## Learning Rational Subgoals from Demonstrations and Instructions

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#### Abstract

We present a framework for learning useful subgoals that support efficient long-term planning to achieve novel goals. At the core of our framework is a collection of rational subgoals (RSGs), which are essentially binary classifiers over the environmental states. RSGs can be learned from weakly-annotated data, in the form of unsegmented demonstration trajectories, paired with abstract task descriptions, which are composed of terms initially unknown to the agent (e.g., collect-wood then craft-boat then go-across-river). Our framework also discovers dependencies between RSGs, e.g., the task collect-wood is a helpful subgoal for the task craft-boat. Given a goal description, the learned subgoals and the derived dependencies facilitate off-the-shelf planning algorithms, such as  $A^*$  and RRT, by setting helpful subgoals as waypoints to the planner, which significantly improves performance-time efficiency. Project page: https://rsg.csail.mit.edu

#### Introduction

Being able to decompose complex tasks into subgoals is critical for efficient long-term planning. Consider the example in Fig. 1: planning to craft a boat from scratch is hard, as it requires a long-term plan going from collecting materials to crafting boats, but it can be made easier if we know that *having an axe* and *having wood* are useful sub-goals. Planning hierarchically with these subgoals can substantially reduce the search required. It is also helpful to understand the temporal dependencies between these subgoals, such as *having wood* being a useful subgoal to achieve *prior to crafting boat* makes long-term planning much more efficient.

In this work, we propose *Rational Subgoals* (RSGs), a framework for learning useful subgoals and their temporal dependencies from demonstrations. Our system learns with very weak supervision, in the form of a small number of *unsegmented* demonstrations of complex behaviors paired with abstract task descriptions. The descriptions are composed of terms that are initially unknown to the agent, much as an adult might narrate the high-level steps when demonstrating a cooking recipe to a child. These action terms indicate important *subgoals* in the action sequence, and our agent learns

to detect when these subgoals are true in the world, infer their temporal dependencies, and leverage them to plan efficiently.

Illustrated in Fig. 1, our model learns from a dataset of paired but unaligned low-level state-action sequences and the corresponding abstract task description (*collect-wood* <u>then</u> craft-boat <u>then</u> go-across-river). For each action term o (e.g., collect-wood), our model learns a goal condition  $G_o$ , which maps any state to a binary random variable, indicating whether the state satisfies the goal condition. Given the training data, we decompose the observed trajectory into fragments, each of which corresponds to a "rational" sequence of actions for achieving a subgoal in the description.

While this model-based approach enables great generality in generating behaviors, it suffers from the slow online computation. To speed up online planning, we compute a dependency matrix whose entries encode which subgoals might be helpful to achieve before accomplishing another subgoal (e.g., *having wood* is a helpful subgoal for the task *crafting boat*, and thus the entry (*having wood*, *crafting boat*) will have a higher weight). During test time, given a final goal (e.g., *craft boat*) and the initial state, a hierarchical search algorithm is applied at both the subgoal level and the lower, environmental-action level.

The explicit learning of subgoals and their dependency structures brings two important advantages. First, the subgoal dependency allows us to explicitly set helpful subgoals as waypoints for planners. This significantly improves their runtime efficiency. Second, compared to alternative subgoal parameterizations such as reward functions, subgoals in the form of a state classifier allows us to use simple and efficient planners. For example, in continuous spaces, we can use Rapidly-exploring Random Trees (RRT) to search for plans in the robot configuration space. These planers do not require training and generalize immediately to novel environments.

We evaluate RSGs in Crafting World (Chen, Gupta, and Marino 2021), an image-based grid-world domain with a rich set of object crafting tasks, and Playroom (Konidaris, Kaelbling, and Lozano-Perez 2018), a 2D continuous domain with geometric constraints. Our evaluation shows that our model clearly outperforms baselines on planning tasks where the agent needs to generate trajectories to accomplish a given task. Another important application of RSGs is to create a language interface for human-robot communication, which includes robots interpreting human actions and humans in-

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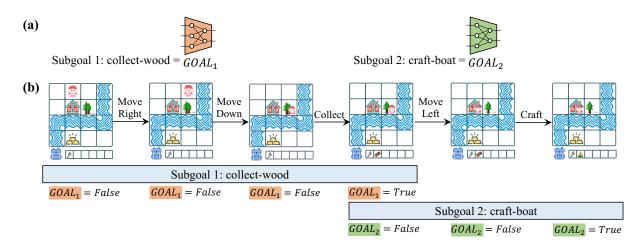


Figure 1: Interpreting a demonstration and its description in terms of RSGs: (a) Each RSG is represented as a subgoal  $G_o$ . (b) The system infers a transition to the next subgoal if the G condition is satisfied. Such transition rules can be used to interpret demonstrations and to plan for tasks that require multiple steps to achieve.

structing robots by specifying a sequence of subgoals. Our model enables compositional generalization through flexible re-composition of learned subgoals, which allows the robot to interpret and execute novel instructions.

## **Rational Subgoal Learning and Planning**

We focus on learning rational subgoals from demonstration data and leveraging them for planning. Formally, our training data is a collection of paired *unsegmented* demonstrations (i.e., state and action sequences) and abstract descriptions (e.g., *collect-wood <u>then</u> craft-boat*) composed of action terms (*collect-wood*, etc.) and connectives (<u>then</u>, <u>or</u>). Our ultimate goal is to recover the *grounding* (i.e., the corresponding subgoal specified by the action term) for each individual action term. These subgoals will be leveraged by planning algorithms to solve long-horizon planning problems.

