

# Handling Slice Permutations Variability in Tensor Recovery

Jingjing Zheng<sup>1,2</sup>, Xiaoqin Zhang<sup>2\*</sup>, Wenzhe Wang<sup>2</sup>, Xianta Jiang<sup>1</sup>

<sup>1</sup> Department of Computer Science, Memorial University of Newfoundland, Newfoundland and Labrador, Canada

<sup>2</sup> College of Computer Science and Artificial Intelligence, Wenzhou University, Zhejiang, China  
jjzheng233@gmail.com, zhangxiaoqinnan@gmail.com, woden3702@gmail.com, xiantaj@mun.ca

## Abstract

This work studies the influence of slice permutations on tensor recovery, which is derived from a reasonable assumption about algorithm, *i.e.* changing data order should not affect the effectiveness of the algorithm. However, as we will discuss in this paper, this assumption is not satisfied by tensor recovery under some cases. We call this interesting problem as Slice Permutations Variability (SPV) in tensor recovery. In this paper, we discuss SPV of several key tensor recovery problems theoretically and experimentally. The obtained results show that there is a huge gap between results by tensor recovery using tensor with different slices sequences. To overcome SPV in tensor recovery, we develop a novel tensor recovery algorithm by Minimum Hamiltonian Circle for SPV (TRSPV) which exploits a low dimensional subspace structures within data tensor more exactly. To the best of our knowledge, this is the first work to discuss and effectively solve the SPV problem in tensor recovery. The experimental results demonstrate the effectiveness of the proposed algorithm in eliminating SPV in tensor recovery.

## Introduction

With the explosion of high-dimensional data such as images and videos, the problem of exploiting low-dimensional structures in such high-dimensional data has become increasingly important in computer vision and pattern recognition (Candès and Plan 2010; Candès and Recht 2009; Candès et al. 2011; Chandrasekaran et al. 2009; Xu, Caramanis, and Sanghavi 2012; Wright et al. 2009; Eckart and Young 1936; Wold, Esbensen, and Geladi 1987; Zhou et al. 2010). Since most visual data including color images and videos are in the form of tensor, dealing with such tensor data has attracted more and more attention recently. Lots of low rank tensor recovery methods have been proposed (Gandy, Recht, and Yamada 2011; Lu et al. 2019; Zhang et al. 2014a, 2019; Zheng et al. 2019; Zhang et al. 2021; Yang et al. 2020; Cai et al. 2021; Lu, Peng, and Wei 2019) to recover low rank tensors from the high-dimensional data tensor with various of perturbation, with the basic assumption that the tensor data lie approximately on a low-dimensional linear subspace. These methods have been widely used in various fields such as color images

and video processing (Tan et al. 2014; Dian, Li, and Fang 2019; Wei et al. 2018), data dimension reduction (Luo et al. 2015), etc.

A key problem of tensor recovery is how to define the tensor rank. Unlike matrix rank, there are several ways to define a tensor rank. For example, Kolda and Bader (Kolda and Bader 2009) have adopted the minimum number of tensor rank-one decomposition (CP decomposition) of a given tensor as the rank of tensor (CP rank), which corresponds to one equivalent definition of matrix rank *i.e.* matrix rank of a matrix is equal to the minimum number of rank-one decomposition of the given matrix. Unfortunately, because the computing of CP rank is a NP-hard problem, the application of the CP rank in tensor recovery has been greatly restricted. In addition, due to the breakthroughs in low rank matrix recovery, the method based on Tucker decomposition (the unfolding matrices of the tensor) has become more popular than the one based on CP rank. For example, in (Gandy, Recht, and Yamada 2011), the rank of the tensor (Tucker rank) was defined as the sum of the ranks of the different unfolding matrices. Besides, since the corresponding tensor rank minimization problem is a NP-hard problem, Gandy *et al.* utilized the sum of nuclear norms of the different unfolding matrices (SNN) instead of the sum of ranks for tensor recovery. However, as stated in (Lu et al. 2019), SNN is not the convex envelope of the sum of the ranks. Therefore, a weighted sum of the ranks of the unfolding matrices was considered in (Liu et al. 2012).

Recently, tensor recovery method based on tensor-tensor product (t-product) has received more and more attention because of its effectiveness in data processing and computer vision (Hu et al. 2016; Zhang et al. 2014b). Based on t-product, tensor tubal rank was proposed, which utilized tensor Singular Value Decomposition (t-SVD) based on t-product. Assuming  $\mathcal{A} = \mathcal{U} * \mathcal{S} * \mathcal{V}^T$  ( $*$  stands for t-product) is the t-SVD of  $\mathcal{A}$ , tensor tubal rank of  $\mathcal{A}$  is defined as the number of non-zero singular tubes of  $\mathcal{S}$ . Since tensor tubal rank is non-convex and discrete which leads to NP-hard problem. A convex norm, tensor nuclear norm (TNN) (Zhang et al. 2014b), was applied to solve the tensor completion problem which aimed to recover a low rank tensor from tensor data with missing entries. Later, (Lu et al. 2019) proposed tensor average rank of tensor (corresponding to the rank of block circulant matrix of the tensor), and proved the tensor nuclear

\*Corresponding author

Copyright © 2022, Association for the Advancement of Artificial Intelligence (www.aaai.org). All rights reserved.

norm is the convex envelope of the tensor average rank within the unit ball of the tensor spectral norm. Based on that, Tensor Robust Principal Component Analysis (TRPCA) problem with recovery guarantee was studied, which extended the Robust PCA (Wright et al. 2009) to the tensor case, and aimed to exactly recover the low rank tensor from tensor data with gross corruptions. However, for tensor data with large scale size, tensor nuclear norm based method often costs much computation because of computing t-SVD. To alleviate this issue, low rank tensor factorization strategy based on t-product was proposed (Zhou et al. 2017), which factorizes tensor data into the product of two tensors with much smaller size and avoiding the computing of t-SVD of data tensor.

Although tensor recovery based on t-product is effective and widely used, there are still some limitations: as shown in Fig. 1, rearranging frontal slices sequence order of tensor will have significant influence on the effectiveness of tensor recovery, in which  $\hat{\mathcal{X}}^*$  is obtained by arranging the low rank approximation of  $\hat{\mathcal{Y}}$  ( $\hat{\mathcal{Y}}$  is obtained by rearranging  $\mathcal{Y}$  in randomly frontal slices sequence order) in original frontal slices sequence order. Note that the gap of two mean PSNR (Peak Signal to Noise Ratio) results even achieve 3dB. We call this phenomenon as Slice Permutations Variability (SPV) in tensor recovery.

This paper focuses on this new problem which has not been explored so far to the best of our knowledge. Our contributions are three-fold:

- We study SPV and Slice Permutations Invariance (SPI) of tensor recovery theoretically and experimentally for the first time. A tensor recovery algorithm has SPI, *i.e.* whatever how to change the slice order of data tensor, the solution of the algorithm will not be changed. We prove that the tensor recovery algorithm has SPI property under certain conditions.
- When the conditions are not met, we propose a tensor recovery algorithm for SPV (TRSPV) to solve a basic problem (Tensor Principal Component Analysis) in tensor recovery and to make it more stable for slice permutations on data tensor. In the proposed algorithm, we find better sequence of tensor slice by solving a Minimum Hamiltonian Circle problem. Based on the new sequence obtained by the proposed algorithm, we can extract the intrinsic low-dimensional structure of high-dimensional tensor data more exactly.
- We conduct experiments to examine SPV of TRPCA, the goal of which is to recover a low rank tensor from a high-dimensional data tensor with chaos slices sequence despite both small entry-wise noise and gross sparse errors. An extension of TRSPV, Robust Principal Component Analysis for SPV (TRPCA-SPV), is proposed to deal with this problem. The experimental results show a much better performance of TRPCA-SPV compared with the existing state-of-the-art tensor recovery algorithms.

## Notations and Preliminaries

### Notations

Here, we summarize some definitions and symbols used in this paper relating to matrices, tensor and sets in Table 1.

