

# Answering Conjunctive Queries with Safe Negation and Inequalities over RDFS Knowledge Bases

Gianluca Cima, Marco Console, Roberto Maria Delfino, Maurizio Lenzerini, Antonella Poggi

Sapienza University of Rome  
{cima, console, delfino, lenzerini, poggi}@diag.uniroma1.it

## Abstract

Expressing negative conditions is a crucial feature of query languages for knowledge bases (KBs). Answering such queries over ontological KBs, however, is a very challenging task that becomes undecidable even for lightweight Description Logic (DL) ontologies. Such negative results hold even for Conjunctive Queries (CQs) equipped with basic forms of negative conditions such as the so-called “safe” negation or inequality atoms. One ontology language that is seemingly unaffected by these results is (the DL counterpart of) RDFS even if equipped with disjointness axioms. Answering CQs with inequalities over such ontologies is known to be  $\Pi_2^P$ -complete, if the number of inequality atoms is unbounded, and NP-complete if we limit this number to one. Notably, these results leave open the cases of CQs with a fixed number greater than two of inequality atoms. Additionally, such a thorough analysis is missing for CQs with safe negation.

In this paper, we embark in a refined analysis of the combined complexity of answering CQs with inequality atoms and safe negation over RDFS ontologies augmented with disjointness axioms. Firstly, we provide a unified  $\Pi_2^P$  query answering algorithm for the general problem. Secondly, we confirm the generally held conjecture according to which answering CQs with two inequality atoms over such ontologies is already  $\Pi_2^P$ -hard. This result closes an important gap in the current literature and has an impact on the widely influential problem of query containment. Lastly, for CQs with safe negation, we prove a behavior similar to that of CQs with inequality atoms. Specifically, we show that answering CQs with at most one negated atom can be done in NP, while allowing at most two negated atoms is sufficient to obtain  $\Pi_2^P$ -hardness.

## 1 Introduction

*Knowledge Base* (KB) systems are AI systems that have access to a KB, i.e., a symbolic representation of knowledge related to specific application domains. AI systems may interact with their KBs to perform several information management tasks. The results thus obtained are then used to either provide information to end-users or to improve the performance of other AI systems. The recent trend of *knowledge graphs* provides perfect examples of KB systems. In particular, the KB of such systems is the knowledge graph itself, and the tasks they perform may vary from answering

user-defined queries (Khan 2023) to enhancing the results of generative AI models (Yang et al. 2024; Pan et al. 2024).

Usually, KBs come with an attached *semantics*, i.e., a formally defined meaning that is the basis for all the information management tasks. Such semantics is often obtained by specifying the KB in a formal language, and then using the semantics of this language to interpret the KB. In this context, a prominent family of languages is that of Description Logics (DLs) (Baader et al. 2017). DLs are fragments of first order logic with a dedicated syntax, based on unary and binary predicates, and a semantics defined via first order interpretations and models. A DL KB, often called *ontology*, is simply a logical theory constituted by DL *axioms* typically organized into two components: a TBox  $\mathcal{T}$  and an ABox  $\mathcal{A}$ , specifying, respectively, intensional and extensional knowledge about the domain of interest. Due to these characteristics, DL Ontologies have been successfully used to provide semantics to knowledge graph systems (Xiao et al. 2019). In such frameworks, a knowledge graph is represented by the ABox and the TBox of a DL ontology, and the models of such ontology define the semantics of the knowledge graph.

A crucial computational task underlying information management in DL ontologies is *query answering* (Calvanese et al. 2007; Bienvenu and Ortiz 2015). In simple words, query answering amounts to checking whether a query, i.e., an expression defined in a formal language, is satisfied by all the models of the ontology. Some of the query languages that have received most attention in this context are, arguably, those of *Conjunctive Queries* (CQs) and *Unions* thereof (UCQs), i.e., queries specified by the existential-positive fragment of first-order logic with (UCQs) or without (CQs) disjunction. After decades of studies, we have a fairly complete picture of the problem of answering UCQs over DL ontologies. Notably, such problem remains decidable, although intractable, even for expressive DLs such as *SHIQ* (Glimm et al. 2008).

While expressive enough to define several important properties, UCQs are unable to express even the most basic form of negation. For example, a UCQ cannot ask for individuals of a specific class that do not possess a given property (*safe negation*) or whether there are two distinct individuals with specific characteristics (*inequality atoms*). These features are crucial in several contexts, e.g., to deal with knowledge graphs that represent information coming from multi-

ple, heterogeneous sources that need filtering before use.

Unfortunately, allowing the use of negation, even in the simplest forms, affects dramatically the computational characteristics of UCQs. Indeed, as shown in (Gutiérrez-Basulto et al. 2015), answering UCQs with inequality atoms ( $UCQ^{\neq}$ ) and UCQs with safe negation ( $UCQ^{\neg s}$ ) is *undecidable* already for lightweight DL languages, such as  $DL-Lite_{\mathcal{R}}$  and  $\mathcal{EL}$ , and similar results carry over to CQs.

One DL language that is seemingly unaffected by these negative results is  $DL-Lite_{\text{RDFS}}^{\neg}$ , i.e., the DL counterpart of RDFS (Cuenca Grau 2004; Rosati 2007) extended with disjointness axioms and interpreted, coherently with RDFS, without the Unique Name Assumption (UNA). While less expressive than other lightweight DL languages,  $DL-Lite_{\text{RDFS}}^{\neg}$  simulates all the first-order logic features of RDFS, i.e., the semantically enriched version of one of the most common representations for knowledge graphs. Additionally, it can express data quality requirements by disjointness axioms, a crucial feature for systems based on knowledge graphs.

Interestingly, answering  $(U)CQ^{\neq}$ s over  $DL-Lite_{\text{RDFS}}^{\neg}$  ontologies has been shown to be  $\Pi_2^p$ -complete in combined complexity (Cima, Lenzerini, and Poggi 2020). However, the reduction used there yields a  $CQ^{\neq}$  query with a number  $k$  of inequality atoms that depends on the size of the input instance. In other words, the reduction is not valid if  $k$  is fixed a priori. The same work shows that, for  $DL-Lite_{\text{RDFS}}^{\neg}$  ontologies, answering  $UCQ^{\neq}$  queries with at most one inequality atom in each disjunct, i.e., fixing  $k$  to 1, is an NP-complete problem, and thus it loses a significant part of its complexity (under the usual computational complexity assumptions). By looking at these two results together, a natural question to ask is whether there exists  $k \geq 2$  for which answering  $CQ^{\neq}$  queries with a fixed number  $k$  of inequality atoms over  $DL-Lite_{\text{RDFS}}^{\neg}$  ontologies is  $\Pi_2^p$ -complete. In (Cima, Lenzerini, and Poggi 2020), it was stated the following conjecture

**Conjecture 1:** Answering  $CQ^{\neq 2}$ s (CQs with at most two inequality atoms) over  $DL-Lite_{\text{RDFS}}^{\neg}$  ontologies is  $\Pi_2^p$ -hard.

In this paper, we embark on a thorough analysis of the problem of answering UCQs with inequality atoms and safe negation ( $UCQ^{\neg s, \neq}$ ) over  $DL-Lite_{\text{RDFS}}^{\neg}$  ontologies providing the following contributions. Firstly, we present an algorithm to answer  $UCQ^{\neg s, \neq}$ s, proving a  $\Pi_2^p$  upper bound in combined complexity and a coNP upper bound in data complexity (i.e. the complexity where only the ABox is regarded as the input). This result extends the one presented in (Cima, Lenzerini, and Poggi 2020) for UCQs with inequality atoms only. Secondly, while a matching lower bound in data complexity was already known for the problem of answering CQ queries with at most two inequality atoms, we provide a matching lower bound in combined complexity in the same scenario, thus verifying Conjecture 1.

Our result has also an impact on the query containment problem for  $CQ^{\neq}$ s. In (Klug 1988), this problem has been shown to be in  $\Pi_2^p$  and conjectured to be  $\Pi_2^p$ -complete. The conjecture has been later confirmed in (van der Meyden 1997), while (Kolaitis, Martin, and Thakur 1998) studied the problem under several syntactic and structural conditions. To the best of our knowledge, however, only (Cima,

$Q$	Data	Combined
$\{(U)CQ^{\neg s, 1}, (U)CQ^{\neq 1}\}$	P-c	NP-c
$\{CQ^{\neg s, 2}, CQ^{\neq 2}, UCQ^{\neg s, \neq}\}$	coNP-c	$\Pi_2^p$ -c

Table 1: Data and combined complexity results of answering  $Q$  queries over  $DL-Lite_{\text{RDFS}}^{\neg}$  ontologies, where “-c” stands for “-complete”. The numbers 1 and 2 indicate the number of negated atoms and inequality atoms allowed in queries.