We begin this section with basic definitions of the rational subgoal representations and the language  $\mathcal{TL}$  for abstract descriptions. Second, we outline the planning algorithm we use to refine high-level instructions in  $\mathcal{TL}$  into environmental actions that agents can execute, given the RSGs. Although any search algorithms or Markov Decision Process (MDP) solvers are in principle applicable for our planning task, in this paper, we have focused on a simple extension to the A\* algorithm. Next, we present the algorithm we use to learn RSGs from data. Since we are working with unsegmented trajectories, the learning algorithm has two steps. It first computes a rationality score for individual actions in the trajectory based on the optimal plan derived from the A\* algorithm. Then, it uses a dynamic programming algorithm to find the best segmentation of the trajectory and updates the parameters. Finally, we describe a dependency discovery algorithm for RSGs and apply it to solve planning tasks given only a single goal action term (e.g., *collect-gold*), in contrast to the earlier case where there are detailed step-by-step instructions.

We call our representation *rational* subgoals because our learning algorithm is based on a *rationality* objective with

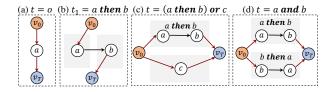


Figure 2: Illustrative example of how finite state machines (FSM) are constructed from task descriptions. The superstarting node  $v_0$  and the super-terminal node  $v_T$  are highlighted.

respect to demonstration trajectories, and our planning algorithm chooses *rational* subgoals to accelerate the search.

Formally, a rational subgoal (RSG) is a classifier that maps an environmental state s to a Boolean value, indicating whether the goal condition is satisfied at s. Each RSG has an atomic name o (e.g., *collect-wood*), and the corresponding goal classifier is denoted by  $G_o$ . Depending on the representation of states,  $G_o$  can take various forms of neural networks, such as convolutional neural networks (CNNs) for image-based state representations.

In both learning and planning, we will be using an abstract language to describe tasks, such as *collect-wood <u>then</u> craft-boat*. These descriptions are written in a formal task language  $\mathcal{TL}$ . Syntactically, all atomic subgoals are in  $\mathcal{TL}$ ; and for all  $t_1, t_2 \in \mathcal{TL}$ ,  $(t_1 \underline{then} t_2)$ ,  $(t_1 \underline{or} t_2)$ , and  $(t_1 \underline{and} t_2)$ are in  $\mathcal{TL}$ . Semantically, a state sequence  $\bar{s}$  satisfies a task description t, written  $\bar{s} \models t$  when:

- If t is a RSG o, then the first state does not satisfy Go, and the last state satisfies Go. Note that this implies that the sequence s̄ must have at least 2 states.
- If  $t = (t_1 \underline{then} t_2)$  then  $\exists 0 < j < n$  such that  $(s_1, \ldots, s_j) \models t_1$  and  $(s_j, \ldots, s_n) \models t_2$ : task  $t_1$  should be accomplished before  $t_2$ .
- If  $t = (t_1 \text{ or } t_2)$  then  $\bar{s} \models t_1$  or  $\bar{s} \models t_2$ : the agent should either complete  $t_1$  or  $t_2$ .

• If  $t = (t_1 \underline{and} t_2)$  then  $\overline{s} \models (t_1 \underline{then} t_2)$  or  $\overline{s} \models (t_2 \underline{then} t_1)$ : the agent should complete both  $t_1$  and  $t_2$ , but in any order  $(t_1 \text{ first or } t_2 \text{ first})^*$ .

Note that the relation  $\overline{s} \models t$  only specifies whether  $\overline{s}$  completes t but not how optimal  $\overline{s}$  is. Later on, when we define the planning problem, we will introduce the trajectory cost.

Each task description  $t \in \mathcal{TL}$  can be represented with a non-deterministic finite state machine (FSM), representing the sequential and branching structures. Each  $FSM_t$  is a tuple  $(V_t, E_t, VI_t, VG_t)$  which are subgoal nodes, edges, set of possible starting nodes and set of terminal nodes. Each node corresponds to an action term in the description, and each edge corresponds to a possible transition of changing subgoals. Fig. 2 illustrates the constructions for syntax in  $\mathcal{TL}$ , and we provide the follow algorithm for the construction.

- Single subgoal: A single subgoal s is corresponding FSM with a single node i.e.  $VI_t = VG_t = V_t = \{s\}$ , and  $E_t = \emptyset$ .
- $t_1 \underline{then} t_2$ : We merge  $FSM_{t_1}$  and  $FSM_{t_2}$  by merging their subgoal nodes, edges and using  $VI_{t_1}$  as the new starting node set and  $VG_{t_2}$  as the new terminal node set. Then, we add all edges from  $VG_{t_1}$  to  $VI_{t_2}$ . Formally,

 $\begin{aligned} & \text{FSM}_{t_1 \text{ then } t_2} = \\ & (V_{t_1} \cup V_{t_2}, E_{t_1} \cup E_{t_2} \cup (VG_{t_1} \times VI_{t_2}), VI_{t_1}, VG_{t_2}), \end{aligned}$ 

where  $\times$  indicates the Cartesian product, meaning that each terminal node of FSM<sub>t1</sub> can transit to any starting node of FSM<sub>t2</sub>.

