# VeriCompress: A Tool to Streamline the Synthesis of Verified Robust Compressed Neural Networks from Scratch

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#### Abstract

AI's widespread integration has led to neural networks (NNs) deployment on edge and similar limited-resource platforms for safety-critical scenarios. Yet, NN's fragility raises concerns about reliable inference. Moreover, constrained platforms demand compact networks. This study introduces Veri-Compress, a tool that automates the search and training of compressed models with robustness guarantees. These models are well-suited for safety-critical applications and adhere to predefined architecture and size limitations, making them deployable on resource-restricted platforms. The method trains models 2-3 times faster than the state-of-theart approaches, surpassing relevant baseline approaches by average accuracy and robustness gains of 15.1 and 9.8 percentage points, respectively. When deployed on a resourcerestricted generic platform, these models require 5-8 times less memory and 2-4 times less inference time than models used in verified robustness literature. Our comprehensive evaluation across various model architectures and datasets, including MNIST, CIFAR, SVHN, and a relevant pedestrian detection dataset, showcases VeriCompress's capacity to identify compressed verified robust models with reduced computation overhead compared to current standards. This underscores its potential as a valuable tool for end users, such as developers of safety-critical applications on edge or Internet of Things platforms, empowering them to create suitable models for safety-critical, resource-constrained platforms in their respective domains.

### Introduction

Neural Networks (NNs) are vulnerable to small deviations in the input, i.e., imperceptible adversarial perturbation defined by the perturbation budget  $\varepsilon$ , which can lead to inaccurate inferences (Goodfellow, Shlens, and Szegedy 2015). Such vulnerability may have catastrophic effects (Pereira and Thomas 2020) in safety-critical real-world applications such as medical diagnosis or autonomous driving, where the input data may often be slightly changed due to sensor noise, measurement errors, or adversarial attacks.

Although Madry et al. (2018) introduced PGD adversarial training to boost robustness, Gowal et al. (2018) revealed that such training doesn't guarantee robustness. Adversarial samples were discovered near the supposedly robust

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ones, raising concerns for safety-critical applications such as ACAS-Xu, pedestrian detection, and healthcare (Katz et al. 2017; Kim, Park, and Ro 2021; Guo et al. 2023).

To address this, Verified robustness training methods (Katz et al. 2017; Gowal et al. 2018; Zhang et al. 2020; Xu et al. 2020) were developed, providing robustness guarantees. These methods certify the absence of adversarial samples near robust ones. However, they achieve verified robustness at the expense of accuracy (Zhang et al. 2020; Xu et al. 2020). Despite this trade-off, ensuring robustness within specific feature space regions is crucial for the secure implementation of sensitive applications. E.g., these robustness assurances have been used to partition the feature space into regions of varying robustness for ACAS-Xu (Julian et al. 2019). This division facilitates training hierarchical models that exhibit high robustness in different feature space regions, thereby enhancing the reliability of neural network decisions in safety-critical contexts. Detailed background on verified robustness training is in Appendix A.

A challenge that yet remains unresolved is that many real-world safety-critical applications demand NNs to be deployed on resource-constraint platforms, such as drones, self-driving cars, smart-watches, etc., which kindles the need for highly compressed models, requiring significantly low computation and storage resources, all while achieving accuracy and verified robustness comparable to the denser counterparts developed in state-of-the-art. Finding such compressed verified robust NN architectures requires extensive computations, compounded by model size limitations. Moreover, the architecture varies based on applications, necessitating domain knowledge. E.g., image classification leans on convolutional layers (CNNs), while image captioning benefits from sequential architectures (RNNs).

This work aims to automate the exploration of compressed networks while concurrently training a verified robust model within the confines of a *parameter-budget*—an estimated count of parameters for the required compressed model suitable for resource-constrained device deployment. The paper presents VeriCompress, a tool enabling users to choose a desired architecture type in the form of an existing complex/dense randomly initialized model, defined as backbone architecture, and a parameter budget. VeriCompress then automatically identifies a compressed architecture, i.e., a sub-network within the specified backbone that

meets the parameter budget while attaining similar accuracy and verified robustness compared to denser models.

