_{in}(v)$ for all $v \in \rho_{A^*}^*(s_0, t)$.

We can update all *incoming heaps* while generating search nodes in G_{A^*} and maintain all STEs sorted with respect to the deviation cost. However, *tree heaps* and *graph heaps* should be created after all STEs in G_{A^*} have been seen. By merging a *tree heap* and *incoming heaps*, $H_G(t)$ can enumerate all paths with a single deviation relative to $\rho_{A^*}^*(s_0, t)$ sorted by the deviation cost. To be concrete, recall that $H_G(t)$ merges $ROOTSTES$ that are stored in $H_T(t)$, and other STEs that are descendants of $ROOTSTE(v)$ in $H_{in}(v)$. Therefore, there are two types of nodes in the *graph heap*. Denoting the root node of the heap maintained by $H_G(t)$ by $R(t)$, the first node traversed from the root $R(t)$ must be a $ROOTSTE(v)$. From a node in $H_G(t)$ that originated from $H_T(t)$, there are two possible cases for generating children nodes. In the first case, the child node is one of the two children nodes in $H_T(t)$ because it is a binary heap. In the second case, the child node is the only child of $ROOTSTE(v)$ in $H_{in}(v)$ because $H_{in}(v)$ in EA stores one child under $ROOTSTE(v)$. Next, from a node that originated from *incoming heaps* $H_{in}(v)$, there is only a single case for generating successor nodes that are, at most, two children in the binary heap $H_{in}(v)$. We have illustrated how $H_G(t)$ expands nodes associated with STEs in a 3-heap, which is the basis for generating G_{EA} .

Path Graph By extending $H_G(t)$ to enumerate all paths $\rho_{A^*}(s_0, t)$ with a single deviation relative to $\rho_{A^*}^*(s_0, t)$, G_{EA} expands nodes in the search graph that can enumerate all $SIDETRACKS(\rho_{A^*}(s_0, s_*))$. In the following, we will illustrate how the successor generator of G_{EA} works. Without loss of generality, each node $n_{(u_q, v_q)}$ in G_{EA} associated with the STE (u_q, v_q) stores a pointer to the node in some *graph heap* $H_G(t)$ that stores (u_q, v_q) , and it also tracks a sequence of STEs, $\langle (u_1, v_1), \dots, (u_{q-1}, v_{q-1}) \rangle$ selected for the deviation, which will become clear in a moment. The successor generator of G_{EA} makes two types of decisions encoded by two types of edges:

- a *cross-edge* that extends $SIDETRACKS(\rho_{A^*}(s_0, s_*))$ to a sequence of STEs $\langle (u_1, v_1), \dots, (u_q, v_q) \rangle$,
- a *heap-edge* that only generates children from the associated node in some *graph heap* $H_G(t)$.

Starting from a special root node \mathcal{R} of G_{EA} associated with an empty sequence of $SIDETRACKS(\rho_{A^*}(s_0, s_*))$, the successor generator generates a single child node associated with $R(s_*)$, which is the root node of $H_G(s_*)$ that stores the

Algorithm 1 Generic K^* Search for Top- k Planning

Input: Single goal-state planning task Π , k

Output: Top- k plans

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1: Initialize  $K^*$  search
2:  $P \leftarrow \emptyset$  ▷ Initialize set of found plans
3: while True do ▷  $K^*$  outer-loop
4:   while  $\neg(\text{OPEN}_{A^*} = \emptyset \vee \text{SWITCH-TO-}EA())$  do
5:     Expand an  $A^*$  node
6:     Update data structures  $G_{A^*}, T_{A^*}, H_{in}$ , etc
7:    $\text{PREPARE}EA()$  ▷ Update  $H_T, H_G, G_{EA}$ , etc
8:   while  $\neg(\text{OPEN}_{EA} = \emptyset \vee \text{SWITCH-TO-}A^*())$  do
9:     Expand a node in path graph  $G_{EA}$ 
10:    Reconstruct a plan and update  $P$ 
11:    if  $|P| = k$  then return  $P$ 
12:   if  $\text{OPEN}_{A^*}$  and  $\text{OPEN}_{EA}$  are empty then return  $P$ 

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STE (u_1, v_1) . Next, the node $R(s_*)$ generates its successors following two possible cases. The first case follows *heap-edges* that are children of $R(s_*)$ in $H_G(s_*)$ by deferring a decision for making a deviation. The second case follows a single *cross-edge* which creates a child node pointing to the root node $R(u_1)$ of $H_G(u_1)$ with an extended STE sequence $\langle (u_1, v_1) \rangle$. The rest of the nodes in G_{EA} are generated as illustrated above, namely, generating successor nodes following *heap-edges* in *graph heaps* or starting to enumerate the next possible deviations relative to $\rho_{A^*}^*(s_0, u_q)$ when (u_q, v_q) was the most recently added STE to the sequence. The maximum branching factor in G_{EA} is at most 4 since an additional *cross-edge* that doesn't exist in $H_G(v)$ is added. Since each node in G_{EA} extends $SIDETRACKS(\rho_{A^*}(s_0, s_*))$ in increasing order of the total cost, expanding G_{EA} and running Dijkstra's algorithm (Dijkstra 1959) on G_{EA} will enumerate all shortest paths in increasing order of path costs.