•  $t_1 \ \underline{or} \ \cdots \ \underline{or} \ t_n$ : Simply merge *n* FSMs without adding any new edges. Formally,

$$\mathsf{FSM}_{t_1} \text{ or } \dots \text{ or } t_n = (\bigcup_i V_{t_i}, \bigcup_i E_{t_i}, \bigcup_i VI_{t_i}, \bigcup_i VG_{t_i})$$

•  $t_1 \text{ and } \cdots \text{ and } t_n$ : Build  $2^{n-1}n$  sub-FSMs over n layers: the *i*-th layer contains  $n \cdot \binom{n-1}{i-1}$  sub-FSMs each labeled by (s, D) where s is the current subgoal to complete (so this sub-FSM is a copy of  $FSM_s$ ), and D is the set of subgoals that have been previously completed. Then for a sub-FSM  $(s_1, D_1)$  and a sub-FSM  $(s_2, D_2)$  in the next layer, if  $D_2 = D_1 \cup \{s_1\}$ , we add all edges from terminal nodes of the first sub-FSM to starting nodes of the second sub-FSM. After building layers of sub-FSMs and connecting them, we set the starting nodes to be the union of starting nodes in the first layer and terminal nodes to be the union of terminal nodes in the last layer.

Note that our framework requires the starting and terminal nodes to be unique, but the construction above may output a FSM with multiple starting/terminal nodes, so we introduce the virual super starting node  $v_0$  and terminal node  $v_T$  to unify them.

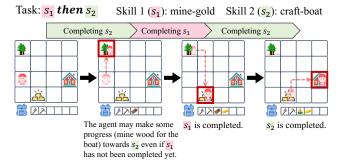


Figure 3: An example of optimal interleaving subgoals:  $s_1$  is "mine gold", and  $s_2$  is "craft boat". It is valid that the agent first goes to collect wood (for accompolishing  $s_2$ ), and then mine gold (for accompolishing  $s_1$ ), and finally crafts boat. In this case, the action sequences for completing  $s_1$  and  $s_2$  are interleaved. However, they can are be recognized as  $s_2$  then  $s_2$  because  $s_1$  is accomplished before  $s_2$ .

**Remark.** In this paper, the language  $\mathcal{TL}$  used for describing tasks covers  $LTL_f$ , a finite fragment of LTL that does not contain the *always* quantifier, so our fragment does not model task specifications that contain infinite loops. Finite LTL formulae can be converted to a finite automaton (De Giacomo and Vardi 2013), represented using the FSM.

Execution steps for different subgoals can interleave. RSGs does not simply run optimal policy for each individual subgoal sequentially. Rather, the semantic of  $s_1 \underline{then} s_2$  is:  $s_1$ should be completed before  $s_2$ . It does not restrict the agent from making progress towards the subgoal before the subgoal is completed. In some case, such interleaving is necessary to obtain the globally optimal trajectory.

Consider the example shown in Figure 3, where  $s_1$  is "mine-gold", and  $s_2$  is "craft-boat". It is valid that the agent first goes to collect wood (for accompolishing  $s_2$ ), and then mine gold (for accompolishing  $s_1$ ), and finally crafts boat. In this case, the action sequences for completing  $s_1$  and  $s_2$  are interleaved. However, they can are be recognized as  $s_1 \underline{then} s_2$  because  $s_1$  is accomplished before  $s_2$ .

## **Planning with RSGs**

We first consider the problem of planning an action sequence that satisfies a given task description t written in  $\mathcal{TL}$ . We assume that the external world is well modeled as a deterministic, fully observable decision process with a known state space, an action space, a transition function, and a cost function  $\langle S, A, T, C \rangle$  and that we have a set of goal classifiers  $G_{\rho}$  parameterized by  $\theta$ . Given a task t, we construct an FSM representation and then compose it with the environment process to obtain an FSM-augmented process  $\langle S_t, A_t, T_t, C_t \rangle$ . Concretely,  $S_t = S \times V_t$ , where  $V_t$  is the set of nodes of FSM constructed from task t. We then denote each task-augmented state as (s, v), where s is the environment state, and v indicates the current subgoal. The actions  $\mathcal{A}_t = \mathcal{A} \cup FSM_t$ , where each action either corresponds to a primitive action  $a \in \mathcal{A}$  or a transition in FSM<sub>t</sub>. An FSM transition action indicates that the agent has achieved the current

<sup>\*</sup>The operator <u>and</u> can be generalized be n-ary. In this case, accomplishing them in any order is considered accomplishing the composed task. For example, the task *mine-wood <u>and mine-gold</u> <u>and mine-coal</u> allows the agent to accomplish all three subgoals in any order. Note that this is different from the specification with parenthesis: (<i>mine-wood and mine-gold*) and mine-coal.

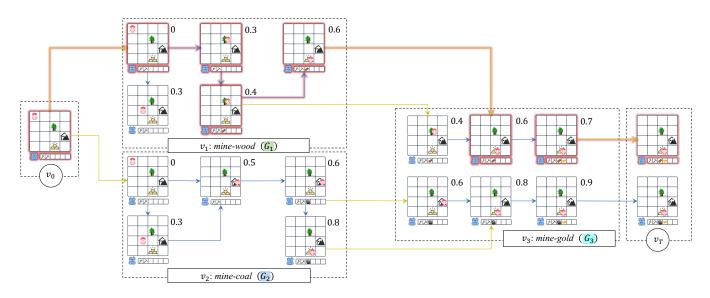


Figure 4: A running example of the FSM-A<sup>\*</sup> algorithm for the task "(*mine wood or mine coal*) then mine gold." For simplicity, we only show a subset of states visited on each FSM node. The blue arrows indicate transitions by primitive actions (in this example, each primitive action takes a cost of 0.1). The yellow arrows are transitions on the FSM, which can only be performed when  $G_v(\cdot)$  and  $G_{v'}(\cdot)$  evaluates to False (in practice, the reward is computed as  $-(\log G_v(\cdot) + \log (1 - G_{v'}(\cdot))))$ ). At the super-terminal node  $v_T$ , the state with minimum cost will be selected and we will back-trace the entire state-action sequence. The number right of each state indicates the accumulated cost.