Lenzerini, and Poggi 2020) analyzed the impact of restricting the number of inequalities that can appear in the queries. Specifically, that paper shows that if the containing query is restricted to have at most one inequality atom, then the problem becomes NP-complete, i.e. has the same complexity as for CQs (Chandra and Merlin 1977). A further contribution of this paper is to conclude this analysis and show that the query containment problem for  $CQ^{\neq}$ s remains  $\Pi_2^p$ -hard even if the containing query and the contained query are restricted to have one and two inequality atoms, respectively.

Thirdly, we present complexity lower bounds for CQs with safe negation ( $CQ^{\neg s}$ ). Interestingly,  $CQ^{\neg s}$  queries exhibit a behavior similar to  $CQ^{\neq}$  queries: answering  $CQ^{\neg s}$  queries with at most one negative atom can be done in NP, while allowing at most two negative atoms is sufficient to obtain  $\Pi_2^p$ -hardness. To the best of our knowledge, this is the first detailed analysis of this kind in the literature. The main complexity results of this work are summarized in Table 1. Of those listed in the table, the only results that were already present in the literature are the data and combined complexity of  $CQ^{\neq 1}$ s and  $UCQ^{\neq 1}$ s, and the data complexity of  $CQ^{\neq 2}$ s (Cima, Lenzerini, and Poggi 2020). All other completeness results are stated here for the first time. Finally, we analyze the case of the Unique Name Assumption for the semantics of  $DL-Lite_{\text{RDFS}}^{\neg}$  ontologies.

Notably, the above results imply that query answering in our setting can be solved via  $\Pi_2^p$  solvers, and this is the best one can expect under the usual complexity assumptions.

The rest of the paper is organized as follows. Section 2 contains preliminaries; Section 3 discusses the case of  $UCQ^{\neg s, \neq}$  queries; Section 4 presents our results on  $CQ^{\neg s}$  queries, while Section 5 presents those on  $CQ^{\neq}$ s; Section 6 discusses the impact of UNA; and in Section 7 we conclude.

## 2 Preliminaries

We fix the four pairwise disjoint and countably infinite sets of symbols  $\Sigma_{AC}$ ,  $\Sigma_{AR}$ ,  $\Sigma_C$ , and  $\Sigma_V$  for *atomic concepts* (i.e. unary predicates), *atomic roles* (i.e. binary predicates), constants (a.k.a. individuals), and variables, respectively.

**Ontologies: Syntax** We consider  $DL-Lite_{\text{RDFS}}^{\neg}$  ontologies. A  $DL-Lite_{\text{RDFS}}^{\neg}$  ontology is a pair  $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$ , where  $\mathcal{A}$  is an ABox, i.e. a non-empty, finite set of assertions (also called *ground atoms*) of the form  $A(c)$  and  $P(c_1, c_2)$  with  $A \in \Sigma_{AC}$ ,  $P \in \Sigma_{AR}$ , and  $c, c_1, c_2 \in \Sigma_C$ , and  $\mathcal{T}$  is a  $DL-Lite_{\text{RDFS}}^{\neg}$  TBox, i.e. a finite set of assertions of the following form:

$$\begin{aligned} B &\sqsubseteq A & R_1 &\sqsubseteq R_2 & (\text{concept/role inclusion}) \\ B_1 &\sqsubseteq \neg B_2 & R_1 &\sqsubseteq \neg R_2 & (\text{concept/role disjointness}), \end{aligned}$$

where  $A \in \Sigma_{AC}$  and for  $i = 1$  and  $i = 2$  (i)  $R_i$  is a *basic role*, i.e. either an atomic role  $P \in \Sigma_{AR}$  or the inverse of an atomic role  $P \in \Sigma_{AR}$ , which we denoted by  $P^-$ , and (ii)  $B_i$  is a *basic concept*, i.e. either an atomic concept  $A \in \Sigma_{AC}$  or an expression of the form  $\exists R$  with  $R$  a basic role.

Note that  $DL-Lite_{RDFS}^-$  extends the DL-like counterpart of RDFS (usually referred as  $DL-Lite_{RDFS}$  (Cuenca Grau 2004; Rosati 2007; Cima, Poggi, and Lenzerini 2023)) by allowing for disjointness assertions. More precisely, we say that a TBox  $\mathcal{T}$  is a  $DL-Lite_{RDFS}^-$  TBox if it is a finite set of concept and role inclusion assertions, and we say that  $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$  is a  $DL-Lite_{RDFS}^-$  ontology if  $\mathcal{T}$  is a  $DL-Lite_{RDFS}^-$  TBox.

**Ontologies: Semantics** The semantics of  $DL-Lite_{RDFS}^-$  ontologies is specified through interpretations: an *interpretation*  $\mathcal{I}$  is a pair  $\mathcal{I} = \langle \Delta^{\mathcal{I}}, \cdot^{\mathcal{I}} \rangle$ , where  $\Delta^{\mathcal{I}}$  is a non-empty, possibly infinite set of objects, and  $\cdot^{\mathcal{I}}$  assigns to each constant  $c \in \Sigma_C$  an object  $c^{\mathcal{I}} \in \Delta^{\mathcal{I}}$ , to each atomic concept  $A \in \Sigma_{AC}$  a set of objects  $A^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}}$ , and to each atomic role  $P \in \Sigma_{AR}$  a set of pairs of objects  $P^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}} \times \Delta^{\mathcal{I}}$ . Then  $\cdot^{\mathcal{I}}$  extends to other basic concepts and roles as follows:  $(\exists P)^{\mathcal{I}} = \{o \mid \exists o'. (o, o') \in P^{\mathcal{I}}\}$ ,  $(P^-)^{\mathcal{I}} = \{(o, o') \mid (o', o) \in P^{\mathcal{I}}\}$ , and  $(\exists P^-)^{\mathcal{I}} = \{o \mid \exists o'. (o', o) \in P^{\mathcal{I}}\}$ .

An interpretation  $\mathcal{I}$  satisfies a concept inclusion assertion  $B \sqsubseteq A$  (resp. role inclusion assertion  $R_1 \sqsubseteq R_2$ ) if  $B^{\mathcal{I}} \subseteq A^{\mathcal{I}}$  (resp.  $R_1^{\mathcal{I}} \subseteq R_2^{\mathcal{I}}$ ), satisfies a concept disjointness assertion  $B_1 \sqsubseteq \neg B_2$  (resp. role disjointness assertion  $R_1 \sqsubseteq \neg R_2$ ) if  $B_1^{\mathcal{I}} \cap B_2^{\mathcal{I}} = \emptyset$  (resp.  $R_1^{\mathcal{I}} \cap R_2^{\mathcal{I}} = \emptyset$ ), and satisfies a ground atom  $A(c)$  (resp.  $P(c_1, c_2)$ ) if  $c^{\mathcal{I}} \in A^{\mathcal{I}}$  (resp.  $(c_1^{\mathcal{I}}, c_2^{\mathcal{I}}) \in P^{\mathcal{I}}$ ). We say that an interpretation  $\mathcal{I}$  is a *model* of a  $DL-Lite_{RDFS}^-$  ontology  $\mathcal{O}$  if  $\mathcal{I}$  satisfies every assertion and ground atom occurring in  $\mathcal{O}$ . We denote by  $mod(\mathcal{O})$  the set of models of a  $DL-Lite_{RDFS}^-$  ontology  $\mathcal{O}$ . We say that  $\mathcal{O}$  is satisfiable if  $mod(\mathcal{O}) \neq \emptyset$ , unsatisfiable otherwise.

We say that an interpretation  $\mathcal{I}$  satisfies the *Unique Name Assumption (UNA)* if  $c_1^{\mathcal{I}} \neq c_2^{\mathcal{I}}$  holds for each pair of constants  $(c_1, c_2) \in \Sigma_C^2$ . We denote by  $modU(\mathcal{O})$  the set of models of a  $DL-Lite_{RDFS}^-$  ontology  $\mathcal{O}$  that satisfy the UNA.

**Query Languages** A *conjunctive query with safe negation and inequalities* ( $CQ^{\neg s, \neq}$ )  $q$  is an expression of the form  $q = \{\bar{x} \mid \exists \bar{y}. \phi(\bar{x}, \bar{y}) \wedge \psi(\bar{x}, \bar{y}) \wedge \xi(\bar{x}, \bar{y})\}$ , where (i)  $\bar{x} = (x_1, \dots, x_n)$  is a tuple of variables, called *distinguished variables*, and  $n$  is the *arity* of  $q$  (ii)  $\bar{y}$  is a tuple of variables, also called *existential variables*, (iii)  $\phi(\bar{x}, \bar{y})$  is a conjunction of atoms with predicate names from  $\Sigma_{AC} \cup \Sigma_{AR}$  and terms from  $\bar{x} \cup \bar{y} \cup \Sigma_C$ , (iv)  $\psi(\bar{x}, \bar{y})$  is a conjunction of negated atoms (i.e. formulae of the form  $\neg \alpha$  where  $\alpha$  is an atom) with predicate names from  $\Sigma_{AC} \cup \Sigma_{AR}$  and terms from  $\bar{x} \cup \bar{y} \cup \Sigma_C$  such that each variable mentioned in these atoms occurs at least once in some atom from  $\phi(\bar{x}, \bar{y})$  (*safeness*), and (v)  $\xi(\bar{x}, \bar{y})$  is a conjunction of inequality atoms of the form  $t \neq t'$ , with both  $t$  and  $t'$  terms from  $\bar{x} \cup \bar{y} \cup \Sigma_C$ .