Research Gap being Addressed: Amid various pruning techniques, structured model pruning stands out for effectively decreasing model size and computational resources (Sadou et al. 2022) (refer to Appendix B for details). However, the leading pruning methods, Hydra (Sehwag et al. 2020) and Fashapley (Kang, Li, and Li 2023), designed to ensure verified robustness, exhibit suboptimal performance when applied to structured pruning. Additionally, these techniques necessitate prior training of the original dense model, leading to substantial resource and time burdens. In contrast, VeriCompress streamlines the process by initiating from a randomly initialized large model (backbone), considerably reducing training time and resource overhead. Moreover, VeriCompress simultaneously achieves relatively higher generalizability compared to state-of-the-art robust pruning approaches (Sehwag et al. 2020; Kang, Li, and Li 2023). In this work, we refer to model generalizability as the ability of the model to project high-standard accuracy and verified robustness simultaneously.

The paper's contributions are summarized below:

- This is the first work to demonstrate that verified robust compressed neural networks can be trained from scratch while outperforming baseline works (Sehwag et al. 2020; Kang, Li, and Li 2023) by an average of 15.1 and 9.8 percent points in accuracy and verified robustness.
- 2. VeriCompress extracts compressed NNs taking 2-3 times less training time than state-of-the-art structured pruning approaches in the same platform.
- 3. The compressed models thus achieved, when deployed on a resource-constrained generic platform, such as Google Pixel 6, require about 5-8 times less memory and 2-4 times less inference time compared to the starting architecture.
- 4. Our empirical study demonstrates that VeriCompress performs effectively on benchmark datasets (CIFAR-10, MNIST, & SVHN) and application-relevant *Pedestrian Detection* (N J Karthika 2020) dataset, and model architecture combinations used in the literature.

The following sections provide related work discussion, details of the approach, and supporting evaluations. Additionally, Appendices include relevant background.

# **Related Work**

With the recent advances in verified robustness, to the best of our knowledge, two recent works have aimed at achieving compressed networks that exhibit verified robutness (Sehwag et al. 2020; Kang, Li, and Li 2023).

Sehwag et al. (2020) (Hydra) proposed a three-step robustness-aware model training approach accounting for both adversarial robustness and verified robustness (also known as certified robustness). The pre-train step aims to generate a robust dense model, which then undergoes prune-training to learn a robustness-aware importance score for each parameter, which guides the final pruning mask. The finetuning step updates the retained weights to recover the

model's performance. Hydra sets an equal pruning ratio for all the layers to obtain the pruning mask, which ignores the fact that different layers hold different importance toward model inference.

Kang, Li, and Li (2023) developed FaShapley following Hydra's framework with the difference in the prune-training step. The update to a parameter's importance scores is equivalent to the magnitude of the product of weight and gradient, which is an efficient approximation for its shapely value. The final removal of weights can be done in a structured or unstructured manner based on the importance scores (Shapley values) considered globally.

This paper considers Hydra (Sehwag et al. 2020) and Fashapley (Kang, Li, and Li 2023) as baselines and shows their performance comparisons with VeriCompress.

# Parameter-Budget-Aware Architecture Search for Verified Robust Models

VeriCompress is a tool that takes an initially overparameterized randomly initialized NN architecture, serving as the backbone (essentially representing the desired architecture type), along with a parameter budget, and it then searches and identifies a verified robust model within the confinement of the backbone and specified size. The approach adapts the dynamic sparse training paradigm (Dai, Yin, and Jha 2019; Liu et al. 2021), which allows the model to learn sparse networks from scratch (discussed in Appendix B).

# **Problem Definition - The Learning Objective**

For a given randomly initialized backbone architecture  $\mathcal{M}_{\theta}$  with k parameters and a parameter budget k', the objective is to train a verified robust sparse network  $\mathcal{M}_{\theta^{\downarrow}}$  which uses only a subset of available parameters (of the backbone), that is,  $|\theta^{\downarrow}|_0 = k'$  and k' << k. The compressed model thus achieved should be comparable in generalizability to the backbone model being trained considering all k parameters, the generalizability being measured in terms of accuracy and verified robustness at the perturbation budget  $\varepsilon$ .