subgoal and will proceed to the next subgoal. We further define  $\mathcal{T}_t((s, v), a) = (\mathcal{T}(s, a), v)$  if a is a primitive action in  $\mathcal{A}$ , while  $\mathcal{T}_t((s, v), a) = (s, v')$  if  $a = (v, v') \in FSM_t$  is an edge in the FSM. The former are environmental actions. They only change the environmental state s but do not change the current subgoal v. The latter, namely FSM transitions, do not change the environmental state, but mark the current subgoal as completed and switch to the next one. Similarly, for the cost function,

$$\mathcal{C}'\left((s,v),a\right) = \begin{cases} \mathcal{C}(s,a) & \text{if } a \in \mathcal{A}, \\ -\lambda\left(\log G_v(s;\theta) + & \text{if } a = (v,v') \in \mathsf{FSM}_t \\ \log\left(1 - G_{v'}(s;\theta)\right)\right) \end{cases}$$

where  $\lambda$  is a hyperparameter. The key intuition behind the construction of  $C_t$  is that the cumulative cost from  $v_0$  to  $v_T$  is the summation of all primitive action costs added to the log probability of the validity of subgoal transitions. At each subgoal transition, the state *s* should satisfy the goal condition of the current RSGs but should not satisfy the goal condition of the next RSGs—which enforces the sequential constraints specified in the task. In principle, when  $G_v$  are Boolean-output classifiers, the cost is 0 for a valid transition and  $\infty$  for an invalid transition. In practice, we approximate the "soft" version of classifiers with neural networks: the outputs are in [0, 1], indicating how likely those conditions are to be satisfied.

Importantly, our formulation of the RSG planning problem is different from planning for each individual action term and stitching the sub-plans sequentially. Concretely, we are finding a "globally" optimal plan instead of achieving individual subgoals in a locally optimal way. Thus, we allow complex behaviors such as making progress for a later subgoal to reduce the total cost. We include detailed examples in the supplementary material.

At the input-output level, our planner receives the a task description t represented as an FSM, an environmental transition model  $\mathcal{T}$ , and a cost function  $\mathcal{C}$ , together with a set of goal classifiers  $\{G_o\}$  parameterized by  $\theta$ . It generates a sequence of actions  $\bar{a}$  that is a path from  $(s_0, v_0)$  to  $(s_T, v_T)$  and minimizes the cumulative action costs defined by  $\mathcal{C}_t$ . Here,  $s_0$  is the initial environmental state,  $v_0$  is the initial state of FSM<sub>t</sub>,  $s_T$  is the last state of the trajectory, and  $v_T$  is the terminal state of FSM<sub>t</sub>.

We make plans using slightly modified versions of  $A^*$  search, with a learned domain-dependent heuristic for previously seen tasks and a uniform heuristic for unseen tasks. This algorithm can be viewed as doing a forward search to construct a trajectory from a given state to a state that satisfies the goal condition. Our extension to the algorithms handles the hierarchical task structure of the FSM.

Our modified  $A^*$  search maintains a priority queue of nodes to be expanded. At each step, instead of always popping the task-augmented state (s, v) with the optimal evaluation, we first sample a subgoal v uniformly in the FSM, and then choose the priority-queue node with the smallest evaluation value among all states  $(\cdot, v)$ . This balances the time allocated to finding a successful trajectory for each subgoals in the task description.

Our hierarchical search algorithm also extends to continuous domains by integrating Rapidly-Exploring Random Trees (RRT) (LaValle et al. 1998). We include the implementation details in the supplementary material. Any state-action sequence produced by planning in the augmented model is legal according to the environment transition model and is guaranteed to satisfy the task specification t.

**Example.** Fig. 4 shows a running example of our FSM- $A^*$  planning given the task "*mine wood <u>or</u> mine coal <u>then</u> mine gold*" from the state  $s_0$  (the left-most state).

- 1. At the beginning,  $(s_0, v_0)$  is expanded to the node  $v_1$ :*mine wood* and  $v_2$ :*mine coal* with FSM transition actions.
- 2. We expand the search tree node on  $v_1$  and  $v_2$  and compute the cost for reaching each states on  $v_1$  and  $v_2$ .
- 3. For states that satisfy the goal conditions for  $v_1$  and  $v_2$  (i.e.,  $G_1$  and  $G_2$ , respectively, and circled by green and blue boxes) and the initial condition for  $v_3$  (i.e.,  $1 G_3$ ), we make a transition to  $v_3$  at no cost (the states that do not satisfy the conditions can also be expanded to  $v_3$  but with a large cost.
- 4. Then search can be done in a similar way at  $v_3$  and the states at  $v_3$  that satisfy  $G_3$  can reach  $v_T$ .
- 5. For all states at  $v_T$ , we back-trace the state sequence with the minimum cost.

# Learning RSGs from Unsegmented Trajectories and Descriptions

We learn RSGs from weakly-annotated demonstrations, in the form of *unsegmented* trajectories and paired task descriptions. The training dataset  $\mathcal{D}$  contains tuples  $(\bar{s}, \bar{a}, t)$  where  $\bar{s}$  is a sequence of environmental states,  $\bar{a}$  is a sequence of actions, and  $t \in \mathcal{TL}$  is a task description.