Generic K^* Search and Existing Variants Algorithm 1 shows a generic template for adapting K^* search for top- k planning. Following the template, we can divide generic K^* search into three parts:

- A^* with updates on heaps and checking the switching criteria from A^* to EA (line 4–6),
- $\text{PREPARE}EA()$ for updating heaps and other data structures (line 7),
- EA that extracts solution from G_{A^*} and checks switching criteria from EA to A^* (line 8–11),

The main contribution of the original K^* is to show a safe switching criterion from EA to A^* that ensures the soundness of EA when it processes a partially explored search graph G_{A^*} with admissible heuristics. Specifically, after expanding G_{A^*} , the original K^* reconstructs a solution path from the expanded nodes in G_{EA} only if the maximum path cost of the children nodes of the head node in OPEN_{EA} is not greater than the head value of OPEN_{A^*} .

Unfortunately, the original K^* algorithm did not provide details about the implementation of $\text{PREPARE}EA()$. When A^* uses consistent heuristics, OPEN_{A^*} expands nodes in the order of the path cost, and T_{A^*} monotonically adds a

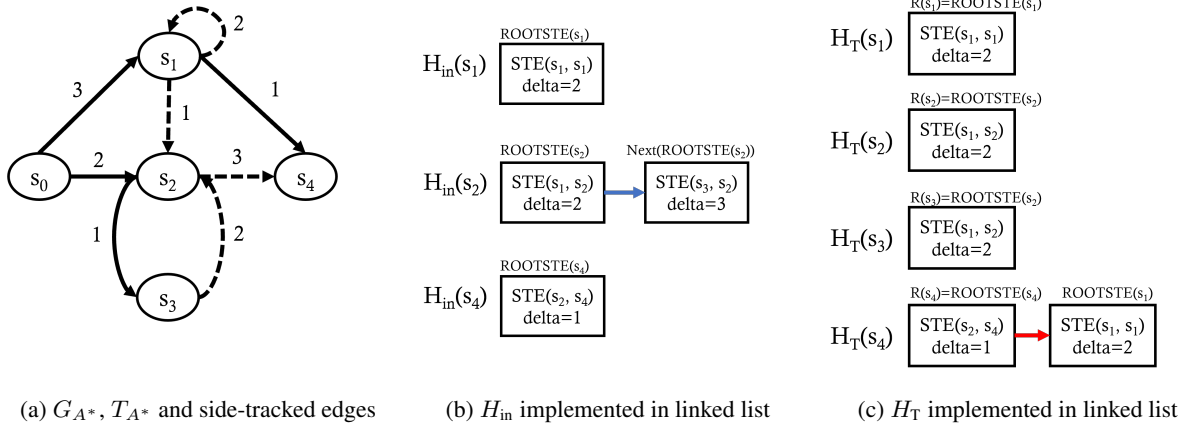


Figure 1: Illustration of *incoming heaps* $H_{in}(v)$ and *tree heaps* $H_T(v)$ implemented as linked lists. Figure 1a reproduces the example shown in the original K^* paper by (Aljazzar and Leue 2011), where the source node is s_0 and the terminal node is s_4 . The solid edges represent the search tree T_{A^*} , and the dotted edges are side-tracked edges. The cost of each edge appears next to it. Figure 1b shows the *incoming heaps* for each node in G_{A^*} , except for $H_{in}(s_0)$ and $H_{in}(s_3)$, which are empty. Each node in the linked list stores a pointer to a data structure that stores the STE (u, v) and its deviation cost. Figure 1c shows the *tree heaps* for each node in G_{A^*} , except for node s_0 because there is no path from s_0 to s_0 . Each $H_T(v)$ stores all ROOTSTES over the path from s_0 to v in T_{A^*} . For example, $H_T(s_4)$ collects and sorts ROOTSTE(s_1) in $H_{in}(s_1)$ and ROOTSTE(s_4) in $H_{in}(s_4)$.

new edge when A^* expands a new state. This implies that all heaps required for EA can be reused without the need for $PREPAREEA()$, as illustrated in the original K^* algorithm. However, A^* does not ensure such a monotonic behavior for generated nodes, and we need to carefully sort *incoming heaps* and properly update *tree heaps* and *graph heaps* if we want to apply EA on the nodes generated from A^* . When inconsistent heuristics guide A^* , the original K^* suggested refreshing the path graph to make it consistent with G_{A^*} . However, due to the missing details, existing variants of K^* for top- k planning couldn't incorporate inconsistent heuristics. The following section will present details of $PREPAREEA()$.