### **Detailed Discussion of the VeriCompress Approach**

In order to achieve the desired effect, during the compressed network search, a model element (kernels and nodes) can either be in an *active* or *dormant* state. All the parameters associated with a dormant element are set to zero. However, the presence of even a single non-zero parameter makes the element active. It is important to note that only the active elements contribute towards the inference during the forward pass. However, during the backward pass, the gradient is computed for both active and dormant elements, which allows the model to explore new structures when model weights are updated.

Algorithm 1 describes the VeriCompress procedure, that requires a backbone architecture  $M_{\theta}$ ; a target parameter-budget k'; the dataset  $\mathcal{D}$ ; and the maximum perturbation  $\varepsilon_{\text{max}}$ . It also requires hyperparameters that vary for different datasets and include the number of training epochs T,

### Algorithm 1: VeriCompress

**Require:**  $\mathcal{M}_{\theta}$ : Backbone architecture  $\diamond k'$ : Target parameter budget  $\diamond \mathcal{D} = (X_i, y_i)_{i=1}^m$ : Dataset  $\diamond \varepsilon_{\max}$ : Maximum Perturbation  $\diamond T$ : Number of training epochs  $\diamond (s, l)$ : Start and length of perturbation scheduler  $\diamond T$ -exp: Number of epochs to be used for expansion  $\diamond seed$ : A seed value

 Randomly initialize the backbone architecture using seed.

```
2: \mathcal{M}_{\theta'} = \mathcal{M}_{\theta^{\downarrow}} = \text{Deactivate}(\mathcal{M}_{\theta}, k')
 3: for epoch t = [1, 2, ..., T] do
            \varepsilon_t = \varepsilon-Scheduler(\varepsilon_{\max}, t, s, l)
 4:
            for minibatches d \in \mathcal{D} do
 5:
                 \mathcal{M}_{\theta'} = \underset{\theta}{\operatorname{argmin}} \ \mathcal{L}_{train}(\mathcal{M}_{\theta'}, d, \varepsilon_t)
 6:
 7:
            if t\% T-exp == 0 then
 8:
                 \mathcal{M}_{\theta'} = \mathcal{M}_{\theta^{\downarrow}} = \text{Deactivate}(\mathcal{M}_{\theta'}, k')
 9:
10:
            end if
11: end for
12: Remove the dormant parameters from \mathcal{M}_{\theta\downarrow}
```

the inputs for the  $\varepsilon$ -scheduler: s and l, and the number of epochs used for exploration: T-exp.

The approach initiates by activating a random subnetwork within the input backbone architecture with the specified parameter budget k' (lines 1-2). This model undergoes training for T epochs to minimize the loss  $\mathcal{L}_{\text{train}}$  (Equation 1), aiming for verified robustness (lines 3-11). Each model update step allows the exploration of new parameters in the backbone, as even the dormant elements are also involved, resulting in an auxiliary model  $\mathcal{M}_{\theta'}$  (lines 5-7). Since there is no restriction on the capacity of the auxiliary network  $\mathcal{M}_{\theta'}$ , it may comprise more than k' parameters. To restrict the sub-network size to k' parameters, the least important elements are deactivated every T-exp (<< T) epochs (lines 8-10), resulting in compressed model  $\mathcal{M}_{\theta^{\downarrow}}$ . The deactivation process involves evaluating the importance of each model element, as elaborated on in subsequent sections.

This iterative process of activating and deactivating model elements enables the concurrent exploration and training of a subnetwork while adhering to the underlying backbone architecture and model sizes' limitations (Liu et al. 2021; Evci et al. 2022). Algorithm 1 directs the training process by optimizing the training loss outlined in Equation 1, ultimately producing a verified robust subnetwork.