Our goal is to recover the grounding of subgoal terms from these demonstrations. At a high level, our learning objective is to find a set of parameters for the goal classifiers  $G_o$  that *rationally* explain the demonstration data: the actions taken by the demonstrator should be "close" in some sense to the optimal actions that would be taken to achieve the goal. Let  $\theta$  denote the collection of parameters in { $G_o$ }. Thus, our training objective takes the following form:

$$\theta^* = \arg\max_{\theta} \frac{1}{|\mathcal{D}|} \sum_{(\bar{s}, \bar{a}, t) \in \mathcal{D}} score(\bar{s}, \bar{a}, t; \theta) .$$
(1)

The scoring function *score* combines the *rationality* of the observed trajectory with an additional term that emphasizes the appropriateness of FSM transitions given *t*:

$$score(\bar{s}, \bar{a}, t; \theta) \coloneqq \max_{\bar{v}} \left\{ \log \prod_{i} \operatorname{Rat} \left( s_{i}, v_{i}, a_{i}, t; \theta \right) + \sum_{\substack{(v_{i}, v_{i+1}) \in \\ \text{FSM transitions}}} \left\{ \log G_{v_{i}}(s_{i}; \theta) + \log \left( 1 - G_{v_{i+1}}(s_{i}; \theta) \right) \right\} \right\}$$
(2)

The rationality score measures the likelihood that the action  $a \in A_t$  in state (s, v) would have been chosen by a nearly-optimal agent, who is executing a policy that assigns a probability to an action based on the optimal cost-to-go for task t in the FSM-augmented model after taking it:

$$\operatorname{Rat}\left(s, v, a, t; \theta\right) \coloneqq \frac{\exp\left(-\alpha \cdot J_t(s, v, a; \theta)\right)}{\int_{x \in \mathcal{A}'} \exp\left(-\alpha \cdot J_t(s, v, x; \theta)\right)}, \quad (3)$$

where  $\alpha$  is a hyperparameter called inverse rationality. The integral is a finite sum for discrete actions and can be approximated using Monte Carlo sampling for continuous actions. If  $\alpha$  is small, the assumption is that the demonstrations may be highly noisy; if large, then they are near optimal.

The cost-to-go (analogous to a value function) is defined recursively as

$$J_t(s, v, a; \theta) = \mathcal{C}_t\left((s, v), a\right) + \max_{a' \in \mathcal{A}_t} J_t\left(\mathcal{T}'\left((s, v), a\right), a; \theta\right)$$
(4)

It need not be computed for the whole state space; rather, it can be computed using the planner on a tree of relevant states, reachable from  $(s_0, v_0)$ .

Figure 5 summarize the learning process of RSGs. First, we perform a  $A^*$  search (or RRT for continuous domains) from the trajectory. Then, we backtrack in the search tree/RRT to compute the shortest distance from each node to the terminal state,  $J_t$ , so that  $\text{Rat}(s_i, v_i, a_i, t; \theta)$  can be evaluated along the trajectory  $\bar{s}, \bar{a}$ .

At learning time, we can observe the environmental state and action sequence, but we cannot observe the FSM states or transitions. To efficiently find the optimal FSM states and transitions, given an environment state and action sequence as well as goal classifiers parameterized by the current  $\theta$ , we use a dynamic programming method. Specifically, we will first label the FSM nodes from 0 to T by sorting them topologically. Next, we can use a two-dimensional dynamic programming with the transition equations based on Rat and  $G_v$  can find  $\bar{v}$  that maximizes *score*. Concretely, let f[i, j] denote the maximum score by aligning the trajectory  $s_i, a_i, s_{i+1}, \cdots$ with the last j nodes of the FSM. The dynamic programming algorithm iterates over *i* in the reversed order. At each step, it tries to either assign the current  $(s_i, a_i)$  pair to the current FSM node j, or to create a new transition from another FSM node k to j. We present the detailed algorithm in the supplementary material. Although the transition model we have discussed so far is deterministic, the methods can all be extended straightforwardly to the stochastic case, as also described in the supplement.

To improve the optimization, we add a contrastive loss term, encoding the idea that, for each demonstration  $(\bar{s}, \bar{a})$ , the corresponding task description t should have a higher rationality score compared to an unmatched task description t', yielding the final objective to be maximized:

$$\mathcal{J}(\theta) = \sum_{(\bar{s}, \bar{a}, t) \in \mathcal{D}} \left( score(\bar{s}, \bar{a}, t; \theta) + \gamma \cdot \log \frac{\exp\left(\beta \cdot score(\bar{s}, \bar{a}, t; \theta)\right)}{\sum_{t'} \exp\left(\beta \cdot score(\bar{s}, \bar{a}, t'; \theta)\right)} \right),$$
(5)

where t's are uniformly sampled negative tasks in  $T\mathcal{L}$ . This loss function is fully differentiable w.r.t.  $\theta$ , which enables

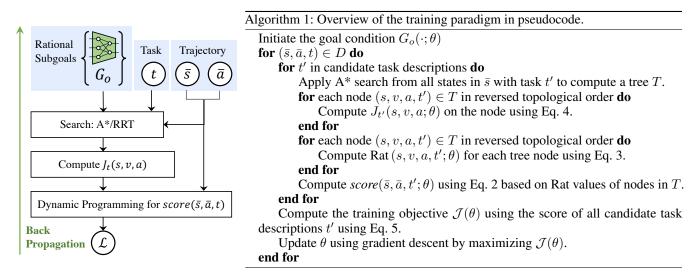
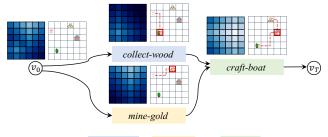


Figure 5: An overview of the training paradigm for RSGs. Left: the figure illustration. Right: the algorithmic illustration.