The switching criterion $SWITCH-TO-EA()$ is less crucial than the other two parts. The original K^* proposed to escape A^* if the number of nodes newly expanded exceeds 20 percent of the total number of nodes in $OPEN_{A^*}$ counted at the previous iteration. Existing variants also follow this ad-hoc rule.

Renovating K^* Search for Top- k Planning

We have been motivated to renovate K^* search for top- k planning by observing the discrepancy between the strong theoretical guarantee and the weak empirical evaluation results reported up to date. Among the handful of available open-source implementations, for example, (Shibuya and Imai 1997; Katz et al. 2018), none has implemented 3-heaps with non-standard heap operations for pointing and traversing nodes inside the heap, to the best of our knowledge. While reviewing the high-level ideas in EA , we saw that heap-based data structures, including the path graph, share nodes that store STEs across multiple heaps, and they may redirect nodes if necessary. To manage these heap nodes more flexibly, we implemented alternative data structures

that use a linked list, which demonstrated satisfactory performance in our evaluation. In addition to improving the implementation of EA , we also identified several pitfalls that need to be overcome to achieve promising performance of EA in the generic K^* search template. In particular, these include missing details on $PREPAREEA()$ and more aggressive yet safe switching criteria between A^* and EA , which will be revisited in each part of the generic K^* . Next, we will begin by addressing the restriction to tasks with a single goal state, an uncommon situation in classical planning.

Preserving Heuristic Quality after Reformulation

As discussed, previous approaches chose to transform the planning task to an equivalent (in terms of plan spaces) planning task with a single goal state and solve that task instead. As a result, heuristic functions were computed on the transformed task and might have resulted in less informative estimates. Note that while the search requires this limitation to a single goal state, the heuristics do not, and it is possible to transform the task back to the original task. Inspired by the idea of Domshlak, Katz, and Lefler (2012), we propose to perform the search on the transformed single goal state task but evaluate it on the task transformed back to original. The following theorem shows the validity of such an approach.

Theorem 1 *Let Π be a planning task and Π^g be its single-goal transformation. Let m be a mapping from the states of Π^g to the states of Π obtained by projecting away the additional variable v_g . If h is an admissible heuristic function for Π , then h_g defined by $h_g(s) = 0$ if s is the goal state of Π^g , and otherwise $h_g(s) = h(m(s))$, is an admissible heuristic function for Π^g . Moreover, If h is consistent, then h_g is also consistent.*

Proof: For each non-goal state s in Π^g , an optimal plan π_g for s can be mapped to an optimal plan π for $m(s)$ in

Π by simply removing the last zero-cost action o_g . Since $h(m(s)) \leq C(\pi) = C(\pi_g)$, we have $h_g(s) = h(m(s)) \leq C(\pi_g)$. Let t be a non-goal state obtained from s by applying o in Π^g . Then $m(t)$ is obtained from $m(s)$ by applying o in Π and since h is consistent, we have $h(m(s)) \leq h(m(t)) + C(o)$ and therefore $h_g(s) \leq h_g(t) + C(o)$. If t is the goal state, it is obtained from s by applying o_g . The precondition of o_g includes the goal of Π and thus we have $m(s)$ being a goal state for Π , meaning $h_g(s) = h(m(s)) = 0$. In this case as well we have $0 = h_g(s) \leq h_g(t) + C(o_g) = 0$. \square

List-based Implementation of EA

We follow the high-level idea for implementing EA as shown in the background, yet introducing two changes.

List-based H_{in} and H_T We simplify the heap-based data structures for generating G_{EA} by building $H_{in}(v)$ and $H_T(v)$ using linked lists instead. We skip the step of merging them to create *graph heaps* $H_G(v)$. To illustrate changes, Figure 1 reproduces the same example shown in (Aljazzar and Leue 2011). Figure 1b and 1c show a simpler variation of $H_{in}(v)$ and $H_T(v)$. Note that every node in the linked list only stores a pointer to the data structure storing STEs, and no duplicate STE (u, v) will be created. We admit that the presented approach loosens the complexity bound because inserting a node to *incoming heaps* and *tree heaps* implemented in linked lists takes linear time. However, we see that this simplification didn't degrade the overall performance while solving planning tasks, since the branching factor of the search graph of planning tasks is usually much smaller than the total number of nodes in G_{A^*} . For denser graphs, the ideal way would be fully implement heaps with pointer-friendly data structures instead of arrays or vectors.