Finally, after T epochs, a robust sub-network  $\mathcal{M}_{\theta^{\downarrow}}$  with parameter budget k' is identified, but the backbone architecture still contains dormant elements. For efficient deployment, the dormant elements are removed from the model resulting in a compressed model that demands fewer memory and computation time resources while exhibiting high verified robustness (line 12) (Fang et al. 2023). Notably, this step does not require any further training.

Different components and design choices of the VeriCompress approach are discussed below:

# **Training Loss**

VeriCompress learns the model parameters to reduce the training loss as defined in state-of-the-art verified robust training approaches (Zhang et al. 2020):

$$\mathcal{L}_{\text{train}}(\mathcal{M}_{\theta}, \mathcal{D}, \varepsilon) = \sum_{(x_0, y) \in \mathcal{D}} \max_{x \in \mathbb{B}(x_0, \varepsilon)} \mathcal{L}(\mathcal{M}_{\theta}(x), y), \quad (1)$$

where  $\mathcal{D}$  is the dataset and  $(x_0,y)\in\mathcal{D}$ . Here,  $\mathbb{B}(x_0,\varepsilon)$  defines the  $\ell_{\infty}$ -ball of radius  $\varepsilon$  around sample  $x_0$ . Intuitively,  $\mathcal{L}_{\text{train}}$  is the sum of maximum cross-entry loss in the neighborhood of each sample in  $\mathcal{D}$  for perturbation amount  $\varepsilon$ . A detailed discussion about  $\mathcal{L}_{\text{train}}$  is provided in Appendix A.

The parameter weights, including the *dormant* ones, are updated according to their corresponding gradients. The perturbation  $\varepsilon$  used for computing  $\mathcal{L}_{\text{train}}$  is computed using the perturbation scheduler  $\varepsilon-scheduler(\varepsilon_{\max},t,s,l)$  (in line 4 of Algorithm 1) as discussed below.

- Perturbation Scheduler ( $\varepsilon$ -scheduler ( $\varepsilon$ -max, t, s, l): Following state-of-the-art robust training approaches (Gowal et al. 2018; Zhang et al. 2020; Xu et al. 2020), VeriCompress uses  $\varepsilon$ -scheduler to gradually increase perturbation starting at epoch s for l epochs. The gradual increase of epsilon prevents the problem of intermediate bound explosion while training; hence, it deems  $\varepsilon$ -scheduler necessary for effective learning (Appendix A).
- CROWN-IBP as the Sparse Regularizer in  $\mathcal{L}_{train}$  Zhang et al. (2020) noted that the verified robustness training mechanism proposed by Wong and Kolter (2018) and Wong et al. (2018) induce implicit regularization. CROWN-IBP (Zhang et al. 2020) incurs less regularization and shows an increasing trend in the magnitude of network parameters while training. However, according to our preliminary analysis, the implicit regularization caused by CROWN-IBP penalizes the network's parameters, making them smaller compared to naturally trained networks (aiming for only accuracy), causing a high fraction of the parameters to be close to zero. Removal of such less significant parameters has minimal impact on model generalizability.

For example, figure 1 shows the weight distribution of networks trained to minimize verified robust (CROWN-IBP (Zhang et al. 2020)) and natural losses. As evident from the distribution of weights, a higher fraction of weights in verified robust models have very low magnitudes ( $\approx 10^{-40}$ ).

This observation suggests that minimizing the loss  $\mathcal{L}_{train}$  bounding by CROWN-IBP penalizes the network parameter magnitudes. Existing sparse training approaches (Louizos, Welling, and Kingma 2018; He et al. 2020) used regularization terms in their loss function to promote sparsity. Since the  $\mathcal{L}_{train}$  implicitly incorporates regularization, VeriCompress does not include any additional regularization term.

# Parameter Deactivation Based on Element Importance

This step considers the importance of a node/kernel as a whole as the deciding factor for its state. All the parameters associated with the least significant nodes/kernels are set to zero. VeriCompress uses  $\ell_2$ -norm (following (He et al.