A *CQ with safe negation* ( $CQ^{\neg s}$ ) (resp. a *CQ with inequalities* ( $CQ^{\neq}$ ), and a *CQ*) is a  $CQ^{\neg s, \neq}$  that does not contain inequality atoms (resp. negated atoms, neither inequality nor negated atoms). Furthermore, for an integer  $p \geq 1$ , a  $CQ^{\neg s, p}$  (resp. a  $CQ^{\neq, p}$ ) is a  $CQ^{\neg s}$  (resp. a  $CQ^{\neq}$ ) with *at most*  $p$  negated atoms (resp. inequality atoms). We use  $CQ^{\neg s, \neq, p}$  (resp.  $CQ^{\neq, p}$ ) for the class of  $CQ^{\neg s, p}$  (resp.  $CQ^{\neq, p}$ ) queries.

A  $UCQ^{\neg s, \neq}$  (resp. a  $UCQ^{\neg s, 1}$ , a  $UCQ^{\neq, 1}$ ) is a query of the form  $q = \{\bar{x} \mid (\exists \bar{y}_1. \phi(\bar{x}, \bar{y}_1) \wedge \psi(\bar{x}, \bar{y}_1) \wedge \xi(\bar{x}, \bar{y}_1)) \vee \dots \vee (\exists \bar{y}_k. \phi(\bar{x}, \bar{y}_k) \wedge \psi(\bar{x}, \bar{y}_k) \wedge \xi(\bar{x}, \bar{y}_k))\}$  such that  $q_i = \{\bar{x} \mid \exists \bar{y}_i. \phi(\bar{x}, \bar{y}_i) \wedge \psi(\bar{x}, \bar{y}_i) \wedge \xi(\bar{x}, \bar{y}_i)\}$ , also called a *disjunct* of  $q$ , is a  $CQ^{\neg s, \neq}$  (resp. a  $CQ^{\neg s, 1}$ , a  $CQ^{\neq, 1}$ ), for each  $i = 1, \dots, k$ . We denote by  $UCQ^{\neg s, \neq}$  (resp.  $UCQ^{\neg s, 1}$ ,  $UCQ^{\neq, 1}$ ) the class of  $UCQ^{\neg s, \neq}$ s (resp.  $UCQ^{\neg s, 1}$ s,  $UCQ^{\neq, 1}$ s).

**Query Answering** Given an interpretation  $\mathcal{I}$  and a  $UCQ^{\neg s, \neq}$   $q$ , we denote  $q(\mathcal{I})$  the evaluation of  $q$  over the interpretation  $\mathcal{I}$ . For a satisfiable  $DL-Lite_{RDFS}^-$  ontology  $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$  and a  $UCQ^{\neg s, \neq}$   $q$  of arity  $n$ , we denote by  $ans(q, \mathcal{O})$  the so-called *certain answers* of  $q$  w.r.t.  $\mathcal{O}$ , i.e. the set of those  $n$ -tuples  $\bar{c} = (c_1, \dots, c_n)$  of constants occurring in  $\mathcal{A}$  such that  $(c_1^{\mathcal{I}}, \dots, c_n^{\mathcal{I}}) \in q(\mathcal{I})$  holds for every  $\mathcal{I} \in mod(\mathcal{O})$ . Similarly, we denote by  $ansU(q, \mathcal{O})$  the set of *certain answers* of  $q$  w.r.t.  $\mathcal{O}$  under the UNA, i.e. the set of those  $n$ -tuples  $\bar{c} = (c_1, \dots, c_n)$  of constants occurring in  $\mathcal{A}$  such that  $(c_1^{\mathcal{I}}, \dots, c_n^{\mathcal{I}}) \in q(\mathcal{I})$  holds for every  $\mathcal{I} \in modU(\mathcal{O})$ .

**Computational Complexity** In our proofs, we will often use the  $\Pi_2^p$ -complete problem  $\forall \exists$ -CNF (Stockmeyer 1976) to show  $\Pi_2^p$ -hardness results. Such a problem is defined as follows. A  $\forall \exists$ 3CNF formula  $\phi$  on a set of propositional variables  $X = \{x_1, \dots, x_n\} \cup Y = \{y_1, \dots, y_m\}$  is of the form  $\forall X. \exists Y. (c_1 \wedge \dots \wedge c_p)$  such that the variables in  $X$  (resp.  $Y$ ) are universally (resp. existentially) quantified, each clause  $c_i$  is a disjunction of exactly three literals, and each literal is either a variable  $z \in X \cup Y$  or its negation  $\neg z$ . For  $i = 1, \dots, p$ , we denote by  $z_{i,1}, z_{i,2}, z_{i,3}$  the first, the second, and the third, respectively, variable appearing (either positively or negated) in clause  $c_i$ . Additionally, and without loss of generality, we assume that no clause mentions the same variable twice. The problem  $\forall \exists$ -CNF decides, given a  $\forall \exists$ 3CNF formula  $\phi$ , whether  $\phi$  is true.

**Decision Problem of Interest** We are interested in the following family of decision problems associated with query answering, which is parametric w.r.t. an ontology language  $\mathcal{L} \subseteq DL-Lite_{RDFS}^-$  and a query language  $\mathcal{Q} \subseteq UCQ^{\neg s, \neq}$ :

$ans(\mathcal{L}, \mathcal{Q})$	
<b>Input:</b>	An $\mathcal{L}$ ontology $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$ , a query $q \in \mathcal{Q}$ of arity $n$ , and an $n$ -tuple $\bar{c}$ of constants occurring in $\mathcal{A}$ .
<b>Question:</b>	Is $\bar{c} \in ans(q, \mathcal{O})$ ?

The UNA version family of decision problems, denoted by  $ansU(\mathcal{L}, \mathcal{Q})$ , is defined as  $ans(\mathcal{L}, \mathcal{Q})$  except that the question becomes: Is  $\bar{c} \in ansU(q, \mathcal{O})$ ?

We are also interested in the *data complexity* (Vardi 1982) version of these two families of decision problems, which is the complexity where only the ABox  $\mathcal{A}$  is regarded as the input, and the other components are assumed to be fixed.

### 3 An Algorithm for Answering Queries with Safe Negation and Inequalities

In this section, we provide a nondeterministic algorithm for answering  $UCQ^{\neg s, \neq}$ s over  $DL-Lite_{RDFS}^-$  ontologies.

Consider a  $DL\text{-Lite}_{\text{RDFS}}^-$  ontology  $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$  and a  $UCQ^{\neg s, \neq} q$ . Let  $\text{dom}(\mathcal{A})$  and  $\text{sig}(\mathcal{O}, q)$  denote, respectively, the set of constants occurring in  $\mathcal{A}$  and the set of atomic concepts and atomic roles mentioned in  $\mathcal{O}$  and  $q$ . We say that an interpretation  $\mathcal{I} = \langle \Delta^{\mathcal{I}}, \cdot^{\mathcal{I}} \rangle$  is *local w.r.t.  $\mathcal{O}$  and  $q$*  if (i)  $\Delta^{\mathcal{I}} \subseteq \text{dom}(\mathcal{A}) \cup \Sigma_{\mathcal{C}}(q)$ , where  $\Sigma_{\mathcal{C}}(q)$  denotes the set of constants occurring in  $q$  and (ii)  $S^{\mathcal{I}} = \emptyset$  for every  $S \notin \text{sig}(\mathcal{O}, q)$ . Observe that a local interpretation  $\mathcal{I}$  naturally induces an equivalence relation  $E_{\mathcal{I}}$  over  $\text{dom}(\mathcal{A}) \cup \Sigma_{\mathcal{C}}(q)$ , that is,  $(c_1, c_2) \in E_{\mathcal{I}}$  if and only if  $c_1^{\mathcal{I}} = c_2^{\mathcal{I}}$ , with the elements in  $\Delta^{\mathcal{I}}$  being the objects representing the equivalence classes in  $E_{\mathcal{I}}$ . The next proposition states that it is enough to look only at local interpretations when dealing with the problem of answering  $UCQ^{\neg s, \neq}$ s over  $DL\text{-Lite}_{\text{RDFS}}^-$  ontologies.