Path Tree with a List-based Successor Generator As *graph heaps* are no longer built explicitly, we implemented a different successor generator for exploring G_{EA} . The new successor generator traverses the nodes in the linked lists implementing H_T and H_{in} . Utilizing the fact that $H_{in}(v)$ and $H_T(v)$ are implemented as linear linked lists, a path graph node n_{EA} stores a tuple $\langle loc(H_T(v)), loc(H_{in}(v)), pa, value, crossing \rangle$ for enumerating the k -best solutions, where loc refers to the location of a linked list node in either H_T or H_{in} that stores a pointer to STE, pa is a pointer to its parent path graph node, $value$ is the path cost value, and $crossing$ is a Boolean flag indicating whether the node follows a crossing-arc. Since all linked lists are sorted by the deviation cost and $H_T(t)$ collects only $ROOTSTE(v)$ of $H_{in}(v)$ for $v \in \rho_{A^*}^*(s_0, t)$, a path graph node n_{EA} can generate at most 3 children nodes:

- a node associated with the next node in $H_T(v)$,
- a node associated with the next node in $H_{in}(v)$, and
- a node that follows a *cross-edge*.

Note that the branching factor is at most 3 because linked lists are linear.

Figure 2 illustrates a path tree G_{EA} generated from G_{A^*} , shown in Figure 1a. The root of G_{EA} stores the location of the root node $R(s_4)$ of the linked list $H_T(s_4)$, since $R(s_4)$

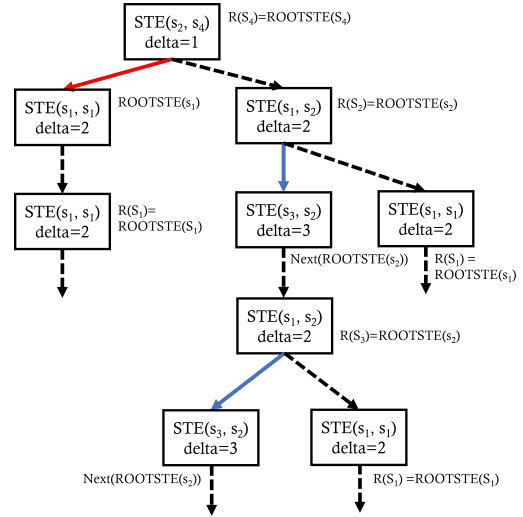


Figure 2: Path tree G_{EA} generated from G_{A^*} in Figure 1a. Each node represents a path graph node, and there are three types of arrows: a dotted arrow, a solid red arrow, and a solid blue arrow. The dotted arrow represents a *cross-edge*, the solid red arrow represents a *heap-edge* in H_T , and the solid blue arrow represents a *heap-edge* in H_{in} .

points to an STE with the minimum deviation from the optimal solution path from s_0 to s_4 . Following a *heap-edge*, a child path graph node can point to either the next $ROOTSTE$ in $H_T(s_4)$ or the next STE in $H_{in}(s_4)$. We see that $H_{in}(s_4)$ doesn't have the next node shown in Figure 1b, whereas $H_T(s_4)$ has the next node $ROOTSTE(s_1)$ in Figure 1c, following a red *heap-edge*. Therefore, we generate a single child following the red *heap-edge* that encodes a possible deviation (s_1, s_1) relative to $\rho_{A^*}^*(s_0, s_4)$. Following a *cross-edge* in a dotted arrow from the root path graph node that stores the location of $R(s_4)$, we generate a child path graph node that points to $R(s_2)$. We emphasize that a *cross-edge* represents the decision to deviate from T_{A^*} . Therefore, the successor generator chooses to deviate on (s_2, s_4) with a deviation cost of 1. The next possible deviation can occur between s_0 and a node in $\rho_{A^*}^*(s_0, s_2)$. As a result, the child path graph node points to $R(s_2)$ in $H_T(s_2)$. The successor generator generates all other nodes in the same manner. However, unlike the path graph that connects all the *graph heaps* H_G and results in a search graph, our variant generates a path tree.

We have presented a simple variation for EA that generates search nodes by traversing the links in the linked lists H_T and H_{in} that sort STEs, resulting in a search tree. For the rest of EA, Dijkstra's algorithm enumerates shortest paths. Due to the modifications to EA implementation, our variant performs tree search and sorts STEs more often than the original heap-based approach. However, we didn't observe any notable degradation in search performance while solving top- k planning problems, as the number of requested plans is relatively low, for example, less than 10,000, compared to the total number of nodes in G_{A^*} .