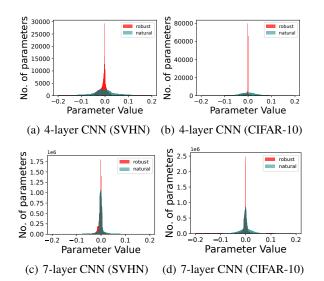


Figure 1: Weight distributions for (a) 4-layer CNN (SVHN) (b) 4-layer CNN (CIFAR-10) (b) 7-layer CNN (SVHN) (d) 7-layer CNN (CIFAR-10). The perturbation amount used for robust training is 2/255.

2018)) of all the parameter weights corresponding to a kernel or node to decide the indices of kernels/nodes to be deactivated from a layer. Since the kernels/nodes belonging to different layers have different numbers of parameters associated with them, the norm of these kernels/nodes are not comparable globally (Pytorch 2020). Therefore, the deactivation process is applied layer-wise.

Thus, if  $m_i$  represents the ith kernel/node of layer l and  $m_{i,j}$  represents  $j^{th}$  parameter associated with it, element deactivation can be formulated as:  $\theta_l^{\downarrow} = [m_i | m_i \in p_l^{th}$  percentile of  $\sqrt{\sum_j m_{i,j}^2}]$ , and  $\theta_l - \theta_l^{\downarrow} = 0$ , where  $\theta_l^{\downarrow}$  represents active kernel/node retained at layer l after deactivation and  $p_l$  is the percentage of parameters to be deactivated at layer l. The value of  $p_l$  is decided based on Erdős-Rényi-Kernel scaling (used in (Evci et al. 2021; Raihan and Aamodt 2020)).

# **Experiments**

The following section's evaluations demonstrate (1) The effectiveness of VeriCompress in achieving verified robust models which outperform the state-of-the-art structured pruning approaches; (2) The scalability and generalizability of VeriCompress with *backbone network* variations, i.e., with different network complexities and architectures, such as Resnet, ResNext, and DenseNet; (3) The applicability of VeriCompress to a practical application domain; and (4) the reduction in memory, training-time, and inference-time.

**Metrics for evaluations:** This paper utilizes the metrics used in the previous works for formal robustness verification (Sehwag et al. 2020; Kang, Li, and Li 2023) to evaluate the compressed models. The metrics are:

• Standard Accuracy: Percentage of benign samples classified correctly.

 Verified Accuracy: Percentage of benign samples which are certified to be robust using verified robustness mechanism IBP (Gowal et al. 2018), thus, measures the verified robustness of the model. Details are in Appendix A.

**Datasets and Models:** For a fair comparison with state-of-the-art (Kang, Li, and Li 2023), benchmark dataset CIFAR-10 (Krizhevsky and Hinton 2009) and SVHN (Netzer et al. 2011) are used. To demonstrate the effectiveness and generalizability of VeriCompress, evaluations are shown for two more datasets: MNIST (LeCun and Cortes 2010) and Pedestrian Detection (N J Karthika 2020). To demonstrate the versatility of the approach across different architectures, we evaluate (a) Two CNNs with varying capacity: 4-layer CNN and 7-layer CNN (Gowal et al. 2018; Zhang et al. 2020); (b) Network with skip connections: A 13-layer ResNet (Wong et al. 2018); (c) Two DNNs: DenseNet and ResNext (Xu et al. 2020). Notably, the models used in this work are used in state-of-the-art robust training approaches, as cited next to their names.

**Perturbation Amount:** For image classification datasets, the perturbations are introduced in  $\ell_p$ —ball of radius  $\varepsilon$  (perturbation amount),  $\mathbb{B}_p(x_0,\varepsilon)$  (defined in Appendix A). For a fair comparison, while comparing our results with baselines (Sehwag et al. 2020; Kang, Li, and Li 2023), we use the same perturbation budget used in these works: 2/255 for CIFAR-10 and SVHN. For MNIST, we use a  $\varepsilon=0.4$ , the highest perturbation amount for MNIST (i.e., most difficult scenarios) used in the literature addressing formal verification and training (Gowal et al. 2018; Zhang et al. 2020; Wong et al. 2018; Xu et al. 2020). For the Pedestrian Detection dataset, we use 2/255 as the perturbation amount.