Notations	Descriptions
$\mathbb{R}, \mathbb{C}$	Real field, Complex field
$\mathcal{A},  \mathcal{A} $	Sets, Number of elements of $\mathcal{A}$
$a, \mathcal{A}$	Scalars, Matrices
$A_{i,j}$	$(i, j)$ -th element of matrix $A$
$A^T$	Conjugate transpose of $A$
$A \rightarrow B$	$B$ can be obtained by elementary row or column transformations of $A$
$\mathcal{A}, \mathcal{A}_{i,j,k}$	Tensors, $(i, j, k)$ -th element in $\mathcal{A}$
$\mathcal{A}_{i,j,:}, \mathcal{A}_{i,:}$	$(i, j)$ -th tube, $i$ -th horizontal slice
$\mathcal{A}_{:,i,:}, \mathcal{A}_{:,i}$	$i$ -th lateral slice, $i$ -th frontal slice
$\ \mathcal{A}\ _1, \ \mathcal{A}\ _F$	$\sum_{i,j,k}  \mathcal{A}_{i,j,k} , \sqrt{\sum_{i,j,k} \mathcal{A}_{i,j,k}^2}$
$\ \mathcal{A}\ _*$	Nuclear norm of $\mathcal{A}$ , $\text{fft}(\mathcal{A}, [], 3)$
$(S - \tau)_+$	Each element is $\max(S_{i,j} - \tau, 0)$

Table 1: Notations.

In addition, we follow the definitions of  $\text{unfold}(\cdot)$ ,  $\text{fold}(\cdot)$ ,  $\text{bcirc}(\cdot)$  and  $\text{bdiag}(\cdot)$  from (Lu et al. 2019):

$$\text{unfold}(\mathcal{A}) = \begin{pmatrix} \mathcal{A}_{:, :, 1} \\ \mathcal{A}_{:, :, 2} \\ \vdots \\ \mathcal{A}_{:, :, n_3} \end{pmatrix}, \text{fold}(\text{unfold}(\mathcal{A})) = \mathcal{A},$$

$$\text{bcirc}(\mathcal{A}) = \begin{pmatrix} \mathcal{A}_{:, :, 1} & \mathcal{A}_{:, :, n_3} & \cdots & \mathcal{A}_{:, :, 2} \\ \mathcal{A}_{:, :, 2} & \mathcal{A}_{:, :, 1} & \cdots & \mathcal{A}_{:, :, 3} \\ \vdots & \vdots & \ddots & \vdots \\ \mathcal{A}_{:, :, n_3} & \mathcal{A}_{:, :, n_3-1} & \cdots & \mathcal{A}_{:, :, 1} \end{pmatrix},$$

$$\text{bdiag}(\mathcal{A}) = \begin{pmatrix} \mathcal{A}_{:, :, 1} & & & \\ & \mathcal{A}_{:, :, 2} & & \\ & & \ddots & \\ & & & \mathcal{A}_{:, :, n_3} \end{pmatrix}.$$

### Preliminary Definitions and Results

**Definition 1.** (*t-product*) (Kilmer and Martin 2011) Let  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$  and  $\mathcal{B} \in \mathbb{R}^{n_2 \times l \times n_3}$ . Then the t-product  $\mathcal{A} * \mathcal{B}$  is defined to be a tensor of size  $n_1 \times l \times n_3$ ,

$$\mathcal{A} * \mathcal{B} = \text{fold}(\text{bcirc}(\mathcal{A}) \cdot \text{unfold}(\mathcal{B})). \quad (1)$$

**Definition 2.** (*f-diagonal tensor*) (Kilmer and Martin 2011) Tensor  $\mathcal{A}$  is called *f-diagonal* if each of its frontal slices is a diagonal matrix.

**Definition 3.** (*Identity tensor*) (Kilmer and Martin 2011) The tensor  $\mathcal{I} \in \mathbb{R}^{n \times n \times n_3}$  is the tensor with the first frontal slice being the identity matrix, and other frontal slices being all zeros.

**Definition 4.** (*Conjugate transpose*) (Lu et al. 2019) The conjugate transpose of a tensor  $\mathcal{A} \in \mathbb{C}^{n_1 \times n_2 \times n_3}$  is the tensor  $\mathcal{A}^T \in \mathbb{C}^{n_2 \times n_1 \times n_3}$  obtained by conjugate transposing each of the frontal slice and then reversing the order of transposed frontal slice through positions 2 to  $n_3$ .

**Definition 5.** (*Orthogonal tensor*) (Kilmer and Martin 2011) A tensor  $\mathcal{Q} \in \mathbb{C}^{n \times n \times n_3}$  is orthogonal if it satisfies  $\mathcal{Q}^T * \mathcal{Q} = \mathcal{Q} * \mathcal{Q}^T = \mathcal{I}$ .

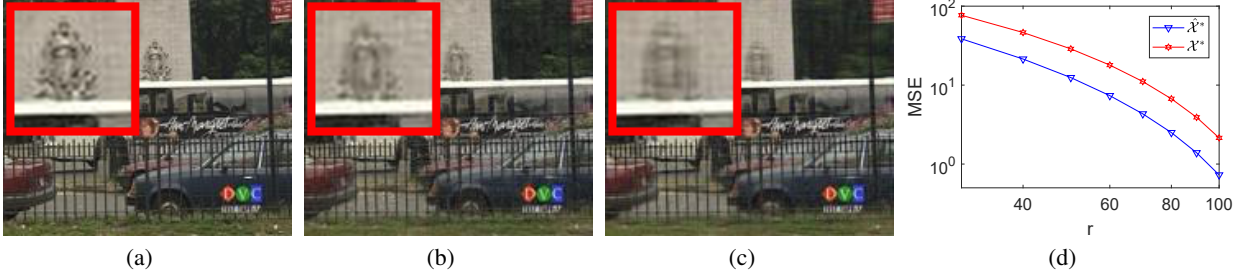


Figure 1: Color video ('bus') (modeled as a tensor  $\mathcal{Y} \in \mathbb{R}^{144 \times 176 \times 90}$ ) can be approximated by low tubal rank tensor. Here, only first frame of visual results in (a)-(b) are presented. (a) The first frame of original video (b) approximation by tensor  $\mathcal{X}^* \in \mathbb{R}^{144 \times 176 \times 90}$  with tubal rank  $r = 30$ . (MPSNR=32.45dB) (c) approximation by tensor  $\hat{\mathcal{X}}^* \in \mathbb{R}^{144 \times 176 \times 90}$  with tubal rank  $r = 30$ . (MPSNR=29.27dB) (d) MSE results of  $\mathcal{X}^*$  and  $\hat{\mathcal{X}}^*$  comparison for different  $r$ .

**Theorem 1. (t-SVD) (Lu et al. 2019)** Let  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ . Then it can be factorized as  $\mathcal{A} = \mathcal{U} * \mathcal{S} * \mathcal{V}^T$ , where  $\mathcal{U} \in \mathbb{R}^{n_1 \times n_1 \times n_3}$ ,  $\mathcal{V} \in \mathbb{R}^{n_2 \times n_2 \times n_3}$  are orthogonal, and  $\mathcal{S} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$  is a f-diagonal tensor.

**Definition 6. (Tensor tubal rank) (Lu et al. 2019)** For  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ , the tensor tubal rank of  $\mathcal{A}$ , denoted by  $\text{rank}_t(\mathcal{A})$ , is defined as the number of non-zero singular tubes of  $\mathcal{S}$ , where  $\mathcal{S}$  is from the t-SVD of  $\mathcal{A} = \mathcal{U} * \mathcal{S} * \mathcal{V}^T$ . We can write  $\text{rank}_t(\mathcal{A}) = |\{i | \mathcal{S}(i, :, \cdot) \neq \mathbf{0}\}| = |\{i | \mathcal{S}(i, i, 1) \neq 0\}|$ . Denote  $\sigma(\mathcal{S}) = (\mathcal{S}(1, 1, 1), \mathcal{S}(2, 2, 1), \dots, \mathcal{S}(r, r, 1))^T$ , in which  $r = \text{rank}_t(\mathcal{A})$ .