Algorithm 2 Switch from EA to A^*

Input: Flag $rs-EA$ indicating whether to restart EA

```
1: function SWITCH-TO- $A^*$ ( $rs-EA$ )
2:    $n_{head} \leftarrow \text{top}(\text{OPEN}_{EA})$ 
3:   if  $rs-EA$  then  $EA_{Thr} \leftarrow n_{head}.value$ 
4:   else  $EA_{Thr} \leftarrow \max \{ \text{children}(n_{head}).value \}$ 
5:   return  $EA_{Thr} > f_{min}$ 
```

Revisiting EA inside K^*

If we examine the safe switching criteria of the original K^* , we see that EA has to defer extracting valid top- k plans when its child has path cost greater than f_{min} value, the current minimum value of OPEN_{A^*} . This choice guarantees that the successor generator of G_{EA} won't skip any solution path with a path cost lying between the costs of the two path graph nodes, the head node in OPEN_{EA} and its child nodes, when new STEs are added in A^* . Namely, if the heaps maintain the insertion order for the STEs with the same deviation cost, K^* can skip refreshing heap-based data structures. However, A^* may need to expand significant number of nodes to reach the next additional layer during A^* . Furthermore, if a guiding heuristic function is inconsistent, K^* must purge and rebuild the heaps anyway, losing the benefit of keeping the safe switching criteria.

Based on this observation, we present a new variant with more aggressive, yet still safe switching criteria in Algorithm 2 that restarts EA at every outer iteration. $\text{SWITCH-TO-}EA(rs-EA)$ returns whether EA_{Thr} is greater than f_{min} or not, where EA_{Thr} is taken from the head value of OPEN_{EA} if $rs-EA$ was True (line 3), or the same value as the original K^* otherwise (line 4). Note that K^* utilizes EA_{Thr} when it switches from A^* to EA in Algorithm 4.

Revisiting $\text{PREPARE}EA()$

Next, we revisit $\text{PREPARE}EA()$ in Algorithm 3 when A^* uses either consistent or inconsistent heuristics. We first consider consistent heuristics. Although the expanded nodes in G_{A^*} appear in the order of their path cost, and T_{A^*} only adds edges as A^* continues its search, we cannot assume such a monotonic behavior for nodes generated during A^* and, hence, STEs seen while generating these nodes. Namely, if we perform EA search over the path graph nodes associated with an STE (u, v) with v not yet expanded in A^* , we must ensure that the heaps are well sorted in linked lists. In addition, if we don't restart EA at every K^* iteration, we must ensure that the heaps are stable (preserve the order of

Algorithm 3 Prepare EA

Input: Flag $rs-EA$ indicating whether to restart EA

```
1: function  $\text{PREPARE}EA(rs-EA)$ 
2:   if  $rs-EA$  then Clear  $H_T$  and  $\text{OPEN}_{EA}$ 
3:   if reopen occurred then Rebuild  $H_{in}$ , Recompute  $\delta$ 
4:   if  $\text{OPEN}_{EA}$  is not empty then return
5:   if  $\text{OPEN}_{A^*}$  is empty  $\vee \text{Root}(G_{EA}).value \leq f_{min}$ 
       then Push  $\text{Root}(G_{EA})$  to  $\text{OPEN}_{EA}$ 
```

Algorithm 4 Switch from A^* to EA

Input: Flag sog , indicating whether to switch on generating a goal, thresholds A_{lb}^* and A_{ub}^*

```
1: function  $\text{SWITCH-TO-}EA(sog, A_{lb}^*, A_{ub}^*)$ 
2:   if  $sog \wedge \text{goal node generated}$  then return True
3:   if  $A_{iter}^* \geq A_{ub}^*$  then return True
4:   if  $EA_{Thr}$  unknown  $\wedge A_{iter}^* > A_{lb}^*$  then return True
5:   if  $\neg \text{reopen}$  then return  $EA_{Thr} \leq f_{min}$ 
6:   else if  $(A_{iter}^* > A_{lb}^*)$  return  $EA_{Thr} \leq f_{min}$ 
```

insertion for tied items), so as not to miss a child during the expansion of G_{EA} . In the new variant that restarts EA every iteration, $\text{PREPARE}EA(rs-EA)$ clears $H_T(v)$ and OPEN_{EA} (line 2) before restarting EA . When a heuristic function is inconsistent, the behavior is the same as consistent heuristics as long as A^* does not reopen any node. However, when A^* reopens a node, the value of all descendant nodes become invalidated and we must recompute all the deviation costs for those nodes. Instead of checking the validity of the deviation costs after a reopen, $\text{PREPARE}EA(rs-EA)$ rebuilds $H_{in}(v)$ (line 3). After clearing any possibly invalidated H_T and OPEN_{EA} due to the aggressive switching criteria shown in Algorithm 2, $\text{PREPARE}EA(rs-EA)$ pushes the root node $\text{Root}(G_{EA})$ to OPEN_{EA} to initiate EA if either A^* queue is exhausted or the second suboptimal solution is safe to extract in EA (line 5).