**Hyperparameters:** VeriCompress requires a set of hyperparameters as inputs:  $\varepsilon-scheduler$  inputs (T,s,l) and exploration length T-exp. T is the total number of training epochs, s is the epoch number at which the perturbation scheduler should start to increment the amount of perturbation, and l specifies the length of the schedule, that is, the number of epochs in which the perturbation scheduler has to reach the maximum amount of perturbation  $\varepsilon_{\max}$ .

These values are as follows: (1) For SVHN and CIFAR-10, we use ((T,s,l)=(330,15,150) (2) For MNIST, we use ((T,s,l)=(100,10,60), and (3) For Pedestrian Detection, the hyperparameters (T,s,l)=(100,20,60) resulted in the models displaying the least errors empirically. Notably, using a fixed perturbation amount throughout training results in a trivial model.

To obtain the optimum values for the length of exploration *T-exp*, Optuna (Akiba et al. 2019), a parameter-tuning tool, is used in order to attain the combined objective of maximizing *Standard* and *Verified* accuracy.

**Learning-Rate Decay:** VeriCompress employs learning rate decay once the perturbation scheduler achieves the maximum perturbation. An initial high learning rate allows the dormant elements a fair chance to be considered toward the sub-network structure during the model exploration.

Formal Verification Mechanisms to Compute  $\mathcal{L}_{train}$  and Verified Accuracy: VeriCompress training employs CROWN-IBP (Zhang et al. 2020). However, to be consistent with the state-of-the-art (Sehwag et al. 2020; Kang, Li,

and Li 2023), this section's presented evaluations use IBP to compute *Verified accuracy*.

# Establishing the Effectiveness of VeriCompress: Comparison with Baselines

This section compares the compressed models obtained via VeriCompress with the state-of-the-art approaches: FaShapley (Kang, Li, and Li 2023) (best-baseline) and Hydra (Sehwag et al. 2020). Unfortunately, we could not reproduce the results for FaShapley with the provided code. Therefore, for a fair comparison, this section's evaluations are done with the same combination of model, dataset, and sparsity amounts as used in FaShalpley (Kang, Li, and Li 2023) and compared with the baseline results as provided in the paper.

Table 1 shows the comparison of VeriCompress with Fashapley and Hydra for two architectures: 4-layer CNN and 7-layer CNN trained for CIFAR-10 and SVHN. The perturbation amount used for training and testing these models is 2/255. The model size is shown in terms of the number of parameters where M stands for million. The percentage next to the model size represents the compressed model's size with respect to the backbone architecture. Across all model, dataset, and compression combinations, VeriCompress attains an average increment of 15.1 and 9.8 percent points in *Standard* and *Verified* accuracy, respectively. The results shown in Table 1 are an average of computations for three seed values followed by their error bars.

# **Establishing the Wider Applicability**

This section demonstrates the applicability and scalability of VeriCompress by evaluating various *backbone network* architectures, complexities, and datasets. Evaluation results are discussed below:

- Evaluation for Complex Models: To demonstrate the scalability of VeriCompress to more complex backbone network architectures, we compute compressed models for Resnet, DenseNet, and ResNext (used by (Xu et al. 2020)) on the CIFAR-10 dataset. The evaluation (Kaur, Xiao, and Salekin 2023b) shows that VeriCompress extracted compressed models' performance in comparison to their dense counterparts is comparable to the Table 1 evaluation results, showing VeriCompress performs similarly across different network complexities and architectures.
- Evaluations for more Datasets: This section evaluates VeriCompress's generalizability on MNIST and Pedestrian Detection). The evaluation (Kaur, Xiao, and Salekin 2023b) demonstrates the results for additional image datasets: (a) a pedestrian detection dataset that aims at differentiating between people and people-like objects (N J Karthika 2020), and (b) MNIST. The backbone architecture used for these evaluations is a 7-layer CNN, adapted to the respective datasets. For both datasets, the performance of the compressed models is comparable to that of their dense counterparts. The perturbation amount used for Pedestrian Detection and MNIST are 2/255, and 0.4.