Task: (collect-wood or mine-good) then craft-boat

Figure 6: An example of the value function for taskaugmented states on a simple FSM.  $\min_{a \in \mathcal{A}} J_t(s, v, a)$  are plotted at each location at each FSM node. Deeper color indicates larger cost. Red boxes and dotted lines illustrate the goal and a *rational* trajectory for each subgoal.

us to apply gradient descent for optimization. Essentially, we are back-propagating through two dynamic programming computation graphs: one that computes  $J_t$  based on planning optimal trajectories given goal classifiers parameterized by  $\theta$ , and one that finds the optimal task-state transitions for the observed trajectory.

## **RSG Dependency Discovery and Planning**

Next, we describe our algorithm for planning with a single, final goal term (e.g., *craft-boat*) instead of step-by-step instructions. Since directly planning for the goal based on the corresponding goal classifier can be very slow due to the long horizon, our key idea here is to leverage the RSGs learned from data to perform a bilevel search. Our algorithm begins with discovering a dependency matrix between RSGs during training time. At performance time, we first use the discovered dependency model to suggest high-level plans, in the form of step-by-step instructions in  $T\mathcal{L}$ . Next, we use these instructions to plan for environmental actions using our planning algorithm.

For each possible subgoal o, we evaluate the associated learned goal classifier  $G_o$  over all states along training trajectories that contain o. Next, we compute  $first(\bar{s}, o)$  as the smallest index i such that  $G_o(s_i)$  is true. If such i does not exist (i.e.,  $G_o$  is never satisfied in  $\bar{s}$ ) or o is not mentioned in the task specification t associated with  $\bar{s}$ , we define  $first(\bar{s}, o) = \infty$ . For all tuples  $(\bar{s}, o_1, o_2)$ , we say  $o_2$  is achieved before  $o_1$  if neither  $first(\bar{s}, o_1)$  nor  $first(\bar{s}, o_1)$  is infinity, and  $first(\bar{s}, o_2) < first(\bar{s}, o_1)$ .

Let  $bcount(o_1, o_2)$  be the number of  $\bar{s} \in D$  such that  $o_2$  is achieved before  $o_1$  in  $\bar{s}$ . We construct a dependency matrix d by normalizing the *bcount* as:

$$d(o_1, o_2) \triangleq \frac{bcount(o_1, o_2)}{\sum_{o'} bcount(o_1, o')},$$
(6)

where o' sums over all RSGs.

The derived dependency matrix can be interpreted as the probability that  $o_2$  is a precondition for  $o_1$ . Now, recall that our task is to find an action sequence  $\bar{a}$  that, starting from the initial state  $s_0$ , yields a new state  $s_T$  that satisfies the given goal action term g, such as *craft-boat*. Our high-level idea is to leverage the dependency matrix to suggest possible step-by-step instructions t, whose last action term is g. The planning algorithm will follow the suggested instructions to generate low-level plans  $\bar{a}$ .

Formally, we only consider instructions that are action terms connected by the *then* connective. Denote a candidate instruction  $t = o_1 \underline{then} o_2 \underline{then} \cdots \underline{then} o_k$ . We define its priority as:

$$priority(t) = \lambda^k \prod_{i=1}^{k-1} \left( 1 - \prod_{j=i+1}^k (1 - d(o_j, o_i)) \right), \quad (7)$$

where  $\lambda$  is a length bias constant which is set to 0.9 because we prefer shorter instructions.

Given the candidate instructions, we run the planning algorithm for these instructions. We prioritize instructions twith high priorities *priority*(t), and these instructions are generated by a search approach (Algorithm 2) from the given final goal. The limit of instruction length, *length\_limit*, is set to 6 for our experiment.. For more complicated domains, a promising future direction is to learn a full abstract planning model (symbolic or continuous) based on the subgoal terms learned from demonstrations.

#### **Experiments**

We compare our model with other subgoal-learning approaches in Crafting World (Chen, Gupta, and Marino 2021), a Minecraft-inspired crafting environment, and Playroom (Konidaris, Kaelbling, and Lozano-Perez 2018), a 2D continuous domain with geometric constraints.

**Crafting World.** In Crafting World, the agent can move in a 2D grid world and interact with objects next to it, including picking up tools, mining resources, and crafting items. Mining in the environment typically requires tools, while crafting tools and other objects have their own preconditions, such as being close to a workstation or holding another specific tool. Thus, crafting a single item often takes multiple subgoal steps. There are also obstacles such as rivers (which require boats) and doors (which require specific keys to open).

We define 26 primitive tasks, instantiated from templates of *grab-X*, *toggle-switch*, *mine-X*, and *craft-X*. While generating trajectories, all required items have been placed in the agent's inventory. For example, before mining wood, an axe must be already in the inventory. In this case, the agent is expected to move to a tree and execute the mining action. We also define 26 *compositional* tasks composed of the aforementioned primitive tasks. Each task has 400 demonstrations.

All models are trained using tuples of task description t and expert state-action sequences  $(\bar{s}, \bar{a})$ . In particular, we train all models on primitive and *compositional* tasks and test them on two splits: *compositional* and *novel*. The *compositional* split contains novel state-action sequences of previously-seen

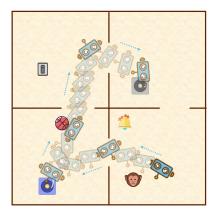


Figure 7: An illustration of the Playroom environment and a trajectory for the task: *turn-on-music then play-with-ball then turn-off-music*.

tasks. The novel split contains 12 novel tasks, where primitive tasks are composed in ways never seen during training (i.e., not in the 26 tasks from the *compositional* split).

is Playroom. Our second environment Playroom (Konidaris, Kaelbling, and Lozano-Perez 2018), a 2D maze with continuous coordinates and geometric constraints. Fig. 7 shows an illustrative example of the environment. Specifically, a 2D robot can make moves in a small room with obstacles. The agent has three degrees of freedom (DoFs): x and y direction movement, and a 1D rotation. The environment invalidates movements that cause collisions between the agent and the obstacles. Additionally, there are six objects randomly placed in the room, which the robot can interact with. For simplicity, when the agent is close to an object, the corresponding robot-object interaction will be automatically triggered.