Revisiting A^* inside K^*

Expanding A^* nodes follows the standard A^* algorithm. The only modification needed is updating $H_{in}(v)$, which stores STEs (\cdot, v) , on generating A^* nodes. In the earlier approach by Riabov, Sohrabi, and Udrea (2014), G_{A^*} and other heap-based data structures were created after escaping A^* . In the new variant, we maintain a set $S_{in}(v)$ for each $H_{in}(v)$, and each $S_{in}(v)$ stores all (\cdot, v) s on generating nodes in A^* . Since A^* updates T_{A^*} with expanded nodes, we defer the creation of $H_{in}(v)$ until v is expanded. After updating T_{A^*} with expanded v , we add all (\cdot, v) s from $S_{in}(v)$ to $H_{in}(v)$. If a *reopen* occurs during A^* , we only add (\cdot, v) s to $S_{in}(v)$ since $\text{PREPARE}EA(rs-EA)$ will rebuild $H_{in}(v)$ after escaping A^* .

Next, we revisit the switching criteria from A^* to EA . Instead of following the earlier choice that relied on a single threshold on the number of A^* nodes expanded, denoted as A_{iter}^* , we introduce a lower bound A_{lb}^* and an upper bound A_{ub}^* , as well as an additional Boolean flag sog , indicating whether to switch on generating a goal. Algorithm 4 shows that it will escape A^* if a goal node was generated and sog was set to *True* (line 2), or if A^* expanded nodes up to the upper bound A_{ub}^* (line 3). Otherwise, it will expand A_{lb}^* nodes for the first A^* iteration after finding the shortest path (line 4). If *reopen* did not occur during A^* , we switch to EA if $EA_{Thr} \leq f_{min}$ (line 5). If A^* reopened a node, we use the same criteria but expand at least A_{lb}^* (line 6).

Algorithm 5 A New K^* Search for Top- k Planning

Input: Reformulated planning task Π , number of plans k , flag indicating whether to restart EA rs- EA , flag indicating whether to switch on goal generation sog, bounds on the number of A^* expansions A_{lb}^* , A_{ub}^*

Output: Top- k solutions

```
1: Initialize  $A^*$  search
2: while True do
3:   while  $\neg(\text{OPEN}_{A^*}$  is empty  $\vee$ 
4:     SWITCH-TO- $EA(\text{sog}, A_{lb}^*, A_{ub}^*)$ ) do
5:     Expand an  $A^*$  node  $u$ 
6:     Increase  $A_{iter}^*$ 
7:     For each node  $v$  generated from  $u$ 
8:       Add STE  $(u, v)$  to set  $S_{in}(v)$ 
9:       if  $\neg$  reopen occurred then
10:        Insert  $(u, v)$  to  $H_{in}(v)$ , Sort  $H_{in}(v)$ 
11:       if reopen occurred then  $A_{iter}^* \leftarrow 0$ 
12:   PREPARE $EA(\text{rs-}EA)$ 
13:   while  $\neg(\text{OPEN}_{EA}$  is empty  $\vee$ 
14:     SWITCH-TO- $A^*(\text{rs-}EA)$ ) do
15:     Expand an  $EA$  node  $n_{EA}$ 
16:     Lazy build  $H_T$  while expanding  $G_{EA}$ 
17:     Reconstruct a plan from  $n_{EA}$ 
18:     if  $k$  plans found then return Top- $k$  plans
19:   if  $\text{OPEN}_{A^*}$  is empty  $\wedge$   $\text{OPEN}_{EA}$  is empty then
20:     return Found plans
```

A New K^* Search for Top- k Planning

Algorithm 5 presents a new variant of the generic K^* algorithm that integrates three revisited parts. We will highlight the modification made to the existing K^* algorithm. The input parameters are the number of requested plans k , a Boolean flag rs- EA to restart EA after switching from A^* , a lower bound A_{lb}^* and an upper bound A_{ub}^* on the number of node expansions in A^* , and a Boolean flag sog to switch from A^* to EA upon generating a goal node in A^* .