**Proposition 1.** *Let  $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$  be a  $DL\text{-Lite}_{\text{RDFS}}^-$  ontology,  $q$  be a  $UCQ^{\neg s, \neq}$  of arity  $n$ , and  $\bar{c} = (c_1, \dots, c_n)$  be an  $n$ -tuple of constants from  $\text{dom}(\mathcal{A})$ . We have that  $\bar{c} \notin \text{ans}(q, \mathcal{O})$  if and only if there exists a local interpretation  $\mathcal{I}$  w.r.t.  $\mathcal{O}$  and  $q$  such that (i)  $\mathcal{I} \in \text{mod}(\mathcal{O})$  and (ii)  $(c_1^{\mathcal{I}}, \dots, c_n^{\mathcal{I}}) \notin q(\mathcal{I})$ .*

**Example 1.** *Let  $\mathcal{T} = \{L \sqsubseteq \neg H\}$ ,  $\mathcal{A} = \{L(b), L(c_1), L(a_1), P(b, c_1), P(b, c_2), P(c_1, a_1), P(c_2, a_2), P(f, e), P(f, d), S(b, f), S(c_1, a_2), S(f, a_2), H(a_2), H(e), H(d)\}$ , and  $q = \{() \mid \exists y, y_1, y_2, z. L(y) \wedge P(y, y_1), P(y, y_2) \wedge S(y, z) \wedge \neg L(z) \wedge y_1 \neq y_2\}$ . One can see that  $\langle \mathcal{T}, \mathcal{A} \rangle \not\models q$  as there is local interpretation  $\mathcal{I}$  w.r.t.  $q$  and  $\langle \mathcal{T}, \mathcal{A} \rangle$  with  $f^{\mathcal{I}} \in L^{\mathcal{I}}$  and  $e^{\mathcal{I}} = d^{\mathcal{I}}$  such that  $\mathcal{I} \in \text{mod}(\mathcal{O})$  and  $\mathcal{I} \not\models q$ .*

*Conversely, one can see that  $\langle \mathcal{T}, \mathcal{A}' \rangle \models q$ , where  $\mathcal{A}' = \mathcal{A} \cup \{H(f)\}$ . Indeed, (i) there can be no model  $\mathcal{I}$  with  $f^{\mathcal{I}} \in L^{\mathcal{I}}$  and (ii) any model  $\mathcal{I}'$  with  $c_1^{\mathcal{I}'} = c_2^{\mathcal{I}'}$  is such that  $\mathcal{I}' \models q$  due to the fact that  $a_2^{\mathcal{I}'} \notin L^{\mathcal{I}'}$  and  $a_1^{\mathcal{I}'} \neq a_2^{\mathcal{I}'}$ .*

Actually, the above proposition immediately suggests an algorithm for solving  $\text{ans}(DL\text{-Lite}_{\text{RDFS}}^-, UCQ^{\neg s, \neq})$ .

**Theorem 1.**  *$\text{ans}(DL\text{-Lite}_{\text{RDFS}}^-, UCQ^{\neg s, \neq})$  is in  $\Pi_2^p$  in combined complexity and in  $\text{coNP}$  in data complexity.*

*Proof Sketch.* By Proposition 1, to show  $\bar{c} \notin \text{ans}(q, \mathcal{O})$ , it is enough to guess a local interpretation  $\mathcal{I} = \langle \Delta^{\mathcal{I}}, \cdot^{\mathcal{I}} \rangle$  w.r.t.  $\mathcal{O}$  and  $q$  (by guessing an equivalence relation  $E_{\mathcal{I}}$  over  $\text{dom}(\mathcal{A}) \cup \Sigma_{\mathcal{C}}(q)$ , a set  $A^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}}$  for each atomic concept  $A \in \text{sig}(\mathcal{O}, q)$ , and a set  $P^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}} \times \Delta^{\mathcal{I}}$  for each atomic role  $P \in \text{sig}(\mathcal{O}, q)$ ), and then check whether both (i)  $\mathcal{I} \in \text{mod}(\mathcal{O})$  and (ii)  $(c_1^{\mathcal{I}}, \dots, c_n^{\mathcal{I}}) \notin q(\mathcal{I})$  hold.

Note that the size of  $\mathcal{I}$  is polynomially related to the size of  $\mathcal{A}$  and checking whether  $\mathcal{I} \in \text{mod}(\mathcal{O})$  and  $(c_1^{\mathcal{I}}, \dots, c_n^{\mathcal{I}}) \notin q(\mathcal{I})$  are both feasible in  $\text{AC}^0$  in the size of  $\mathcal{I}$  and with an NP-oracle in the size of  $\bar{c}$ ,  $\mathcal{I}$ , and  $q$ . So, the above algorithm for deciding  $\bar{c} \notin \text{ans}(q, \mathcal{O})$  runs in nondeterministic polynomial time with an NP-oracle in the size of the input and in nondeterministic polynomial time in the size of  $\mathcal{A}$ .  $\square$

From (Gutiérrez-Basulto et al. 2015) and (Cima, Lenzerini, and Poggi 2020) it is known that, respectively, two negated atoms and two inequalities are enough for getting matching lower bounds regarding the data complexity. In the remaining sections of this paper, we show that the same applies also regarding the combined complexity (thus proving

that all the matching lower bounds for the above result already hold for the classes  $\text{CQ}^{\neg s, 2}$  and  $\text{CQ}^{\neq 2}$  of queries). On the other hand, we show that for the class of  $UCQ^{\neg s}$ s having at most one negated atom per disjunct (i.e.  $UCQ^{\neg s, 1}$ ) the problem becomes easier under the usual computational complexity assumption. In particular, the problem becomes NP-complete in combined complexity and P-complete in data complexity, which is exactly the same as for the case of the  $UCQ^{\neq 1}$  class (Cima, Lenzerini, and Poggi 2020).

## 4 Safe Negation

In this section, we first provide matching lower bounds for the results of Theorem 1 and show that they already hold for  $\text{ans}(DL\text{-Lite}_{\text{RDFS}}^-, UCQ^{\neg s, 2})$  and  $\text{ans}(DL\text{-Lite}_{\text{RDFS}}^-, \text{CQ}^{\neg s, 2})$ . Conversely, by combining (Rosati 2007, Theorem 14) with results from (Calvanese et al. 2007) and with the facts that  $DL\text{-Lite}_{\text{RDFS}}^-$  is a sub-logic of  $DL\text{-Lite}_{\mathcal{R}}$  and  $DL\text{-Lite}_{\mathcal{R}}$  is insensitive to the UNA for CQ answering (Artale et al. 2009), we observe that  $\text{ans}(DL\text{-Lite}_{\text{RDFS}}^-, \text{CQ}^{\neg s})$  is NP-complete in combined complexity and in  $\text{AC}^0$  in data complexity.

We start by addressing  $\text{ans}(DL\text{-Lite}_{\text{RDFS}}^-, UCQ^{\neg s, 2})$ .

**Theorem 2.**  *$\text{ans}(DL\text{-Lite}_{\text{RDFS}}^-, UCQ^{\neg s, 2})$  is  $\Pi_2^p$ -hard in combined complexity and  $\text{coNP}$ -hard in data complexity.*

We illustrate here a LOGSPACE reduction from  $\forall\exists\text{-CNF}$  to  $\text{ans}(DL\text{-Lite}_{\text{RDFS}}^-, UCQ^{\neg s, 2})$ . This will give us the desired  $\Pi_2^p$ -hardness. To simplify the presentation, we first assume that ontologies may contain also ternary predicates in their alphabet, and then we will remove such an assumption.

Let  $\phi$  be a  $\forall\exists 3\text{CNF}$  formula containing  $p$  clauses. We now construct a  $DL\text{-Lite}_{\text{RDFS}}^-$  ontology  $\mathcal{O}_{\phi} = \langle \emptyset, \mathcal{A}_{\phi} \rangle$  and a Boolean  $UCQ^{\neg s, 2} q_{\phi}$ , where  $\mathcal{T} = \emptyset$  is the fixed TBox without assertions and  $q_{\phi} = q_B \vee q'_{\phi}$  with  $q_B$  being the fixed Boolean  $\text{CQ}^{\neg s, 2} q_B = \exists w. U(w) \wedge \neg F(w) \wedge \neg T(w)$ .

We now describe how to construct  $q'_{\phi}$  from  $\phi$ . For every  $i = 1, \dots, p$ , we include the atom  $P_i(w_1, w_2, w_3)$ , where, for  $j = 1, 2, 3$ ,  $w_j$  is the variable  $y_k$  if  $z_{i,j} = y_k$  with  $y_k \in Y$  and  $w_j$  is the variable  $x_k^i$  if  $z_{i,j} = x_k$  with  $x_k \in X$ . Additionally, we include the atom  $T(x_k^i)$  (resp.  $F(x_k^i)$ ) if the variable  $x_k$  appears positively (resp. negated) in  $c_i$ .