Similar to the Crafting World, we have defined six primitive tasks (corresponding to the interaction with six objects in the environment) and eight compositional tasks (e.g., *turn-onmusic <u>then</u> play-with-ball*). We have designed another eight novel tasks, and for each task, we have 400 expert demonstrations. We train different models on rational demonstrations for both the primitive and compositional tasks, and evaluate them on the compositional and novel splits.

#### **Baselines**

We compare our RSGs, which learns goal-based representations, with two baselines using different underlying representations: IRL methods learn reward-based representations, and behavior cloning methods directly learn policies. The implementation details are in the supplementary material.

Our max-entropy inverse reinforcement learning (IRL; Ziebart et al. 2008) baseline learns a task-conditioned reward function by trying to explain the demonstration. For planning, we use the built-in deep-Q-learning algorithm. The behavior cloning (BC; Torabi, Warnell, and Stone 2018) baseline directly learns a task-conditioned policy that maps the current state and the given task to an environment primitive action. BC-FSM is the BC algorithm augmented with our

Model	Task Input	Env. Tran.	Crafting World		Playroom	
			Com.	Novel	Com.	Novel
IRL	Lang.	Y	36.5	1.8	28.3	9.6
BC	Lang.	Ν	11.2	0.8	15.8	4.8
BC-FSM	FSM	Ν	5.2	0.3	38.2	31.5
RSGs	FSM	Y	99.6	97.8	82.0	78.2

Table 1: Results of the planning task, evaluated as the success rate of task completion. IRL and BC take raw task specification and process them with LSTM, while BC-FSM and RSGs uses the FSM directly. RSGs and IRL use the environmental transition model during training while BC and BC-FSM dot not. The maximum number of expanded nodes for all planners is 5,000. All models are trained on the *compositional* split, and tested on the *compositional* and the *novel* split.

FSM description of tasks. Compared with RSGs, instead of segmenting the demonstration sequence based on rationality, BC-FSM segments them based on how consistent each fragment is with the policy for the corresponding action term.

#### Results

To evaluate planning, each algorithm is given a new task t, either specified in  $\mathcal{TL}$ , or as a black-box goal state classifier, and generates a trajectory of actions to complete the task.

**Planning with instructions.** Table 1 summarizes the results. Overall, RSGs outperforms all baselines. On the *compositional* split, our model achieves a nearly perfect success rate in the Crafting World (99.6%). Comparatively, although the tasks have been presented during training of all baselines, their scores remain below 40%.

On the *novel* split, RSGs outperforms all baselines by a larger margin than on the *compositional* split. We observe that since *novel* tasks contain longer descriptions than those in the *compositional* set, all baselines have a success rate of almost zero. Compared with IRL methods, the more compositional structure in our goal-centric representation allows it to perform better. Meanwhile, a key difference between behavior cloning methods (BC and BC-FSM) and ours is that BC directly applies a learned policy, while our model runs an A\* search based on the learned goal classifier and leverages the access to the transition model. This suggests that learning goals is more sample-efficient than learning policies in such domains and generalizes better to new maps.

Our model can be easily applied to environments with image-based states, simply by changing the inputs of  $I_o$  and  $G_o$  models to images. We evaluate our model in an image-based Crafting World environment. It achieves 82.0% and 78.2% success rates on the compositional and novel splits, respectively. Comparatively, the best baseline BC-FSM gets 38.2% and 31.5%. Details are in the supplementary material.

**Planning with goals.** We also evaluate RSGs on planning with a single goal action term. These problems require a long solution sequence, making them too difficult to solve with a blind search from an initial state. Since there is no task specification given, in order to solve the problems efficiently,

it is critical to use other dependent RSGs for search guidance. We use 8 manually designed goal tests, each of which can be decomposed into 2–5 subgoals. We run our hierarchical search based on RSGs and the discovered dependencies.

We compare this method with two baselines: a blind forward-search algorithm, and a hierarchical search based on RSGs without discovered dependencies (i.e., by setting the dependency matrix as a uniform distribution). We test all three methods on 100 random initial states for each task. Fig. 8 summarizes the result. Overall, RSGs with discovered dependencies enables efficient searches for plans. On easier tasks (2 or 3 subgoals), search with RSGs and dependencies has a similar runtime as the baseline that searches without dependencies. Both of them outperform the blind-search baseline (about  $2.4 \times$  more efficient when reaching a 70% success rate). However, when the task becomes complex (4 or 5 subgoals), searching with RSGs and the discovered dependencies significantly outperforms other alternatives. For example, to reach a 70% success rate, searching with RSGs needs only 4,311 expanded nodes. By contrast, searching without RSGs needs 19,220 (4.5 $\times$ ) nodes. Interestingly, searching with RSGs but without discovered dependencies performs worse than the blind-search baseline. We hypothesize that this is because it wastes time on planning for unreasonable instructions. Overall, the effectiveness of RSGs with discovered dependencies grows as the complexity of tasks grows.