The K^* search starts with initializing an open list OPEN_{A^*} and a closed list CLOSED_{A^*} for A^* algorithm (line 1). Then, A^* expands nodes until either OPEN_{A^*} becomes empty or the switching criteria from A^* to EA are satisfied (lines 3–11). While generating nodes during A^* , we insert each STE (u, v) to a set $S_{in}(v)$ associated with v (line 8) and maintain $H_{in}(u)$ sorted if state u was expanded (line 9–10). We reset the counter for the number of expanded nodes, A_{iter}^* , to zero if A^* reopens a node (line 11). After escaping A^* , PREPARE $EA(\text{rs-}EA)$ prepares EA (lines 12). EA explores G_{EA} until either OPEN_{EA} becomes empty or the switching criteria are satisfied (lines 13–18). While explicating the path tree G_{EA} , we lazy build H_T since it will be cleared up in PREPARE $EA(\text{rs-}EA)$ when rs- EA is True (line 15). SWITCH-TO- $EA(\text{rs-}EA)$ updates EA_{Thr} and ensures that a valid plan can be reconstructed from the expanded path graph node n_{EA} (line 17). If EA finds k requested plans, then EA returns the top- k plans (line 18). Finally, if both OPEN_{A^*} and OPEN_{EA} become empty before finding k plans, K^* proves that the problem is unsolvable

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
FI (1)	0	18	18	16	23	20	30	31	26	29	27
SymK (2)	37	0	30	28	30	30	41	40	36	37	34
LMcut \downarrow (3)	37	24	0	0	24	16	35	39	25	36	32
LMcut(4)	39	25	14	0	26	19	37	40	28	37	33
iPDB \downarrow (5)	35	28	18	15	0	4	34	38	26	38	31
iPDB(6)	40	30	27	22	17	0	34	40	31	42	35
prev-iPDB \downarrow (7)	28	18	11	8	11	9	0	25	13	22	19
CEGAR \downarrow (8)	26	15	5	5	7	6	18	0	2	17	16
CEGAR(9)	32	19	15	10	16	11	26	28	0	24	20
M&S \downarrow (10)	29	17	10	10	7	6	29	26	20	0	8
M&S(11)	31	16	16	15	12	11	32	26	22	17	0
Total	633	892	895	921	805	852	670	721	799	720	776

Table 1: Domain-level performance, comparing the single goal task (denoted by adding \downarrow to the heuristic name) to task transformation back to original, for $k = 1000$ and four different heuristics. The last row depicts the overall coverage.

and returns the found plans (line 19–20).

Experimental Evaluation

To empirically compare the suggested algorithm, we have implemented our variant of K^* on top of the Fast Downward planning system (Helmert 2006a). All experiments were performed on Intel(R) Xeon(R) Gold 6248 CPU @ 2.50GHz machines, with the timeout of 30 minutes and memory limit of 8GB per run. The benchmark set consists of all benchmarks from optimal tracks of International Planning Competitions 1998-2018, a total of 1827 tasks in 65 domains. We have experimented with four admissible heuristics, LMcut (Helmert and Domshlak 2009), merge-and-shrink abstraction (denoted by M&S) (Helmert, Haslum, and Hoffmann 2007), counterexample-guided Cartesian abstraction refinement (denoted by CEGAR) (Seipp and Helmert 2018), and pattern database heuristic iPDB (Haslum et al. 2007). While the latter three are also consistent, LMcut is not. We measure the total time for finding the top- k solution and the coverage: 1 per task if the top- k solution was found, 0 otherwise.

We start by comparing evaluating the states on the single goal transformation as in previous work (Riabov, Sohrabi, and Udrea 2014; Katz et al. 2018) to our suggested way of evaluating on the original task. Following the previous work, we set the switching criteria to switch once an increase of 20% in expanded nodes was observed. Table 1 presents a pairwise comparison of K^* domain-level performance in terms of coverage for $k = 1000$ with the four heuristics, with and without transformation back to original task. The latter is denoted by adding \downarrow to heuristic name. The last row of the table shows the overall coverage for each of the configurations. Every other entry in the table represents the number of domains where the row configuration achieves better coverage than the column one. First, we observe that evaluating the heuristic on the original task significantly improves the performance, across all tested heuristics. The most significant improvement is observed for CEGAR, where there are 28 domains with improved coverage, compared to 2 domains with reduced coverage, and overall in-

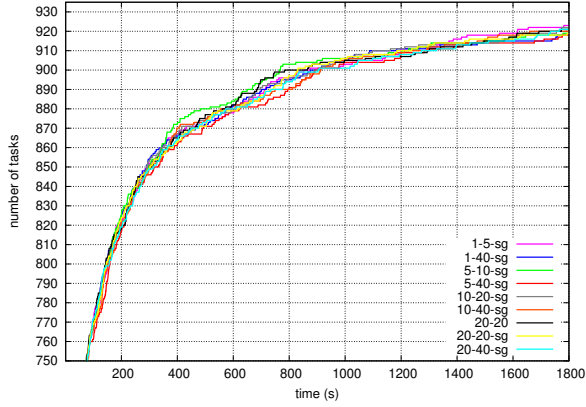


Figure 3: Any-time performance of *selected* LMcute configurations. Label lb-ub-sg: lower bound, lb, upper bound, ub, and considering reaching goal state for switching, sg.

crease of 78 tasks solved. Second, note that the inconsistent heuristic configuration LMcute is the best performer overall, showing the benefit of an algorithm that supports the use of inconsistent heuristics.