**Definition 7. (Tensor nuclear norm) (Lu et al. 2019)** Let  $\mathcal{A} = \mathcal{U} * \mathcal{S} * \mathcal{V}^T$  be the t-SVD of  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ . Tensor nuclear norm of  $\mathcal{A}$  is defined as  $\|\mathcal{A}\|_* = \langle \mathcal{S}, \mathcal{I} \rangle = \sum_{i=1}^r \mathcal{S}(i, i, 1)$ , where  $r = \text{rank}_t(\mathcal{A})$ .

**Definition 8. (Tensor average nuclear norm) (Lu et al. 2019)** For  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ , the tensor average nuclear norm is defined as  $\|\mathcal{A}\|_{*,a} = \frac{1}{n_3} \|\text{bcirc}(\mathcal{A})\|_*$ .

**Definition 9. (Zhang 2017)**  $P \in \mathbb{R}^{n \times n}$  is a permutation matrix if each row and each column of  $P$  has unique non-zero entries 1.

**Definition 10. (Bondy, Murty et al. 1976)** Let  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ ,  $\mathcal{C} = \{i_1, i_2, \dots, i_{n_3}, i_1\}$  is a circle on  $\mathcal{A}$  which composed of 1, 2, 3, ...,  $n_3$ . And we regard  $\{i_1, i_2, \dots, i_{n_3}, i_1\}$ ,  $\{i_2, i_3, \dots, i_{n_3}, i_1, i_2\}$ , ...,  $\{i_{n_3}, i_1, \dots, i_{n_3-2}, i_{n_3-1}, i_{n_3}\}$  as the same circle.

**Definition 11.** Let  $\mathcal{C}_k = \{i_1, i_2, \dots, i_{n_k}, i_1\}$  is a circle on  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$  which composed of 1, 2, 3, ...,  $n_k$ . And we call  $\mathbf{Or}_k = \{i_1, i_2, \dots, i_{n_k}\}$  is obtained an ordered array by  $\mathcal{C}_k$ . Define  $\mathbf{Or}(i)$  is the  $i$ -th number of the ordered array,  $(\mathcal{A} \circ \mathcal{P}_{\mathbf{Or}_k}^{(k)})(k = 1, 2, 3)$  are horizontal slice permutations, lateral slice permutations and frontal slice permutations of  $\mathcal{A}$  according to  $\mathbf{Or}_k$ , i.e.  $(\mathcal{A} \circ \mathcal{P}_{\mathbf{Or}_1}^{(1)})_{i, :, \cdot} = \mathcal{A}_{\mathbf{Or}_1(i), :, \cdot}$ ,  $(\mathcal{A} \circ \mathcal{P}_{\mathbf{Or}_2}^{(2)})_{:, i, \cdot} = \mathcal{A}_{:, \mathbf{Or}_2(i), \cdot}$  and  $(\mathcal{A} \circ \mathcal{P}_{\mathbf{Or}_3}^{(3)})_{:, :, i} = \mathcal{A}_{:, :, \mathbf{Or}_3(i)}$  for  $i = 1, 2, 3, \dots, n_k$ . (If there is no danger of ambiguity, these are abbreviated to  $(\mathcal{A} \circ \mathcal{P}^{(k)})(k = 1, 2, 3)$ .)

**Definition 12.** Let  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ ,  $\mathcal{C} = \{i_1, i_2, \dots, i_{n_3}, i_1\}$  is a circle on  $\mathcal{A}$  which composed of 1, 2, 3, ...,  $n_3$ . We call  $\mathcal{C}(i_s, i_t) = \{i_s, i_{s+1}, \dots, i_t\}$  as a walk from  $i_s$  to

$i_t$  on  $\mathcal{C}$ , and  $\mathcal{C}^{-1}(i_s, i_t) = \{i_t, i_{t-1}, \dots, i_s\}$  as inverse of walk  $\mathcal{C}(i_s, i_t)$ . Assume  $\mathcal{C}(i_1, i_l) = \{i_1, i_2, \dots, i_l\}$  and  $\mathcal{C}(i_l, i_{l+k}) = \{i_l, i_{l+1}, \dots, i_{l+k}\}$  are two walks on circle  $\mathcal{C}$ , mark  $\mathcal{C}(i_1, i_l) \cup \mathcal{C}(i_l, i_{l+k}) = \{i_1, i_2, \dots, i_l, i_{l+1}, \dots, i_{l+k}\}$ .

**Definition 13.** Let  $\mathcal{C} = \{i_1, i_2, \dots, i_{n_3}, i_1\}$  is a circle on  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$  which composed of 1, 2, 3, ...,  $n_3$ , and  $W(\mathcal{A})$  is a weight matrix in which  $W_{i,j}(\mathcal{A}) = \|\mathcal{A}_{:, :, i} - \mathcal{A}_{:, :, j}\|_F$  is weight of  $\mathcal{A}_{:, :, i}$  and  $\mathcal{A}_{:, :, j}$  for  $i \neq j$ , and  $W_{i,j}(\mathcal{A}) = \infty$  for  $i = j$ . Mark  $\mathbf{w}(\mathcal{A}, \mathcal{C}) = \sum_{k=1}^{n_3-1} W_{i_k, i_{k+1}}(\mathcal{A}) + W_{i_{n_3}, i_1}(\mathcal{A})$ ,  $\mathcal{C}^*(\mathcal{A}) = \arg \min_{\mathcal{C}} \mathbf{w}(\mathcal{A}, \mathcal{C})$  and  $c^*(\mathcal{A}) = \min_{\mathcal{C}} \mathbf{w}(\mathcal{A}, \mathcal{C})$ .

## SPI of Tensor Recovery

### SPI of the Sum of Nuclear Norms

For matrix recovery, as we all knew, singular values of the matrix will not be affected by any row or column transformations on matrix, which means it does not make any influence on the effectiveness of matrix recovery to rearrange the data sequence. And we call it as row or column transformations invariance in matrix recovery (Property 1 and Theorem 2). Therefore, for tensor recovery based on the unfolding matrices of the tensor, SPV is satisfied naturally (Property 2 and Theorem 3). Please refer to the supplementary material of this paper for the detailed proof of these conclusions.

**Property 1.** For  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2}$ , then nuclear norm satisfies row (or column) permutations invariance, i.e.  $\|\mathcal{P}\mathcal{A}\|_* = \|\mathcal{A}\|_*$  for any permutation matrix  $\mathcal{P} \in \mathbb{R}^{n_1 \times n_1}$  (or  $\|\mathcal{A}\mathcal{P}\|_* = \|\mathcal{A}\|_*$  for any permutation matrix  $\mathcal{P} \in \mathbb{R}^{n_2 \times n_2}$ ).

**Theorem 2.** For  $Y \in \mathbb{R}^{n_1 \times n_2}$ ,  $\mathcal{D}_\tau(Y) = P^{-1} \mathcal{D}_\tau(PY)$  for any permutation matrix  $P \in \mathbb{R}^{n_1 \times n_1}$  (and  $\mathcal{D}_\tau(Y) = \mathcal{D}_\tau(YP)P^{-1}$  for any permutation matrix  $P \in \mathbb{R}^{n_2 \times n_2}$ ), where  $\mathcal{D}_\tau(Y) = \arg \min_X \frac{1}{2} \|Y - X\|_F^2 + \tau \|X\|_*$ , and  $P^{-1}$  is inverse operator of  $P$ .

**Property 2.** For  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ , then  $\sum_{i=1}^3 \alpha_i \|(\mathcal{A} \circ \mathcal{P}^{(k)})_{(i)}\|_* = \sum_{i=1}^3 \alpha_i \|\mathcal{A}_{(i)}\|_*$  for any slice permutations  $\mathcal{P}^{(k)}_{(i)}$  i.e.  $(k = 1, 2, 3)$ , where  $\mathcal{A}_{(i)}$  represents the mode- $i$  unfolding matrix of  $\mathcal{A}$ ,  $\mathcal{A} \circ \mathcal{P}^{(k)}(k = 1, 2, 3)$  stands for the result by perform horizontal slice permutations, lateral slice permutations and frontal slice permutations on  $\mathcal{A}$ , respectively.