**Example 2.** *If  $\phi$  contains the clauses  $c_4 = \neg x_1 \vee x_2 \vee y_1$  and  $c_5 = \neg y_1 \vee y_2 \vee \neg x_2$ , then  $q'_{\phi}$  contains the atoms  $P_4(x_1^4, x_2^4, y_1)$ ,  $F(x_1^4)$ ,  $T(x_2^4)$ ,  $P_5(y_1, y_2, x_2^5)$ , and  $F(x_2^5)$ .*

We now describe how to construct  $\mathcal{A}_{\phi}$  from  $\phi$ . For every  $i = 1, \dots, n$ , we make use of the two individuals  $u_i$  and  $x_{i,*}$  to represent the universally quantified variable  $x_i$ , such that  $U(u_i)$ ,  $T(x_{i,*})$ , and  $F(x_{i,*})$  are ground atoms occurring in  $\mathcal{A}_{\phi}$ . Furthermore, for every  $i = 1, \dots, m$ , we make use of the two individuals  $y_{i,T}$  and  $y_{i,F}$  to represent the existentially quantified variable  $y_i$ . Now, for every clause  $c_i$ , consider the sets  $Z_{i,1}$ ,  $Z_{i,2}$ , and  $Z_{i,3}$  such that, for  $j = 1, 2, 3$ ,  $Z_{i,j} = \{u_k, x_{k,*}\}$  if  $z_{i,j} = x_k$  with  $x_k \in X$  and  $Z_{i,j} = \{y_{k,T}, y_{k,F}\}$  if  $z_{i,j} = y_k$  with  $y_k \in Y$ . We include into the ABox  $\mathcal{A}_{\phi}$  all the possible ground atoms of the form  $P_i(t_1, t_2, t_3)$  such that  $t_j \in Z_{i,j}$ , for  $j = 1, 2, 3$ , and one of the following three conditions holds:

- $t_j = u_k$  for some  $j = 1, 2, 3$  and individual  $u_k$ ;

- $t_j = y_{k,T}$  for some  $j = 1, 2, 3$  and individual  $y_{k,T}$  with  $y_k$  appearing positively in  $c_i$  (in the  $j$ -th position);
- $t_j = y_{k,F}$  for some  $j = 1, 2, 3$  and individual  $y_{k,F}$  with  $y_k$  appearing negated in  $c_i$  (in the  $j$ -th position).

In other words, from all the possible ground atoms  $P_i(t_1, t_2, t_3)$  with  $t_j \in Z_{i,j}$  for  $j = 1, 2, 3$ , we discard from  $\mathcal{A}_\phi$  only those such that (i) the assignment for the existentially quantified variables do not make the clause true and (ii) there is no  $u$  individual in the terms of the atom.

**Example 3.** If  $\phi$  contains the clauses  $c_4 = \neg x_1 \vee x_2 \vee y_1$  and  $c_5 = \neg y_1 \vee y_2 \vee \neg x_2$ , then  $\mathcal{A}_\phi$  contains the ground atoms  $U(u_1), T(x_{1,*}), F(x_{1,*}), U(u_2), T(x_{2,*}), F(x_{2,*}), T(y_{1,T}), F(y_{1,F}), T(y_{2,T}), F(y_{2,F})$  plus the following ground atoms to represent the clauses  $c_4$  and  $c_5$ :

$$\begin{aligned} &P_4(u_1, x_{2,*}, y_{1,T}) \quad P_4(u_1, x_{2,*}, y_{1,F}) \quad P_4(u_1, u_2, y_{1,T}) \\ &P_4(u_1, u_2, y_{1,F}) \quad P_4(x_{1,*}, u_2, y_{1,T}) \quad P_4(x_{1,*}, u_2, y_{1,F}) \\ &P_4(x_{1,*}, x_{2,*}, y_{1,T}) \\ &P_5(y_{1,F}, y_{2,T}, x_{2,*}) \quad P_5(y_{1,F}, y_{2,T}, u_2) \quad P_5(y_{1,F}, y_{2,F}, x_{2,*}) \\ &P_5(y_{1,F}, y_{2,F}, u_2) \quad P_5(y_{1,T}, y_{2,T}, x_{2,*}) \quad P_5(y_{1,T}, y_{2,T}, u_2) \\ &P_5(y_{1,T}, y_{2,F}, u_2). \end{aligned}$$

We now show how to modify the presented construction to work with only unary and binary predicates. We modify the query  $q'_\phi$  as follows: for every clause  $c_i$  of  $\phi$ , we introduce a new variable  $w_c^i$  and replace the atom  $P_i(w_1, w_2, w_3)$  (with  $w_1, w_2$ , and  $w_3$  as previously defined) with the binary atoms  $P_{i,1}(w_c^i, w_1), P_{i,2}(w_c^i, w_2)$ , and  $P_{i,3}(w_c^i, w_3)$ . Finally, we modify the ABox  $\mathcal{A}_\phi$  as follows: for every clause  $c_i$  of  $\phi$ , we introduce a new individual  $t_c^i$  and replace every ground atom of the form  $P_i(t_1, t_2, t_3)$  (with  $t_1, t_2$ , and  $t_3$  as previously defined) with the atoms  $P_{i,1}(t_c^i, t_1), P_{i,2}(t_c^i, t_2)$ , and  $P_{i,3}(t_c^i, t_3)$ . Clearly, both  $\mathcal{A}_\phi$  and  $q'_\phi$  can be constructed in LOGSPACE from a  $\forall\exists$ 3CNF formula  $\phi$ . Furthermore, it is not hard to verify (see the appendix) that, given a  $\forall\exists$ 3CNF formula  $\phi$ , we have that  $\mathcal{O}_\phi \models q_\phi$  if and only if  $\phi$  is true.

We now turn to address  $\text{ans}(DL\text{-Lite}_{\text{RDFS}}^{\neg}, \text{CQ}^{\neg s,2})$ .

**Theorem 3.**  $\text{ans}(DL\text{-Lite}_{\text{RDFS}}^{\neg}, \text{CQ}^{\neg s,2})$  is  $\Pi_2^p$ -hard in combined complexity and coNP-hard in data complexity.

The coNP-hardness comes from (Gutiérrez-Basulto et al. 2015, Lemma 11). The  $\Pi_2^p$ -hardness can be shown through an extension of the previously presented reduction, which we describe here. To simplify the presentation, we first assume that ontologies may contain quaternary predicates in their alphabet, and then we will remove such an assumption.

As before, let  $\phi$  be a  $\forall\exists$ 3CNF formula. We now construct a  $DL\text{-Lite}_{\text{RDFS}}^{\neg}$  ontology  $\mathcal{O}_\phi = \langle \mathcal{T}, \mathcal{A}_\phi \rangle$  and a Boolean  $\text{CQ}^{\neg s,2}$   $q_\phi$ , where  $\mathcal{T}$  is the fixed TBox  $\mathcal{T} = \{H \sqsubseteq \neg T, H \sqsubseteq \neg F\}$ . As for  $q_\phi$ , we have the atoms  $U(r, v), \neg T(r)$ , and  $\neg F(r)$  with  $r$  and  $v$  variables. Furthermore, for every  $i = 1, \dots, p$ , we include the atom  $P_i(v, w_1, w_2, w_3)$ , where  $w_j$  is exactly as in the previous reduction, for  $j = 1, 2, 3$ .

**Example 4.** If  $\phi$  contains the clauses  $c_4 = \neg x_1 \vee x_2 \vee y_1$  and  $c_5 = \neg y_1 \vee y_2 \vee \neg x_2$ , then  $q_\phi$  contains the fixed atoms  $U(r, v), \neg T(r)$ , and  $\neg F(r)$  plus the atoms  $P_4(v, x_1^A, x_2^A, y_1), F(x_1^A), T(x_2^A), P_5(v, y_1, y_2, x_2^A)$ , and  $F(x_2^A)$ .

We now describe how to construct  $\mathcal{A}_\phi$  from  $\phi$ . We have the fixed ground atoms  $U(s, d)$  and  $H(s)$  and, for every

$i = 1, \dots, n$ , we have the ground atoms  $U(u_i, \text{nop}), T(x_{i,*})$  and  $F(x_{i,*})$ . For every clause  $c_i$ , we have the ground atoms  $P_i(d, t_1, t_2, t_3)$ , where  $t_1, t_2$ , and  $t_3$  are exactly as in the previous reduction and must satisfy one of the three conditions illustrated in the previous reduction. Intuitively, consider a model  $\mathcal{I}$  for which each  $u_i$  is such that either  $u_i^{\mathcal{I}} \in T^{\mathcal{I}}$  or  $u_i^{\mathcal{I}} \in F^{\mathcal{I}}$  hold. This model will satisfy the query (by assigning  $s^{\mathcal{I}}$  and  $d^{\mathcal{I}}$  to the variables  $r$  and  $v$ , respectively) if and only if there exists a truth assignment to the variables in  $Y$  that makes  $\phi$  true when the variables in  $X$  are assigned as the model  $\mathcal{I}$  dictates with the various  $u$  constants.

It thus remains to include into  $\mathcal{A}_\phi$  those ground atoms that will make the query trivially true for those models such that both  $u_i^{\mathcal{I}} \notin T^{\mathcal{I}}$  and  $u_i^{\mathcal{I}} \notin F^{\mathcal{I}}$  for some  $i = 1, \dots, n$ . To this aim, we can just include the atoms  $F(\text{nop})$  and  $T(\text{nop})$  as well as the atom  $P_i(\text{nop}, \text{nop}, \text{nop}, \text{nop})$ , for every clause  $c_i$ .