### **Training and Inference Resource Reduction**

This section evaluates the training and inference time, and resource reduction through the VeriCompress extracted

compressed NNs, compared to their dense counterparts and baselines.

Reduction in Training Time for VeriCompress's Compressed Network Extraction Compared to the Baselines: VeriCompress requires almost one-third of clock-time as that of the pruning-based baselines (Sehwag et al. 2020; Kang, Li, and Li 2023). The evaluation (Kaur, Xiao, and Salekin 2023b) shows the training time required by Hydra (Sehwag et al. 2020), FaShapley (Kang, Li, and Li 2023) and VeriCompress for 4-layer CNN and 7-layer CNN trained for CIFAR-10, while using the same number of training epochs. That is, the total number of epochs used in pretraining, pruning, and fine-tuning for state-of-the-art (Sehwag et al. 2020; Kang, Li, and Li 2023) and an equal number of epochs for VeriCompress. These training times are computed for NVIDIA RTX A6000.

Real-deployment Evaluations on the Reduction in Time and Memory Requirement of Compressed Models: VeriCompress's compressed models result in memory and inference time reductions in the resource constraint generic platforms. Table 2 compares compressed models with their dense counterparts on Google Pixel 6, which has 8GB RAM, powered by a 2.8GHz octa-core Google Tensor processor, running on an Android 12.0 system.

The inference time shown in Table 2 is computed as the average over the result of three experiment trials, which computes the average time required per sample over 10,000 repetitions. It is observed that compressed 7-layer CNN and Resnet require about 5-8 times less memory, 2-4 times less inference time, and significantly less RAM used by their dense counterparts. These evaluations demonstrate the impact of VeriCompress in enabling resource-constraint generic platforms to leverage verified robust models. However, the resource reductions come at the cost of reduced generalizability which is discussed in Appendix D.

# **Real World Deployment Scope and Impact**

Medical diagnosis and other safety-critical applications such as autonomous driving need a guarantee of accurate inferences while having a model complying with memory constraint (Lederer et al. 2022). However, training such compressed NN models using state-of-the-art structured pruning approaches requires in-depth knowledge of pruning and extensive computation resources. In addition, tuning a large number of hyper-parameters during training and network structure identification increases the computation time exponentially. This complexity might not be practical for end-users, including developers in domains like internet-of-things (IoT), embedded systems, or medical applications. Following VeriCompress's traits make it a viable solution for such scenarios:

• Automates the learning of compressed models: Veri-Compress enables the user to give as input a complex model architecture and a parameter budget that depends on the memory constraint of the deployment platform and generates a compressed model which exhibits high verified robustness. Thus, the user doesn't need the expertise of model pruning mechanisms to achieve the compressed model.