**Theorem 3.**  $\mathcal{S}_\tau(\mathcal{Y}) = \mathcal{S}_\tau(\mathcal{Y} \circ \mathcal{P}^{(k)}) \circ (\mathcal{P}^{(k)})^{-1}$  ( $k = 1, 2, 3$ ), where  $\mathcal{S}_\tau(\mathcal{Y}) = \arg \min_{\mathcal{X}} \frac{1}{2} \|\mathcal{Y} - \mathcal{X}\|_F^2 + \tau \sum_{i=1}^3 \frac{1}{3} \|\mathcal{X}^{(i)}\|_*$ , and  $(\mathcal{P}^{(k)})^{-1}$  is an inverse operator of  $\mathcal{P}^{(k)}$ .

### SPI of Tensor Nuclear Norm

In this part, we study the SPI of tensor nuclear norm and we draw the following conclusions. Please refer to the supplementary material of this paper for the detailed proof of these conclusions.

**Property 3.** (Horizontal SPI of tensor nuclear norm) Tensor nuclear norm satisfies HSPI (Horizontal SPI), i.e.  $\|\mathcal{A}\|_* = \|\mathcal{A} \circ \mathcal{P}^{(1)}\|_*$ , for any horizontal slice permutations  $\mathcal{P}^{(1)}$ .

**Property 4.** (Lateral SPI of tensor nuclear norm) tensor nuclear norm satisfies LSP (Lateral SPI), i.e.  $\|\mathcal{A}\|_* = \|\mathcal{A} \circ \mathcal{P}^{(2)}\|_*$ , for any lateral slices permutations  $\mathcal{P}^{(2)}$ .

**Property 5.** For same circle  $\mathbf{C}^1 = \{i_1, i_2, \dots, i_{n_3}, i_1\}$  and  $\mathbf{C}^2 = \{i_k, i_{k+1}, \dots, i_{n_3}, \dots, i_{k-1}, i_k\}$ ,

$$\|\mathcal{A} \circ \mathcal{P}_{\mathbf{Or}^1}^{(3)}\|_* = \|\mathcal{A} \circ \mathcal{P}_{\mathbf{Or}^2}^{(3)}\|_*,$$

where  $\mathbf{Or}^1 = \{i_1, i_2, \dots, i_{n_3}\}$  is obtained by  $\mathbf{C}^1$ , and  $\mathbf{Or}^2 = \{i_k, i_{k+1}, \dots, i_{n_3}, \dots, i_{k-1}\}$  is obtained by  $\mathbf{C}^2$ .

The symbols and definitions used in Property 5 are explained in Definitions 10-11.

**Theorem 4.** For same circle  $\mathbf{C}^1 = \{i_1, i_2, \dots, i_{n_3}, i_1\}$  and  $\mathbf{C}^2 = \{i_k, i_{k+1}, \dots, i_{n_3}, \dots, i_{k-1}, i_k\}$ ,

$$\mathcal{D}_\tau(\mathcal{Y} \circ \mathcal{P}_{\mathbf{Or}^1}^{(3)}) \circ \mathcal{P}_{\mathbf{Or}^1}^{(3)-1} = \mathcal{D}_\tau(\mathcal{Y} \circ \mathcal{P}_{\mathbf{Or}^2}^{(3)}) \circ \mathcal{P}_{\mathbf{Or}^2}^{(3)-1} \quad (2)$$

where  $\mathcal{D}_\tau(\mathcal{A}) = \arg \min_{\mathcal{X}} \frac{1}{2} \|\mathcal{A} - \mathcal{X}\|_F^2 + \tau \|\mathcal{X}\|_*$ ,  $\mathbf{Or}^1 = \{i_1, i_2, \dots, i_{n_3}\}$  is obtained by  $\mathbf{C}^1$ , and  $\mathbf{Or}^2 = \{i_k, i_{k+1}, \dots, i_{n_3}, \dots, i_{k-1}\}$  is obtained by  $\mathbf{C}^2$ .

**Property 6.** For  $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ , if  $n_3 \leq 3$ , then tensor nuclear norm satisfies frontal slice permutations invariance (FSPI), i.e.  $\|\mathcal{A}\|_* = \|\mathcal{A} \circ \mathcal{P}_{\mathbf{Or}}^{(3)}\|_*$  for any frontal slice permutations  $\mathcal{P}_{\mathbf{Or}}^{(3)}$ .

**Theorem 5.** For  $\mathcal{Y} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ , if  $n_3 \leq 3$ , then

$$\mathcal{D}_\tau(\mathcal{Y}) = \mathcal{D}_\tau(\mathcal{Y} \circ \mathcal{P}^{(k)}) \circ \mathcal{P}^{(k)-1} \quad (3)$$

for  $k = 1, 2, 3$ .

Although, for  $n_3 > 3$ , we have taken an example which contradicts SPI of tensor recovery utilizing tensor-tensor product (see Fig. 1). By Theorem 5, it can be seen that tensor nuclear norm based tensor recovery satisfies slice permutations invariance for  $n_3 \leq 3$ .

### Tensor Recovery for SPV

In the following, we consider the case of  $n_3 > 3$ .

#### Tensor Principal Component Analysis for SPV

Consider the following key problem:

$$\min_{\mathcal{X}, \mathcal{P}_{\mathbf{Or}}^{(3)}} \frac{1}{2} \|\mathcal{Y} \circ \mathcal{P}_{\mathbf{Or}}^{(3)} - \mathcal{X}\|_F^2 + \tau \|\mathcal{X}\|_*. \quad (4)$$

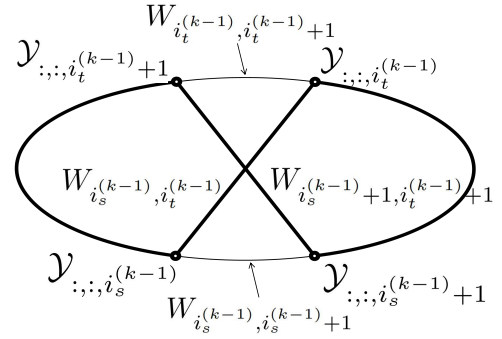


Figure 2

Since  $\|\mathcal{X}\|_* = \|\mathcal{X}\|_{*,a}$  (Lu et al. 2019), therefore (4) can be converted to

$$\min_{\mathcal{X}, \mathcal{P}_{\mathbf{Or}}^{(3)}} \frac{1}{2} \|\mathcal{Y} \circ \mathcal{P}_{\mathbf{Or}}^{(3)} - \mathcal{X}\|_F^2 + \tau \|\mathcal{X}\|_{*,a} \quad (5)$$

$$= \min_{\mathcal{X}, \mathcal{P}_{\mathbf{Or}}^{(3)}} \frac{1}{2n_3} \|\text{bcirc}(\mathcal{Y} \circ \mathcal{P}_{\mathbf{Or}}^{(3)}) - \text{bcirc}(\mathcal{X})\|_F^2 \quad (6)$$