## **Related Work**

**Modular policy learning and planning.** Researchers have been learning modular "policies" by simultaneously looking at trajectories and reading task specifications in the form of action term sequences (Corona et al. 2021; Andreas, Klein, and Levine 2017; Andreas and Klein 2015), programs (Sun, Wu, and Lim 2020), and linear temporal logic (LTL) formulas (Bradley et al. 2021; Toro Icarte et al. 2018; Tellex et al. 2011). However, they either require additional annotation for segmenting the sequence and associating fragments with labels in the task description (Corona et al. 2021; Sun, Wu, and Lim 2020), or cannot learn models for planning (Tellex et al. 2011). By contrast, RSGs learns useful subgoals from demonstrations. We use a small but expressive subset of LTL for task description, and jointly learn useful subgoals and segment the demonstration sequence.

Our subgoal representation is also related to other models in domain control knowledge (de la Rosa and McIlraith 2011), goal-centric policy primitives (Park et al. 2020), macro learning (Newton et al. 2007), options and hierarchical reinforcement learning (HRL; Sutton, Precup, and Singh 1999; Dietterich 2000; Barto and Mahadevan 2003; Mehta 2011), and methods that combine reinforcement learning and planning (Segovia-Aguas, Ferrer-Mestres, and Jonsson 2016; Winder et al. 2020). However, the execution of subgoals in RSGs is fundamentally different from options: each option has a policy that we can follow to achieve the short-term goal, while subgoals in RSGs should be refined with segments of primitives by planning algorithms. Our planning algorithm is similar to other approaches: (de la Rosa and McIlraith 2011; Botvinick and Weinstein 2014; Winder et al. 2020), but they do not leverage discovered dependencies between subgoals.

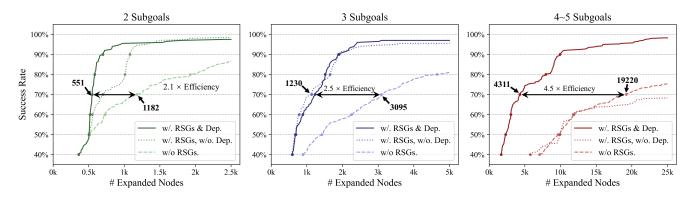


Figure 8: RSGs applied to planning with a final goal. We do evaluation on 3 groups of planning tasks in the Crafting World environment. We use 100 random initial states for each task. Each search method can expand up to 25,000 nodes.

Learning from demonstration. Learning from demonstration generally refers to building agents that can interact with the environment by observing expert demonstrations (e.g., state-action sequences). Techniques for learning from demonstration can be roughly categorized into four groups: policy function learning (Chernova and Veloso 2007; Torabi, Warnell, and Stone 2018), cost and reward function learning (Markus Wulfmeier and Posner 2015; Ziebart et al. 2008), generative adversarial learning (Ho and Ermon 2016; Liu et al. 2022), and learning high-level plans (Ekvall and Kragic 2008; Konidaris et al. 2012). We refer to Argall et al. (2009) and Ravichandar et al. (2020) as comprehensive surveys. In this paper, we learn useful subgoals that support planning, and compare our model with methods that directly learn policies and cost functions. Moreover, unlike those who use similarities between different actions (Niekum et al. 2012) to segment demonstrations, in RSGs, we segment the demonstration with associate action terms by rationality assumptions of the agent.

**Inverse planning.** Our model is also related to inverse planning algorithms that infer agent intentions from behavior by finding a task description t that maximizes the consistency between the agent's behavior and the synthesized plan (Baker, Saxe, and Tenenbaum 2009). While existing work has largely focused on modeling the rationality of agents (Baker, Saxe, and Tenenbaum 2009; Zhi-Xuan et al. 2020) and more expressive task descriptions (Shah et al. 2018), our focus is on leveraging the learned subgoals and their dependencies to facilitate agent planning for novel tasks.

**Unsupervised subgoal discovery.** Our method is also related to approaches for discovering subgoals from unlabelled trajectories (Paul, Vanbaar, and Roy-Chowdhury 2019; Tang et al. 2018; Kipf et al. 2019; Lu et al. 2021; Gopalakrishnan et al. 2021), mostly based on the assumption that the trajectory can be decomposed into segments, and each segment corresponds to a subgoal. Some other approaches for discovering subgoals are to detect "bottleneck" states (Menache, Mannor, and Shimkin 2002; Şimşek, Wolfe, and Barto 2005) based on the state transition graphs. RSG differs from these works in that we focus on learning the grounding of action terms defined in task descriptions. Thus, RSGs are associated with action terms and thus can be recomposed by human users to describe novel tasks. It is a meaningful future

direction to combine learning from trajectory-only data and trajectories with descriptions to improve the data efficiency.

## Conclusion

We have presented a subgoal learning framework for longhorizon planning tasks. The rational subgoals (RSGs) can be learned by observing expert demonstrations and reading task specifications described in a simple task language  $\mathcal{TL}$ . Our learning algorithm simultaneously segments the trajectory into fragments corresponding to individual subgoals, and learns planning-compatible models for each subgoal. Our experiments suggest that our framework has strong compositional generalization to novel tasks.

**Limitation.** The assumption of a deterministic environment has allowed us to focus on the novel RSG formulation of subgoal models. For domains with substantial stochasticity, the high-level concepts of RSGs could be retained (e.g., rationality), and algorithmic changes may be required such as replacing maximum entropy IRL with maximum causal entropy (Ziebart, Bagnell, and Dey 2010). Another limitation of RSGs is that it can not leverage trajectories without labeled task descriptions. Future work may consider the jointly learning of subgoals and subgoal structures of tasks (Vazquez-Chanlatte et al. 2018; Chou, Ozay, and Berenson 2022).

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