To conclude, we now show how to modify the presented construction to work with only unary and binary predicates. We modify  $q_\phi$  as follows: for every clause  $c_i$  of  $\phi$ , we introduce a new variable  $w_c^i$  and replace  $P_i(v, w_1, w_2, w_3)$  (with  $w_1, w_2$ , and  $w_3$  as previously defined) with the atoms  $P_{i,0}(w_c^i, v), P_{i,1}(w_c^i, w_1), P_{i,2}(w_c^i, w_2)$ , and  $P_{i,3}(w_c^i, w_3)$ . We modify the ABox  $\mathcal{A}_\phi$  as follows: for every clause  $c_i$  of  $\phi$ , we introduce two new individuals  $t_c^i$  and  $\text{nop}_c^i$  and replace (i) the ground atom  $P_i(\text{nop}, \text{nop}, \text{nop}, \text{nop})$  with the ground atoms  $P_{i,0}(\text{nop}_c^i, \text{nop}), P_{i,1}(\text{nop}_c^i, \text{nop}), P_{i,2}(\text{nop}_c^i, \text{nop})$ , and  $P_{i,3}(\text{nop}_c^i, \text{nop})$  (ii) every ground atom of the form  $P_i(d, t_1, t_2, t_3)$  (with  $t_1, t_2$ , and  $t_3$  as previously defined) with the atoms  $P_{i,0}(t_c^i, d), P_{i,1}(t_c^i, t_1), P_{i,2}(t_c^i, t_2)$ , and  $P_{i,3}(t_c^i, t_3)$ . Clearly, both  $\mathcal{A}_\phi$  and  $q_\phi$  can be constructed in LOGSPACE from a  $\forall\exists$ 3CNF formula  $\phi$ . Furthermore, it is not hard to verify (see the appendix) that, given a  $\forall\exists$ 3CNF formula  $\phi$ , we have that  $\mathcal{O}_\phi \models q_\phi$  if and only if  $\phi$  is true.

## 4.1 One Safe Negation

We now show that  $\text{ans}(DL\text{-Lite}_{\text{RDFS}}^{\neg}, \text{UCQ}^{\neg s,1})$  can be polynomially reduced to checking whether a Datalog program (Ceri, Gottlob, and Tanca 1989) entails a ground atom.

Let  $\mathcal{O}$  be a  $DL\text{-Lite}_{\text{RDFS}}^{\neg}$  ontology,  $q$  a  $\text{UCQ}^{\neg s,1}$ , and  $\bar{c}$  a tuple of constants. Next, we discuss how to translate  $\mathcal{O}, q$ , and  $\bar{c}$  into a suitable program to check whether  $\bar{c} \in \text{ans}(q, \mathcal{O})$ .

Assume a  $\text{CQ}^{\neg s,1}$  query  $q'$  of the form  $\{\bar{x} \mid \exists \bar{y}. \phi(\bar{x}, \bar{y}) \wedge \psi(\bar{x}, \bar{y})\}$ . We denote by  $\sigma_{q'(\bar{c})}$  the Datalog rule  $\forall y. \phi(\bar{c}, \bar{y}) \rightarrow \eta$ , where  $\eta$  is the propositional atom  $w$ , if  $\psi(\bar{x}, \bar{y})$  is empty (i.e.  $q$  is a CQ), and  $\eta = \alpha(\bar{c}, \bar{y})$  if  $\psi(\bar{c}, \bar{y}) = \neg \alpha(\bar{x}, \bar{y})$ , where  $\alpha$  is a single relational atom. For a  $\text{UCQ}^{\neg s,1}$   $q$ , we use  $\sigma_{q(\bar{c})}$  to denote the set  $\{\sigma_{q_i(\bar{c})} \mid q_i \text{ is a disjunct of } q\}$ .

We now consider the ontology  $\mathcal{O}$ . For an inclusion assertion  $o$  of the form  $A_1 \sqsubseteq A$  (resp.  $\exists R \sqsubseteq A, R_1 \sqsubseteq R_2$ ), we let  $\sigma_o$  be  $\forall x. A_1(x) \rightarrow A(x)$  (resp.  $\forall x_1, x_2. R(x_1, x_2) \rightarrow A(x_1), \forall x_1, x_2. R_1(x_1, x_2) \rightarrow R_2(x_1, x_2)$ ). For a disjointness assertion  $o$  of the form  $A_1 \sqsubseteq \neg A_2$  (resp.  $\exists R \sqsubseteq \neg A$  or  $A \sqsubseteq \neg \exists R, \exists R_1 \sqsubseteq \neg \exists R_2, R_1 \sqsubseteq \neg R_2$ ), we let  $\sigma_o$  be  $\forall x. A_1(x) \wedge A_2(x) \rightarrow w$  (resp.  $\forall x_1, x_2. R(x_1, x_2) \wedge A(x_1) \rightarrow w, \forall x_1, x_2, x_3. R_1(x_1, x_2) \wedge R_2(x_1, x_3) \rightarrow w, \forall x_1, x_2. R_1(x_1, x_2) \wedge R_2(x_1, x_2) \rightarrow w$ ). An atom  $R(t, t')$  stands for  $P(t, t')$  if  $R$  is a role  $P \in \Sigma_{\text{AC}}$  and for  $P(t', t)$  if  $R = P^-$  for a role  $P \in \Sigma_{\text{AC}}$ . Given a  $DL\text{-Lite}_{\text{RDFS}}^{\neg}$  TBox  $\mathcal{T}$ ,

we use  $\sigma_{\mathcal{T}}$  to denote the set  $\{\sigma_o \mid o \in \mathcal{T}\}$ .

Additionally, given an ABox  $\mathcal{A}$ , we let  $\sigma_{\mathcal{A}}$  be the set of *Datalog facts* corresponding to  $\mathcal{A}$ , i.e.  $\sigma_{\mathcal{A}} = \{\rightarrow A(c) \mid A(c) \in \mathcal{A}\} \cup \{\rightarrow P(c_1, c_2) \in \mathcal{A}\}$ . Finally, we use  $\mathcal{P}_{q(\bar{c}), \mathcal{T}, \mathcal{A}}$  for the Datalog program  $\sigma_{q(\bar{c})} \cup \sigma_{\mathcal{T}} \cup \sigma_{\mathcal{A}}$ .

**Example 5.** Recall Example 1, and let  $q'$  be the  $CQ^{\neg 1}$  obtained from  $q$  by removing the inequality atom  $y_1 \neq y_2$ . Then  $\sigma_{q'(\cdot)} \cup \sigma_{\mathcal{T}} = \{\forall x. L(x) \wedge H(x) \rightarrow w, \forall y, y_1, y_2, z. L(y) \wedge P(y, y_1) \wedge P(y, y_2) \wedge S(y, z) \rightarrow L(z)\}$ . One can verify that  $\langle \mathcal{T}, \mathcal{A} \rangle \models q'$  and that  $\mathcal{P}_{q'(\cdot), \mathcal{T}, \mathcal{A}} \models w$ .

**Proposition 2.** Let  $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$  be a  $DL\text{-Lite}_{RDFS}^{\neg}$  ontology,  $q$  be a  $UCQ^{\neg s, 1}$  of arity  $n$ , and  $\bar{c}$  be an  $n$ -tuple of constants from  $\text{dom}(\mathcal{A})$ . We have that  $\bar{c} \in \text{ans}(q, \mathcal{O})$  if and only if the Datalog program  $\mathcal{P}_{q(\bar{c}), \mathcal{T}, \mathcal{A}}$  is such that  $\mathcal{P}_{q(\bar{c}), \mathcal{T}, \mathcal{A}} \models w$ .

By combining the above property with the well-known results concerning the complexity of Datalog programs with predicates of bounded arity (see, e.g. (Dantsin et al. 2001; Gottlob and Schwenck 2012)), we obtain the next result. Note that NP-hardness trivially follows from NP-hardness of the evaluation of CQs over relational databases (Abiteboul, Hull, and Vianu 1995), while P-hardness in data complexity comes from (Gutiérrez-Basulto et al. 2015, Lemma 10).

**Theorem 4.**  $\text{ans}(DL\text{-Lite}_{RDFS}^{\neg}, UCQ^{\neg s, 1})$  is NP-complete in combined complexity and P-complete in data complexity. The hardnesses already hold for  $\text{ans}(DL\text{-Lite}_{RDFS}^{\neg}, CQ^{\neg s, 1})$ .

## 5 Inequalities

The work in (Cima, Lenzerini, and Poggi 2020) provides a thorough analysis of the problem of answering (U)CQ $\neq$ s over  $DL\text{-Lite}_{RDFS}^{\neg}$  ontologies analogous to the one of Section 4 for the case of (U)CQ $\neq$ s. However, the  $\Pi_2^p$ -hardness of  $\text{ans}(DL\text{-Lite}_{RDFS}^{\neg}, CQ^{\neq 2})$  was only conjectured there. In this section, we verify such conjecture, thus providing a fairly complete picture of the complexity of the problem at hand.