$$+ \frac{\tau}{n_3} \|\text{bcirc}(\mathcal{X})\|_*. \quad (7)$$

From

$$\begin{aligned} & \text{bcirc}(\mathcal{Y} \circ \mathcal{P}_{\mathbf{Or}}^{(3)}) \\ &= \begin{pmatrix} \mathcal{Y}_{:, :, \mathbf{Or}(1)} & \mathcal{Y}_{:, :, \mathbf{Or}(n_3)} & \cdots & \mathcal{Y}_{:, :, \mathbf{Or}(2)} \\ \mathcal{Y}_{:, :, \mathbf{Or}(2)} & \mathcal{Y}_{:, :, \mathbf{Or}(1)} & \cdots & \mathcal{Y}_{:, :, \mathbf{Or}(3)} \\ \vdots & \vdots & \ddots & \vdots \\ \mathcal{Y}_{:, :, \mathbf{Or}(n_3)} & \mathcal{Y}_{:, :, \mathbf{Or}(n_3-1)} & \cdots & \mathcal{Y}_{:, :, \mathbf{Or}(1)} \end{pmatrix} \\ &\rightarrow \begin{pmatrix} \mathcal{Y}_{:, :, \mathbf{Or}(1)} & \mathcal{Y}_{:, :, \mathbf{Or}(2)} & \cdots & \mathcal{Y}_{:, :, \mathbf{Or}(n_3)} \\ \mathcal{Y}_{:, :, \mathbf{Or}(2)} & \mathcal{Y}_{:, :, \mathbf{Or}(3)} & \cdots & \mathcal{Y}_{:, :, \mathbf{Or}(1)} \\ \vdots & \vdots & \ddots & \vdots \\ \mathcal{Y}_{:, :, \mathbf{Or}(n_3)} & \mathcal{Y}_{:, :, \mathbf{Or}(1)} & \cdots & \mathcal{Y}_{:, :, \mathbf{Or}(n_3-1)} \end{pmatrix}, \end{aligned}$$

it can be seen that  $\text{bcirc}(\mathcal{Y} \circ \mathcal{P}_{\mathbf{Or}}^{(3)})$  will be approximated to a more lower rank matrix and get a better low rank estimation of  $\mathcal{Y}$  when adjacent  $\mathcal{Y}_{:, :, \mathbf{Or}(i)}$  and  $\mathcal{Y}_{:, :, \mathbf{Or}(i+1)}$  are more similar (mark  $\mathcal{Y}_{:, :, \mathbf{Or}(n_3+1)} = \mathcal{Y}_{:, :, \mathbf{Or}(1)}$  for convenience). Therefore, we convert (4) to the following problem:

$$\arg \min_{\mathcal{X}} \frac{1}{2} \|\mathcal{Y} \circ \mathcal{P}_{\mathbf{Or}^*}^{(3)} - \mathcal{X}\|_F^2 + \tau \|\mathcal{X}\|_*, \quad (8)$$

where  $\mathbf{Or}^*$  is obtained by  $\mathbf{C}^*(\mathcal{Y})$ . Therefore we solve (4) via Algorithm 2 approximately by Theorem 6<sup>1</sup>. The symbols and definitions used in Algorithm 2 are explained in Definitions 12-13.

**Theorem 6.** (Lu et al. 2019) Tensor nuclear minimize problem:

$$\mathcal{D}_\tau(\mathcal{Y}) = \arg \min_{\mathcal{X}} \frac{1}{2} \|\mathcal{Y} - \mathcal{X}\|_F^2 + \tau \|\mathcal{X}\|_*, \quad (9)$$

where  $\mathcal{D}_\tau(\mathcal{Y})$  can be obtained by Algorithm 1.

<sup>1</sup>It is worth noting that we convert (4) to a Minimum Hamiltonian circle problem.

---

**Algorithm 1:** Tensor Singular Value Thresholding (t-SVT)

---

**Input:**  $\mathcal{Y} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ ,  $\tau > 0$  as defined in (9).

**Output:**  $\mathcal{D}_\tau(\mathcal{Y})$ .

Compute  $\bar{\mathcal{Y}} = \text{fft}(\mathcal{Y}, [], 3)$ ;

Perform matrix SVT on each frontal slice of  $\bar{\mathcal{Y}}$  by

**for**  $i = 1, \dots, \lfloor \frac{n_3+1}{2} \rfloor$  **do**

$[U, S, V] = \text{SVD}(\bar{Y}^{(i)})$ ;

$\bar{W}^{(i)} = U(S - \tau)_+ V^*$ ;

**end**

**for**  $i = \lfloor \frac{n_3+1}{2} \rfloor + 1, \dots, n_3$  **do**

$\bar{W}^{(i)} = \text{conj}(\bar{W}^{(n_3-i+2)})$ ;

**end**

$\mathcal{D}_\tau(\mathcal{Y}) = \text{ifft}(\bar{W}, [], 3)$ ;

---

A key point to  $\mathcal{T}_\tau(\mathcal{Y})$  is to find  $\mathbf{C}^*(\mathcal{Y})$ . And a simplest idea for getting  $\mathbf{C}^*(\mathcal{Y})$  is that, when we get  $\mathbf{C}^{(k-1)}$ , we can make appropriate modifications for the circle  $\mathbf{C}^{(k)}$  to get another circle  $\mathbf{C}^{(k)}$  with a smaller  $\mathbf{w}(\mathcal{Y}, \mathbf{C}^{(k)})$  as Fig. 2 (Bondy, Murty et al. 1976). Repeat the above process until  $\mathbf{C}^{(k)}$  convergence to  $\mathbf{C}^*(\mathcal{Y})$ .

## TRPCA for SPV

Consider the following problem:

$$\begin{aligned} (\mathcal{L}^*, \mathcal{S}^*, \mathcal{P}_{\mathbf{Or}^*}^{(3)}) &= \min_{\mathcal{L}, \mathcal{S}, \mathcal{P}_{\mathbf{Or}}^{(3)}} \|\mathcal{L}\|_* + \lambda \|\mathcal{S}\|_1 \\ \text{s.t. } (\mathcal{P} - \mathcal{S}) \circ \mathcal{P}_{\mathbf{Or}}^{(3)} &= \mathcal{L}, \end{aligned} \quad (10)$$

where  $\mathcal{P}_{\mathbf{Or}}^{(3)}$  is a frontal slice permutation,  $\mathcal{L}$  is low-rank, and  $\mathcal{S}$  is sparse. And Algorithm 3 based on alternating direction method (ADM) (Bertsekas 1997) is proposed for solving (10). It is worth noting that, for fixed  $\mathcal{P}_{\mathbf{Or}}^{(3)}$ , (10) degenerate to TRPCA (which means (10) can exactly recover the low-rank and sparse components from their sum for the fixed  $\mathcal{P}_{\mathbf{Or}}^{(3)}$ ).

## Experimental Results

This section includes three parts: in the first two parts, we compared the proposed algorithm (TRPCA-SPV) with several existing state-of-the-art tensor recovery methods (including RPCA<sup>2</sup>(Candès et al. 2011), SNN<sup>3</sup>(Gandy, Recht, and Yamada 2011), Liu’s work<sup>3</sup>(called Liu for short)(Candes and Plan 2010) and TRPCA<sup>3</sup>(Lu et al. 2019)) on image sequence recovery task and image classification task to evaluate the effectiveness of the algorithms regarding alleviating SPV problem on tensor recovery. And the third part was conducted in order to evaluate the performance of TRPCA-SPV with different values of the parameter  $\kappa$ .

<sup>2</sup><https://github.com/dlaptev/RobustPCA>

<sup>3</sup><https://github.com/canyilu/LibADMM-toolbox>

---

**Algorithm 2:** Tensor recovery for SPV (TRSPV)

---

**Input:**  $\mathcal{Y} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$ , and Iternum.

**Output:**  $\mathbf{C}^*(\mathcal{Y})$  and  $\mathcal{T}_\tau(\mathcal{Y})$

Compute weight matrix  $W$ ;

Initialize circle  $\mathbf{C}^{(0)} = \{i_1^{(0)}, i_2^{(0)}, \dots, i_{n_3}^{(0)}, i_1^{(0)}\}$ , and  $k = 0$ ;

**while**  $k \leq \text{Iternum}$  **do**

$k = k + 1$ ;

**if there are different**

$i_s^{(k-1)}, i_t^{(k-1)}, i_s^{(k-1)} + 1, i_t^{(k-1)} + 1$  in  $\mathbf{C}^{(k-1)}$  which  
        make  $W_{i_s^{(k-1)}, i_t^{(k-1)}}(\mathcal{Y}) + W_{i_s^{(k-1)}+1, i_t^{(k-1)}+1}(\mathcal{Y}) <$