	Model	Method	Standard	Verified	Standard	Verified
CIFAR-10	4-layer CNN	Parameters	0.106 M (50%)		0.085 M (40%)	
	Size=0.215 M	Hydra	44.2	32.5	32.5	22.0
	Standard/	FaShapley	46.7	36.9	32.1	26.8
	Verified	VeriCompress	<b>52.1</b> ( $\pm 0.31$ )	<b>42.2</b> ( $\pm 0.15$ )	<b>50.6</b> (±1.86)	<b>41.0</b> ( $\pm 1.93$ )
	54.3/42.9	$\Delta$	+5.4	+5.3	+18.5	+14.2
	7-layer CNN	Parameters	3.295 M (20%)		1.598 M (10%)	
	Size=17.190 M	Hydra	52.1	39.8	10.0	10.0
	Standard/	FaShapley	55.5	43.9	19.5	14.2
	Verified	VeriCompress	<b>59.1</b> (±0.55)	<b>44.9</b> ( $\pm 2.72$ )	<b>55.1</b> (±1.27)	<b>43.8</b> ( $\pm 1.22$ )
	66.3/47.0	Δ	+3.6	+1.0	+35.6	+29.6
	4-layer CNN	Parameters	0.106 M (50%)		0.065 M (30%)	
	Size=0.215 M	Hydra	47.8	33.9	19.6	19.6
NHAS	Standard/	FaShapley	49.5	37.2	41.9	32.3
	Verified	VeriCompress	<b>57.8</b> (±2.45)	<b>38.8</b> ( $\pm 2.34$ )	<b>54.9</b> (±3.33)	<b>37.5</b> ( $\pm 1.42$ )
	60.0/41.4	Δ	+8.3	+1.6	+13.0	+5.2
	7-layer CNN	Parameters	3.295 M (20%)		2.239 M (15%)	
	Size=17.190 M	Hydra	49.2	34.9	15.9	15.9
	Standard/	FaShapley	60.1	43.6	39.0	32.0
	Verified	VeriCompress	<b>68.7</b> ( $\pm 1.35$ )	<b>49.4</b> (±1.94)	<b>66.9</b> (±4.95)	<b>47.7</b> (±4.38)
	73.8/55.4	Δ	+8.6	+5.8	+27.9	+15.7

Table 1: Standard and Verified Accuracy for 4-layer CNN and 7-layer CNN trained for CIFAR-10 and SVHN at  $\varepsilon=2/255$  for different parameters budgets (corresponding to structured pruning amounts used in the baseline).  $\Delta$  represents the change as compared to the best baseline, M stands for million parameters

Model	$Compression \Rightarrow$	0%	90%
	Inference Time(ms)	6.4	3.5
7 lover CNN	Memory(MB)	68.8	13.7
7-layer CNN	Peak CPU usage (%)	55	51
	Peak RAM usage(Mb)	98	60
	Inference Time(ms)	4.54	0.81
Resnet	Memory(MB)	16.9	2.07
Resilet	Peak CPU usage(%)	54	51
	Peak RAM usage(Mb)	50	37

Table 2: Comparison of inference time per sample and resource requirements for compressed models for 7-layer CNN and Resnet trained for CIFAR-10 with their dense counterparts on Google Pixel 6

- $\bullet$  Faster training: Notably, the training time of the Veri-Compress approach is 2-3 times less than the baseline structured pruning approaches. Furthermore, VeriCompress computes the importance of a model element (kernel/node) using the magnitude of its parameters, which is data independent; thus, requires significantly less computation as compared to state-of-the-art approaches that rely on specific data-dependent importance scores training phases.
- Fewer hyper-parameters to tune: In addition to common hyper-parameters such as batch size, learning rate, etc., verified robustness approaches generally require hyper-parameters such as the number of epochs and start-and-end of perturbation scheduler. State-of-the-art structured pruning approaches Hydra and Fashapley need to tune these hyper-parameters for 3 phases: pre-training, pruning, and finetuning. In contrast, VeriCompress reduces that to a single phase.

# Conclusion

This paper presents VeriCompress - a tool designed to streamline the synthesis of compressed verified robust NNs from scratch, leveraging relatively less computation, time, and effort than the state-of-the-art literature. Our empirical evaluations demonstrate the effectiveness of VeriCompress

in achieving compressed networks of the required size while exhibiting generalizability comparable to its dense counterparts. The practical deployment of these resultant models onto a resource-constraint generic platform effectively demonstrates reductions in both memory and computational demands. The accelerated generation process, broader applicability, and effectiveness of VeriCompress will greatly assist users such as edge, embedding systems, or IoT developers in effortlessly generating and deploying verified robust compressed models tailored for their respective safety-critical and resource-constrained generic platforms.

### **Supplementary Information**

- 1. Appendix: See ref. (Kaur, Xiao, and Salekin 2023b).
- 2. Source Code: See ref. (Kaur, Xiao, and Salekin 2023a)

### **Acknowledgments**

This work was partly supported by NSF IIS SCH #2124285 and NSF CNS CPS #2148187.

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