**Theorem 5.**  $\text{ans}(DL\text{-Lite}_{RDFS}^{\neg}, CQ^{\neq 2})$  is  $\Pi_2^p$ -hard in combined complexity.

We illustrate here a LOGSPACE reduction from  $\forall\exists\text{-CNF}$  to  $\text{ans}(DL\text{-Lite}_{RDFS}^{\neg}, CQ^{\neq 2})$ . Let  $\phi = \forall \bar{U}. \exists \bar{E}. \bigwedge_{i=1}^m (\ell_1^i \vee \ell_2^i \vee \ell_3^i)$ , where each  $\ell_j^i$  is a literal over  $\bar{U}$  and  $\bar{E}$ . We now construct a Boolean  $CQ^{\neq 2}$   $q_{\phi}$  and a  $DL\text{-Lite}_{RDFS}^{\neg}$  ontology  $\mathcal{O}_{\phi}$  such that  $\mathcal{O}_{\phi} \models q_{\phi}$  if and only if  $\phi$  is true.

**The Ontology  $\mathcal{O}_{\phi}$ .** We first illustrate the alphabet of  $\mathcal{O}_{\phi}$ . The *concept and role names* used in  $\mathcal{O}_{\phi}$  are the following.

- Concept names  $T, F$ ;
- One concept name  $G_i$ , for each clause  $\gamma_i$  of  $\phi$ ;
- One concept name  $V_j$ , for each variable  $U_j \in \bar{U}$ ;
- Role names  $A, C_1, C_0, Sat, L_1, L_2, L_3$ , and *Type*.

Additionally,  $\mathcal{O}_{\phi}$  uses the following *individual names*.

1.  $\text{nop}, 1, 0$ ,
2.  $111, 110, 101, 100, 011, 010, 001, 000$ ;
3. One  $v_i$  for each  $U_i \in \bar{U}$
4.  $g_i$  and  $\text{nop}_i$ , for each clause  $\gamma_i$  of  $\phi$ .

In what follows, we will often use the intentional definition

$$\{*_1 *_2 *_3 \mid \text{for each } *_1, *_2, *_3 \in \{1, 0\}\}$$

to denote (subsets of) the individual names in Item 2. For example,  $\{1 *_2 *_3, \text{for each } *_2, *_3 \in \{1, 0\}\}$ , will denote the set of all the above symbols that start with 1. Moreover, we will use  $\mathcal{V}$  for the set of individual names defined in Item 3, i.e.,  $\mathcal{V} = \{v_i \mid U_i \in \bar{U}\}$ .

Intuitively, the goal of the ontology  $\mathcal{O}_{\phi}$  is twofold: it simulates all the possible propositional assignments for the universally quantified letters  $\bar{U}$  of  $\phi$ , and it defines those propositional assignments for  $\bar{U} \cup \bar{E}$  that satisfy the (sub)formula  $\bigwedge_{i=1}^m (\ell_1^i \vee \ell_2^i \vee \ell_3^i)$ .

Towards this goal, the TBox  $\mathcal{T}$  of  $\mathcal{O}_{\phi}$  consists of only one assertion:  $T \sqsubseteq \neg F$ . On the contrary, the ABox  $\mathcal{A}$  of  $\mathcal{O}_{\phi}$  consists of two parts: one fixed, denoted by  $\mathcal{A}^{fix}$ , and one whose definition depends on  $\phi$ , denoted by  $\mathcal{A}^{for}$ . Firstly, we provide a definition for  $\mathcal{A}^{fix}$ .

1.  $T(1), F(0), A(1, 1), C_1(1, 0), C_0(1, 0)$ ;
2.  $Type(c, 1)$ , for each  $c \in \{*_1 *_2 *_3 \mid *_1, *_2, *_3 \in \{1, 0\}\}$ ;
3.  $L_1(c, 1)$ , for each  $c \in \{1 *_2 *_3 \mid *_2, *_3 \in \{1, 0\}\}$ ;
4.  $L_1(c, 0)$ , for each  $c \in \{0 *_2 *_3 \mid *_2, *_3 \in \{1, 0\}\}$ ;
5.  $L_2(c, 1)$ , for each  $c \in \{*_1 1 *_3 \mid *_1, *_3 \in \{1, 0\}\}$ ;
6.  $L_2(c, 0)$ , for each  $c \in \{*_1 0 *_3 \mid *_1, *_3 \in \{1, 0\}\}$ ;
7.  $L_3(c, 1)$ , for each  $c \in \{*_1 *_2 1 \mid *_1, *_2 \in \{1, 0\}\}$ ;
8.  $L_3(c, 0)$ , for each  $c \in \{*_1 *_2 0 \mid *_1, *_2 \in \{1, 0\}\}$ ;

Given a clause  $\gamma$  of  $\phi$  and a symbol  $*_1 *_2 *_3$  as above, we define the propositional assignment  $\chi_{*_1 *_2 *_3}^{\gamma}$  for the variables of  $\gamma$  as follows: for each  $j = 1, 2, 3$ ,  $\chi_{*_1 *_2 *_3}^{\gamma}(x_j) = \mathbf{T}$ , if  $*_j = 1$ , and  $\chi_{*_1 *_2 *_3}^{\gamma}(x_j) = \mathbf{F}$ , if  $*_j = 0$ , where  $x_j$  is the letter of the  $j$ -th literal of  $\gamma$ . For example, given  $\gamma = (x \vee \neg y \vee z)$ ,  $\chi_{101}^{\gamma}(x) = \mathbf{T}$ ,  $\chi_{101}^{\gamma}(y) = \mathbf{F}$ , and  $\chi_{101}^{\gamma}(z) = \mathbf{T}$ .

Next, we provide a definition for the subset  $\mathcal{A}^{for}$  of  $\mathcal{A}$ . Specifically, such set contains all the following.

1.  $A(v_i, 0), C_1(v_i, 1), C_0(v_i, 0), V_i(v_i)$ , for each  $v_i \in \mathcal{V}$ ;
2.  $G_i(g_i)$ , for each clause  $\gamma_i$  of  $\phi$ ;
3.  $Sat(g_i, c)$ , for each  $c \in \{*_1 *_2 *_3 \mid \chi_{*_1 *_2 *_3}^{\gamma_i} \models \gamma_i\}$ ;
4.  $Sat(g_i, \text{nop}_i), Type(\text{nop}_i, 0)$ , for each clause  $g_i$  of  $\phi$ ;
5.  $L_i(\text{nop}_j, \text{nop})$  if the variable of the  $i$ -th literal of the  $j$ -th clause is existentially quantified, for each  $i = 1, 2, 3$ ;
6.  $L_i(\text{nop}_j, v_k)$  if the variable of the  $i$ -th literal of the  $j$ -th clause is the letter  $U_k \in \bar{U}$ , for each  $i = 1, 2, 3$ .

**The Query  $q_{\phi}$ .** Next, we define the query  $q_{\phi}$ , which uses the following *individual variable symbols*:

1.  $y_0, y_1, y, t$ ,
2. One  $x_i$ , for each propositional letter  $X_i \in \bar{U} \cup \bar{E}$ ;
3. Variables  $z_i$  and  $a_i$ , for each  $\gamma_i \in \phi$ .

Similarly to  $\mathcal{O}_{\phi}$ , the query  $q_{\phi}$  consists of two parts: one fixed and another dependent on the formula  $\phi$ . We denote by  $q^{fix}$  the sets of atoms of the former, and  $q^{for}$  the set of atoms in the latter. The query  $q_{\phi}$  is defined as  $\exists \bar{x}. \bigwedge_{\alpha \in q^{fix}} \alpha \wedge \bigwedge_{\beta \in q^{for}} \beta$ , where  $\bar{x}$  is the set of all variables occurring in  $q^{fix} \cup q^{for}$ . The set  $q^{fix}$  consists of the following atoms:

1.  $A(y, t), C_1(y, y_1), C_0(y, y_0),$
2.  $(y \neq y_1), (y \neq y_0).$

The set  $q^{for}$  consists of the following atoms.

1.  $V_i(x_i)$ , for each propositional letter  $U_i \in \bar{U}$
2.  $G_i(z_i), Sat(z_i, a_i)$ , and  $Type(a_i, t)$ , for each  $\gamma_i \in \phi$ ;
3.  $L_1(a_i, x_{i,1}), L_2(a_i, x_{i,2})$ , and  $L_3(a_i, x_{i,3})$ , where  $X_{i,j}$  is the letter of the  $j$ -th literal of  $\gamma_i$ , for each  $\gamma_i \in \phi$ ;

Observe that those in Item 2 are the only two inequality atoms in  $q_\phi$ . Thus,  $q_\phi \in \mathbf{CQ}^{\neq 2}$  as needed.