$W_{i_s^{(k-1)}, i_s^{(k-1)}+1}(\mathcal{Y}) + W_{i_t^{(k-1)}, i_t^{(k-1)}+1}(\mathcal{Y})$  **then**

$\mathbf{C}^{(k)} = \{i_t^{(k-1)}, i_s^{(k-1)}\} \cup$   
             $\mathbf{C}^{(k-1)-1}(i_{t+1}^{(k-1)}, i_s^{(k-1)}) \cup \{i_{t+1}^{(k-1)}, i_{s+1}^{(k-1)}\}$   
             $\cup \mathbf{C}^{(k-1)}(i_{s+1}^{(k-1)}, i_t^{(k-1)})$ ;

**else**

$\mathbf{C}^{(k)} = \mathbf{C}^{(k-1)}$ ;

**break**;

**end**

**end**

Obtain  $\mathbf{C}^*(\mathcal{Y}) = \mathbf{C}^{(k)}$ , and compute

$\mathcal{T}_\tau(\mathcal{Y}) = \mathcal{D}_\tau(\mathcal{Y}^{\mathbf{Or}^*})$ , where  $\mathbf{Or}^*$  obtained by  $\mathbf{C}^*(\mathcal{Y})$ ;

---

## Image Sequence Recovery

In this part, all five methods were tested on two hyperspectral image databases including Pavia University<sup>4</sup> and Botswana<sup>4</sup>.

Each image with dimension of  $n_1 \times n_2$  is contaminated by the mixture of zero mean Gaussian noise and random valued impulse noise, in which standard deviations of zero mean Gaussian  $\delta$  was set as  $\delta = 5 : 10 : 25$  and random-valued impulse noise with density level  $c$  was set as  $c = 0.05 : 0.1 : 0.25$ , respectively. For Pavia University, we empirically set  $\lambda = 1/\sqrt{\max(n_1, n_2)}$  for RPCA (which deal with each band separately),  $\lambda = [\frac{240}{3}, \frac{240}{3}, \frac{240}{3}]$  for SNN, and  $\lambda = 330 \times [0.2, 0.1, 0.7]$  for Liu. For Botswana, we empirically set  $\lambda = 0.9/\sqrt{\max(n_1, n_2)}$  for RPCA (which deal with each band separately),  $\lambda = [\frac{340}{3}, \frac{340}{3}, \frac{340}{3}]$  for SNN, and  $\lambda = 370 \times [0.3, 0.1, 0.6]$  for Liu. For TRPCA, the parameter  $\lambda$  was tuned to  $\lambda = 0.9/\sqrt{\max(n_1, n_2)n_3}$  and  $\lambda = 0.8/\sqrt{\max(n_1, n_2)n_3}$  for Pavia University and Botswana respectively, in which  $n_3$  is the number of spectral bands. For TRPCA-SPV, the parameter  $\lambda$  was tuned to  $\lambda = 0.9/\sqrt{\max(n_1, n_2)n_3}$  for the two databases.

The Mean Peak Signal-To-Noise Ratio (MPSNR) value  $\frac{1}{n_3} \sum_{i=1}^{n_3} \text{PSNR}_i$  is used to evaluate the methods, where  $\text{PSNR}_i$  was the Peak Signal-To-Noise Ratio (PSNR) result of  $i$ -th restored band. From Table 2, there are some observations as following: TRPCA-SPV outperforms the compared methods by a wide margin in most of cases. Specifically, for Pavia University, TRPCA-SPV outperforms other methods

<sup>4</sup>[http://www.ehu.es/ccwintco/index.php/Hyperspectral\\_Remote\\_Sensin\\_Scenes](http://www.ehu.es/ccwintco/index.php/Hyperspectral_Remote_Sensin_Scenes)

---

**Algorithm 3:** TRPCA for SPV (TRPCA-SPV)

---

**Initialize:**  $\mathcal{L}^{(0)} = \mathcal{S}^{(0)} = \mathcal{Q}^{(0)} = \mathcal{Y}^{(0)} = \mathbf{0}$ ,  $\rho > 1$ ,  
 $\mu_0 = 1e - 3$ ,  $\epsilon = 1e - 8$ ,  $\kappa > 0$ .

**while not converged do**

1. Update  $\mathbf{Or}^*$  by  
If  $\kappa = 1$  or  $k \bmod \kappa = 1$ , update  $\mathbf{Or}^*$  by  
 $\mathbf{C}^*(\mathcal{M}^{(k)})$ , where  $\mathcal{M}^{(k)} = \mathcal{P} - \mathcal{S}^{(k)} - \frac{\mathcal{Q}^{(k)}}{\mu_k}$ ;
2. Update  $\mathcal{L}^{(k+1)}$  by  
 $\mathcal{L}^{(k+1)} = \arg \min_{\mathcal{L}} \|\mathcal{L}\|_* + \frac{\mu_k}{2} \|\mathcal{L} - (\mathcal{M}^{(k)})\mathbf{Or}^*\|_F^2$ ;
3. Update  $\mathcal{S}^{(k+1)}$  by  
 $\mathcal{S}^{(k+1)} = \arg \min_{\mathcal{S}} \lambda \|\mathcal{S}\mathbf{Or}^*\|_1 + \frac{\mu_k}{2} \|\mathcal{L}^{(k+1)} + \mathcal{S}\mathbf{Or}^* - \mathcal{P}\mathbf{Or}^* + \frac{\mathcal{Q}^{(k)}}{\mu_k}\mathbf{Or}^*\|_F^2$ ;
4.  $(\mathcal{Q}^{(k+1)})\mathbf{Or}^* = (\mathcal{Q}^{(k)})\mathbf{Or}^* + \mu(\mathcal{L}^{(k+1)} + (\mathcal{S}^{(k+1)})\mathbf{Or}^* - \mathcal{P}\mathbf{Or}^*)$ ;
5. Update  $\mu_{k+1}$  by  $\mu_{k+1} = \min(\rho\mu_k, \mu_{\max})$ ;
6. Check the convergence conditions  
 $\|\mathcal{L}^{(k+1)} - \mathcal{L}^{(k)}\|_{\infty} \leq \epsilon$ ,  
 $\|(\mathcal{S}^{(k+1)})\mathbf{Or}^* - (\mathcal{S}^{(k)})\mathbf{Or}^*\|_{\infty} \leq \epsilon$ ,  
 $\|\mathcal{L}^{(k+1)} + (\mathcal{S}^{(k+1)})\mathbf{Or}^* - \mathcal{P}\mathbf{Or}^*\|_{\infty} \leq \epsilon$ ;

**end**

---

by more than 3 dB on the case of small noise level. This demonstrates the superiority of our TRPCA-SPV in tensor recovery. For case of TRPCA-SPV v.s. TRPCA, TRPCA-SPV can attain much better results compared to TRPCA. The gap between MPSNR results by TRPCA-SPV and TRPCA even achieve 5dB in the case of  $\delta = 5$  and  $c = 0.05 : 0.1 : 0.25$ . This illustrate the huge affecting of SPV on TRPCA, and TRPCA-SPV can eliminate it well.

### Image Classification

In this part, image classification was conducted on two datasets including ORL database<sup>5</sup> and CMU PIE database<sup>6</sup>.

Each image with size of  $n_1 \times n_2$  was contaminated by the mixed noise, in which  $\delta$  was set as  $\delta = 0 : 5 : 30$  and  $c$  was set as  $c = 0 : 0.05 : 0.3$ . For each noise level, all five algorithms were used to recover the low rank tensor structure from the noised images. The performance of the algorithms was evaluated by classification accuracy via  $k$  nearest neighbor ( $k$ NN), where  $k = 1$  in the experiments. For each dataset, 90% of samples were randomly selected as training set, and the rest were taken as testing set. For RPCA and TRPCA, the parameter  $\lambda$  was set to  $\lambda = 1/\sqrt{\max(n_1n_2, n_3)}$  and  $\lambda = 1/\sqrt{\max(n_1, n_2)n_3}$  respectively as suggested in (Lu et al. 2019), in which  $n_3$  was the number of samples. For TRPCA-SPV, the parameter  $\lambda$  was set to  $\lambda = 1/\sqrt{\max(n_1, n_2)n_3}$  as well. For Liu, we found that it did not perform well when  $\lambda_i$ 's were set to the values suggested in theory (Huang et al. 2015). We empirically set it as  $70 \times [0.2, 0.3, 0.5]$ . For SNN, we empirically set  $\lambda = [\frac{70}{3}, \frac{70}{3}, \frac{70}{3}]$ . All results are presented in Fig. 3-4, with

<sup>5</sup><https://cam-orl.co.uk/facedatabase.html>

<sup>6</sup><https://www.ri.cmu.edu/project/pie-database/>

mean accuracy of each method derived from 10 times experiments. The cell with more dark red corresponds to higher classification accuracy.