For the next experiment, we focus on LMcute, evaluated on the original task. For $k = 1000$, we test the influence of the decisions on switching between the A^* and EA , in an any-time manner. The switching criteria involve lower and upper bounds, as well as whether a goal state was reached again. For the bounds in $\{1, 5, 10, 20, 30, 40, 50, 100\}$, assuming the lower bound being strictly smaller than the upper bound, together with the historical configuration of single bound of 20 (labeled 20-20), as in the previous experiment. This results in the total of 58 configurations. Figure 3 shows the any-time coverage of selected configurations. Note, while their performance is somewhat similar, for smaller timeouts of 300–1000 seconds the difference in coverage can be significant, with the configuration 20-20 rarely being the top performer.

Finally, going back to the four heuristics from the first experiment, we show the any- k behavior of these configurations, comparing to other top- k planning approaches, bidirectional symbolic blind search (SymK) (Speck, Mattmüller, and Nebel 2020)¹ and Forbid Iterative planner (FI) (Katz et al. 2018), as well as previous implementation of a K^* variant (Katz et al. 2018), depicted in Table 1 and Figure 4 by the prev- K^* -iPDB \downarrow label. For the iPDB heuristic (purple lines, solid and dashed), we can observe a significant improvement in the performance when switching to our variant of K^* . Some of it can be attributed to evaluating the original task, but even with iPDB \downarrow (dashed lines) we see an improvement to the any- k behavior. It is worth noting that SymK is no longer the top performer. The overall coverage of SymK is 892, compared to 921 of K^* with LMcute. Per-domain comparison reveals that K^* with iPDB ties with SymK 30 to 30, and K^* with LMcute achieves superior performance on 24 domains vs. 28 domains in favor of SymK.

¹As in other approaches, the h^2 preprocessor was switched off.

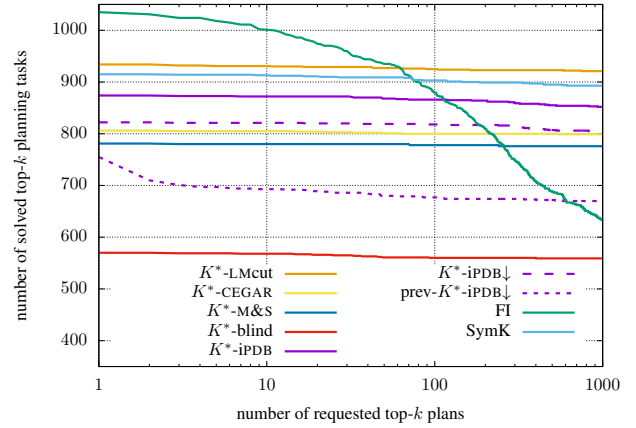


Figure 4: Any- k performance showing the number of top- k planning tasks solved per varying k .

Going back to our new variant of K^* , note that for all heuristics, the any- k performance is more level now, with the difference between top-1 and top- k being 13 for LMcute, 22 for iPDB, 7 for CEGAR, 5 for M&S, and 11 for blind heuristic. As top-1 is essentially cost-optimal planning with A^* , this is the upper bound on K^* performance achievable without improving A^* .

Conclusions and Future Work

In this paper, we revisit K^* search algorithm for top- k planning, and renovate K^* search in various aspects, from simplified yet optimized implementation of data structures to algorithm configuration study that reflects the behavior of A^* search. We also observed a significant impact of task transformation that might degrade the quality of heuristics and show how to mitigate such a negative impact.

The empirical evaluation results encourage further development of K^* search approaches in planning. In earlier evaluations, K^* was the worst performer compared with other approaches. However, the presented methods were able to elevate the performance of K^* to almost reach its theoretical ability, achieving state-of-the-art performance. The overall performance of K^* is bounded by the performance of A^* , where numerous techniques are ready to be explored in the context of K^* search. For future work, we would like to take inspiration from methods improving A^* performance through search space pruning, such as partial order reduction (Wehrle and Helmert 2012) and symmetry reduction (Domshlak, Katz, and Shleyfman 2012). Another possible interesting avenue of research is how to improve the memory efficiency of K^* .

K^* and EA are generic algorithm that can be applied to wider range of problems. Looking at broader scopes, K^* search could find applications in other planning tasks, such as top-quality planning, or even in a non-optimal setting, such as diverse planning. In addition, simplified yet efficient implementation of EA and K^* may be applied to other problem domains, such as combinatorial optimization or approximation algorithms for the counting tasks.

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