**Example 6.** We illustrate a concrete example of our construction. Let  $\phi$  be the following formula

$$\forall U_1, U_2. \exists E_1, \exists E_2. (U_1 \vee \neg U_2 \vee E_1) \wedge (U_1 \vee \neg E_1 \vee \neg E_2)$$

The set  $A^{for}$  consists of the following:

- $A(v_1, 0), C_1(v_1, 1), C_0(v_1, 0), V_1(v_1);$
- $A(v_2, 0), C_1(v_2, 1), C_0(v_2, 0), V_2(v_2);$
- $G_1(z_1), G_2(z_2);$
- $Sat(z_1, 000), Sat(z_1, 001), Sat(z_1, 011), Sat(z_1, 100),$   
 $Sat(z_1, 101), Sat(z_1, 110), Sat(z_1, 111);$
- $Sat(z_2, 000), Sat(z_2, 001), Sat(z_2, 010), Sat(z_2, 100),$   
 $Sat(z_2, 101), Sat(z_2, 110), Sat(z_2, 111);$
- $Sat(z_1, \text{nop}_1), Type(\text{nop}_1, 0), L_1(\text{nop}_1, v_1), L_2(\text{nop}_1, v_2),$   
 $L_3(\text{nop}_1, \text{nop});$
- $Sat(z_2, \text{nop}_2), Type(\text{nop}_2, 0), L_1(\text{nop}_2, v_1), L_2(\text{nop}_2, \text{nop}),$   
 $L_3(\text{nop}_2, \text{nop});$

Similarly, the query  $q^{for}$  consists of the following:

- $V_1(u_1), V_2(u_2), G_1(z_1), G_2(z_2);$
- $Sat(g_1, a_1), Type(a_1, t), L_1(a_1, u_1), L_2(a_1, u_2), L_3(a_1, e_1);$
- $Sat(g_2, a_2), Type(a_2, t), L_1(a_2, u_1), L_2(a_2, e_1), L_3(a_2, e_2).$

Clearly, both  $q_\phi$  and  $\mathcal{O}_\phi$  can be constructed in LOGSPACE from a  $\forall\exists 3\text{CNF}$  formula  $\phi$ . Furthermore, it can be verified (see appendix) that, given a  $\forall\exists 3\text{CNF}$  formula  $\phi$ , we have that  $\mathcal{O}_\phi \models q_\phi$  if and only if  $\phi$  is true.

## 5.1 Query Containment in Relational Databases

In the query containment problem  $\text{cont}(\mathcal{Q}, \mathcal{Q}')$ , we are given two queries  $q \in \mathcal{Q}$  and  $q' \in \mathcal{Q}'$ , and the problem is to decide whether  $q \sqsubseteq q'$ , i.e.  $q(D) \subseteq q'(D)$  holds for each possible database  $D$ , where the latter can be viewed as a finite set of ground relational atoms.

By exploiting Theorem 5 and a polynomial time reduction from  $\text{ans}(DL\text{-Lite}_{\text{RDFS}}^{\neg}, \mathbf{CQ}^{\neq})$  to  $\text{cont}(\mathbf{CQ}^{\neq}, \mathbf{CQ}^{\neq})$  (see Proposition 3), we provide a tight separation between NP and  $\Pi_2^p$  cases on the number of inequality atoms in queries.

**Proposition 3.** Let  $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$  be a  $DL\text{-Lite}_{\text{RDFS}}^{\neg}$  ontology without role disjointness assertions. It is possible to construct in polynomial time a Boolean  $\mathbf{CQ}^{\neq} q_{\mathcal{O}}$  such that, for any Boolean  $\mathbf{CQ}^{\neq} q$ :  $\mathcal{O} \models q$  if and only if  $q_{\mathcal{O}} \sqsubseteq q$ .

*Proof Sketch.* For every constant  $c$  occurring in  $\mathcal{A}$ , we make use of a variable  $x_c$ . The  $\mathbf{CQ}^{\neq} q_{\mathcal{O}}$  is then the conjunction of the following atoms: (i)  $A(x_c)$  is an atom of  $q_{\mathcal{O}}$  if and only if  $\mathcal{O} \models A(c)$  and  $A \in \Sigma_{\text{AC}}$ ; (ii)  $P(x_c, x_{c'})$  is an atom of  $q_{\mathcal{O}}$  if and only if  $\mathcal{O} \models P(c, c')$  and  $P \in \Sigma_{\text{AR}}$ ; (iii)  $x_c \neq x_{c'}$  is an atom of  $q_{\mathcal{O}}$  if and only if there are basic concepts  $B$  and  $B'$  such that  $\mathcal{O} \models B(c), \mathcal{O} \models B'(c')$ , and  $\mathcal{T} \models B \sqsubseteq \neg B'$ .  $\square$

Notably, while  $\text{cont}(\mathbf{CQ}^{\neq a}, \mathbf{CQ}^{\neq b})$  is NP-complete if either  $a = 0$  or  $b \leq 1$  (Chandra and Merlin 1977; Cima, Lenznerini, and Poggi 2020), by looking at the reduction used to prove Theorem 5 and the reduction illustrated in the proof of Proposition 3, it is not hard to get the next result. We recall that membership in  $\Pi_2^p$  comes from (Klug 1988).

**Theorem 6.**  $\text{cont}(\mathbf{CQ}^{\neq 1}, \mathbf{CQ}^{\neq 2})$  is  $\Pi_2^p$ -complete.

## 6 Unique Name Assumption

The same upper bounds of Theorem 1 can be derived also under the UNA. To this end, we say that an interpretation  $\mathcal{I} = \langle \Delta^{\mathcal{I}}, \cdot^{\mathcal{I}} \rangle$  is  $U$ -local w.r.t. a  $DL\text{-Lite}_{\text{RDFS}}^{\neg}$  ontology  $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$  and a  $UCQ^{\neg s, \neq}$   $q$  if (i)  $c^{\mathcal{I}} = c$  for each  $c \in \Sigma_{\mathcal{C}}$ , (ii)  $A^{\mathcal{I}} \subseteq \text{dom}(\mathcal{A})$  for each atomic concept  $A \in \text{sig}(\mathcal{O}, q)$  and  $P^{\mathcal{I}} \subseteq \text{dom}(\mathcal{A}) \times \text{dom}(\mathcal{A})$  for each atomic role  $P \in \text{sig}(\mathcal{O}, q)$ , and (iii)  $S^{\mathcal{I}} = \emptyset$  for every  $S \notin \text{sig}(\mathcal{O}, q)$ .

**Theorem 7.**  $\text{ans}U(DL\text{-Lite}_{\text{RDFS}}^{\neg}, UCQ^{\neg s, \neq})$  is in  $\Pi_2^p$  in combined complexity and in  $\text{coNP}$  in data complexity.

*Proof Sketch.* Similarly to Proposition 1, it is possible to show that  $\bar{c} \notin \text{ans}U(q, \mathcal{O})$  if and only if there exists a  $U$ -local interpretation  $\mathcal{I}$  w.r.t.  $\mathcal{O}$  and  $q$  such that (i)  $\mathcal{I} \in \text{mod}U(\mathcal{O})$  and (ii)  $(c_1^{\mathcal{I}}, \dots, c_n^{\mathcal{I}}) \notin q(\mathcal{I})$ . Based on this property, we can employ a nondeterministic algorithm analogous to the one illustrated in the proof of Theorem 1.  $\square$

Complexity lower bounds for  $UCQ^{\neg s}$  under the UNA can be obtained from the fact that  $DL\text{-Lite}_{\text{RDFS}}^{\neg}$  is insensitive to the UNA for  $UCQ^{\neg s}$  answering, as stated by the next result.

**Proposition 4.** Let  $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$  be a  $DL\text{-Lite}_{\text{RDFS}}^{\neg}$  ontology and  $q$  be a  $UCQ^{\neg s}$ . We have that  $\text{ans}(q, \mathcal{O}) = \text{ans}U(q, \mathcal{O})$ .

By combining Proposition 4 with the construction presented in Section 4, one can easily obtain results analogous to Theorems 2, 3, and 4 for the case of the UNA.

Conversely, it is possible to show that answering  $UCQ^{\neq s}$  over  $DL\text{-Lite}_{\text{RDFS}}^{\neg}$  is much easier under the UNA than without the UNA, and in fact also tractable in data complexity.

**Theorem 8.**  $\text{ans}U(DL\text{-Lite}_{\text{RDFS}}^{\neg}, UCQ^{\neq})$  is NP-complete in combined complexity and in  $AC^0$  in data complexity.

## 7 Conclusions

In this work, we presented a thorough analysis of the complexity of answering queries with safe negation and inequalities over  $DL\text{-Lite}_{\text{RDFS}}^{\neg}$  ontologies. This extension of the widely used (DL counterpart of) RDFS with disjointness assertions has the potential to represent a strong theoretical underpinning for AI systems based on Knowledge Graphs. Additionally, one of our results contributes to the extensively studied problem of query containment for CQs with inequalities. This result provides a tight complexity characterization based on the number of inequality atoms in the queries.

As for future work, we observe that decidability of  $\text{ans}(DL\text{-Lite}_{\text{core}}, \mathbf{CQ}^{\neq})$  is still open today. Also, answering  $UCQ^{\neg s, \neq}$ s over  $DL\text{-Lite}_{\text{RDFS}}^{\neg}$  ontologies could be implemented in practice via systems that solve  $\Pi_2^p$ -complete problems, e.g. ASP solvers (Lifschitz 2019).

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