From Fig. 3-4, there are some observations as following: In general, TRPCA-SPV achieves more stable and better performance compared to other methods (RPCA, SNN, Liu and TRPCA). In addition, TRPCA-SPV can attain better results compared to TRPCA, because TRPCA-SPV exploits the low rank structure within the tensor data more exactly.

### Sensitivity Analysis of Parameters

In this part, we also evaluated our algorithm on two datasets (including ORL database and Pavia University), in which each image in datasets contaminated by the mixed noise with  $\delta = 15$  and  $c = 0.15$ , to investigate the influence of the parameter  $\kappa$ .

The experiments for each parameter  $\kappa$  were repeated 10 times, the results obtained by the different methods are shown in Fig. 5 (a) and (b), from which we have the following observations: (1) In general, the results by TRPCA-SPV are robust against to the parameter  $\kappa$ . (2) For all cases of TRPCA-SPV, the results by TRPCA-SPV are much better than TRPCA.

In addition, from Fig. 5 (c) and (d), the curve by TRPCA-SPV is shock depend on the parameter  $\kappa$  at the beginning, and tend to be stable after with more iterations of the algorithm, in which

$$\text{Error} = \max(\|\mathcal{L}^{(k+1)} - \mathcal{L}^{(k)}\|_{\infty}, \|\mathcal{S}^{(k+1)} - \mathcal{S}^{(k)}\|_{\infty}, \|\mathcal{L}^{(k+1)} + (\mathcal{S}^{(k+1)})\mathbf{Or}^* - \mathcal{P}\mathbf{Or}^*\|_{\infty}). \quad (11)$$

### Conclusion and Future Work

This paper focuses on solving a new problem (SPV in tensor recovery) which has not been explored so far. We aimed to accurately recover a low rank tensor from a high-dimensional tensor data with chaos tensor slices sequence. The example given in Figure 1 shows a huge gap between results by tensor recovery using tensor with different slices sequence. To deal with this issue, TRSPV was proposed. Furthermore, we discussed SPV of several key tensor recovery problems in theoretically. To this end, we first studied the row (or column) permutations invariance of a key low rank matrix recovery problem (Principal Component Analysis). Then, SPI of several key tensor recovery problems were discussed in theoretically, and we obtained the following results: (1) Tensor recovery based on the weighted sum of the nuclear norm of the unfolding matrices has SPI. (2) For  $n_3 \leq 3$ , tensor recovery based on tensor nuclear norm has SPI. For the case of  $n_3 > 3$ , experimental results showed the effectiveness of the proposed algorithm, and eliminated SPV in tensor recovery well.

Although tensor recovery based on t-product usually achieves a significant performance compared to other tensor recovery methods, but it can not be applied in higher order tensor recovery in straightway. Consider the real data such as color videos are in higher order tensor form. It is interesting to develop an effective higher order tensor algorithm using the idea of tensor-tensor product in the future.

		Botswana					Pavia University				
$\delta$	c	RPCA	SNN	Liu	TRPCA	TRPCA-SPV	RPCA	SNN	Liu	TRPCA	TRPCA-SPV
5	5%	29.90	34.52	36.82	32.06	<b>38.44</b>	27.56	29.82	32.03	30.65	<b>36.60</b>
	15%	29.04	33.02	35.34	30.06	<b>37.11</b>	26.90	29.21	31.60	28.07	<b>35.39</b>
	25%	27.73	30.81	32.92	28.78	<b>34.98</b>	25.55	27.96	30.53	26.03	<b>33.48</b>
15	5%	28.11	30.91	32.42	31.11	<b>34.21</b>	25.58	27.19	28.07	30.22	<b>31.51</b>
	15%	27.32	29.47	30.92	28.99	<b>32.34</b>	24.77	26.43	28.35	27.17	<b>30.38</b>
	25%	25.78	27.23	28.48	27.18	<b>29.67</b>	23.20	24.96	26.99	24.67	<b>27.76</b>
25	5%	26.84	29.17	30.37	29.34	<b>31.65</b>	23.63	25.12	26.94	28.50	<b>29.02</b>
	15%	26.05	27.55	28.67	26.83	<b>29.77</b>	22.74	24.30	26.30	25.21	<b>27.49</b>
	25%	24.29	25.14	26.06	24.18	<b>26.79</b>	21.11	22.76	24.77	22.49	<b>24.81</b>

Table 2: MPSNR results by different methods on Botswana and Pavia University.

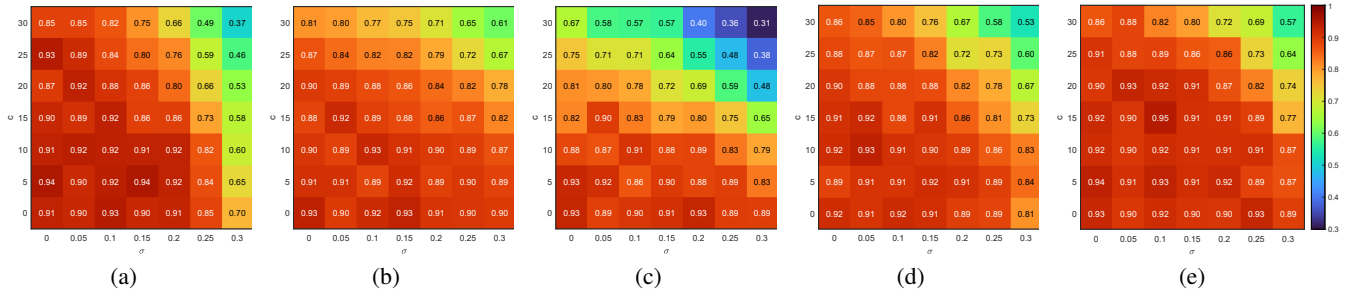


Figure 3: Classification accuracies of the 5 algorithms on ORL database: (a) RPCA (b) SNN (c) Liu (d) TRPCA (e) TRPCA-SPV

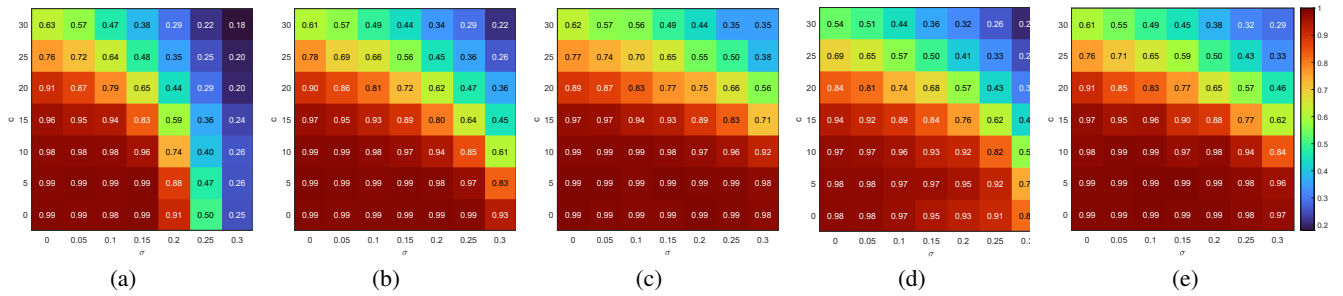


Figure 4: Classification accuracy result on CMU PIE database: (a) RPCA (b) SNN (c) Liu (d) TRPCA (e) TRPCA-SPV

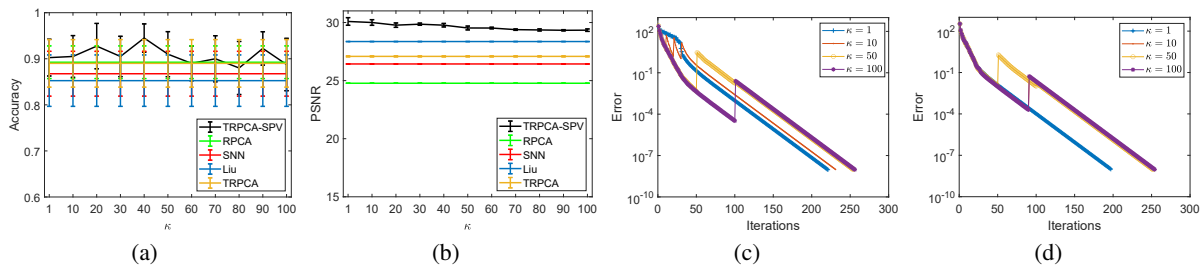


Figure 5: Sensitivity analysis of parameter  $\kappa$  for TRPCA-SPV on (a) ORL database and (b) Pavia University; Convergence analysis for TRPCA-SPV with different  $\kappa$  on (c) ORL database and (d) Pavia University.

## Acknowledgments

This work was supported in part by the National Natural Science Foundation of China [grant nos. 61922064, U2033210, 62101387], in part by the Zhejiang Provincial Natural Science Foundation [grant nos. LR17F030001, LQ19F020005], and in part by Natural Sciences and Engineering Research Council of Canada (NSERC) Discovery [grant number RGPIN-2020-05525].

## References

- Bertsekas, D. P. 1997. Nonlinear programming. *Journal of the Operational Research Society*, 48(3): 334–334.
- Bondy, J. A.; Murty, U. S. R.; et al. 1976. *Graph theory with applications*, volume 290. Macmillan London.
- Cai, C.; Li, G.; Poor, H. V.; and Chen, Y. 2021. Nonconvex low-rank tensor completion from noisy data. *Operations Research*.
- Candès, E. J.; Li, X.; Ma, Y.; and Wright, J. 2011. Robust principal component analysis? *Journal of the ACM (JACM)*, 58(3): 1–37.
- Candès, E. J.; and Plan, Y. 2010. Matrix completion with noise. *Proceedings of the IEEE*, 98(6): 925–936.
- Candès, E. J.; and Recht, B. 2009. Exact matrix completion via convex optimization. *Foundations of Computational Mathematics*, 9(6): 717–772.
- Chandrasekaran, V.; Sanghavi, S.; Parrilo, P. A.; and Willsky, A. S. 2009. Sparse and low-rank matrix decompositions. *IFAC Proceedings Volumes*, 42(10): 1493–1498.
- Dian, R.; Li, S.; and Fang, L. 2019. Learning a low tensor-train rank representation for hyperspectral image super-resolution. *IEEE Transactions on Neural Networks and Learning Systems*, 30(9): 2672–2683.
- Eckart, C.; and Young, G. 1936. The approximation of one matrix by another of lower rank. *Psychometrika*, 1(3): 211–218.
- Gandy, S.; Recht, B.; and Yamada, I. 2011. Tensor completion and low-n-rank tensor recovery via convex optimization. *Inverse Problems*, 27(2): 025010.
- Hu, W.; Tao, D.; Zhang, W.; Xie, Y.; and Yang, Y. 2016. The twist tensor nuclear norm for video completion. *IEEE Transactions on Neural Networks and Learning Systems*, 28(12): 2961–2973.
- Huang, B.; Mu, C.; Goldfarb, D.; and Wright, J. 2015. Provable models for robust low-rank tensor completion. *Pacific Journal of Optimization*, 11(2): 339–364.
- Kilmer, M. E.; and Martin, C. D. 2011. Factorization strategies for third-order tensors. *Linear Algebra and its Applications*, 435(3): 641–658.
- Kolda, T. G.; and Bader, B. W. 2009. Tensor decompositions and applications. *SIAM Review*, 51(3): 455–500.
- Liu, J.; Musialski, P.; Wonka, P.; and Ye, J. 2012. Tensor completion for estimating missing values in visual data. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 35(1): 208–220.
- Lu, C.; Feng, J.; Chen, Y.; Liu, W.; Lin, Z.; and Yan, S. 2019. Tensor robust principal component analysis with a new tensor nuclear norm. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 42(4): 925–938.
- Lu, C.; Peng, X.; and Wei, Y. 2019. Low-rank tensor completion with a new tensor nuclear norm induced by invertible linear transforms. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, 5996–6004.
- Luo, Y.; Tao, D.; Ramamohanarao, K.; Xu, C.; and Wen, Y. 2015. Tensor canonical correlation analysis for multi-view dimension reduction. *IEEE transactions on Knowledge and Data Engineering*, 27(11): 3111–3124.
- Tan, H.; Cheng, B.; Wang, W.; Zhang, Y.-J.; and Ran, B. 2014. Tensor completion via a multi-linear low-n-rank factorization model. *Neurocomputing*, 133: 161–169.
- Wei, W.; Zhang, L.; Jiao, Y.; Tian, C.; Wang, C.; and Zhang, Y. 2018. Intracluster structured low-rank matrix analysis method for hyperspectral denoising. *IEEE Transactions on Geoscience and Remote Sensing*, 57(2): 866–880.
- Wold, S.; Esbensen, K.; and Geladi, P. 1987. Principal component analysis. *Chemometrics & Intelligent Laboratory Systems*, 2(1): 37–52.
- Wright, J.; Ganesh, A.; Rao, S. R.; Peng, Y.; and Ma, Y. 2009. Robust principal component analysis: Exact recovery of corrupted low-rank matrices via convex optimization. In *Neural Information Processing Systems*, volume 58, 289–298.
- Xu, H.; Caramanis, C.; and Sanghavi, S. 2012. Robust PCA via outlier pursuit. *IEEE Transactions on Information Theory*, 58(5): 3047–3064.
- Yang, J.-H.; Zhao, X.-L.; Ji, T.-Y.; Ma, T.-H.; and Huang, T.-Z. 2020. Low-rank tensor train for tensor robust principal component analysis. *Applied Mathematics and Computation*, 367: 124783.
- Zhang, F.; Wang, J.; Wang, W.; and Xu, C. 2021. Low-tubal-rank plus sparse tensor recovery with prior subspace information. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 43(10): 3492–3507.
- Zhang, X.; Wang, D.; Zhou, Z.; and Ma, Y. 2019. Robust low-rank tensor recovery with rectification and alignment. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 43(1): 238–255.
- Zhang, X.; Zhou, Z.; Wang, D.; and Ma, Y. 2014a. Hybrid singular value thresholding for tensor completion. In *Twenty-Eighth AAAI Conference on Artificial Intelligence*.
- Zhang, X.-D. 2017. *Matrix analysis and applications*. Cambridge University Press.
- Zhang, Z.; Ely, G.; Aeron, S.; Hao, N.; and Kilmer, M. 2014b. Novel methods for multilinear data completion and de-noising based on tensor-SVD. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, 3842–3849.
- Zheng, Y.-B.; Huang, T.-Z.; Ji, T.-Y.; Zhao, X.-L.; Jiang, T.-X.; and Ma, T.-H. 2019. Low-rank tensor completion via smooth matrix factorization. *Applied Mathematical Modelling*, 70: 677–695.



Zhou, P.; Lu, C.; Lin, Z.; and Zhang, C. 2017. Tensor factorization for low-rank tensor completion. *IEEE Transactions on Image Processing*, 27(3): 1152–1163.

Zhou, Z.; Li, X.; Wright, J.; Candes, E.; and Ma, Y. 2010. Stable principal component pursuit. In *2010 IEEE International Symposium on Information Theory*, 1518–1522.