

Facility’s Perspective to Fair Facility Location Problems

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Abstract

We study the problem faced by a decision maker who wants to locate a set of facilities on a real line and allocate agents/items to the facilities. The items have given locations on the line, and can only be assigned to one of their closest facilities. The facilities are controlled by managers, who have additive utility over the items. An optimal solution that maximizes the (utilitarian or egalitarian) social welfare of the facilities may present a very unbalanced allocation of the items to the facilities and hence be perceived as unfair. In this paper, we are interested in fair allocation among facility managers and consider the well-studied proportionality and envy-freeness fairness notions and their relaxations. We assess the availability, existence, approximability, and the quality (price of fairness) of fair solutions, where the quality measures the system efficiency loss under a fair allocation compared to the one that maximizes the social welfare. further, we show that one can find a Pareto-optimal solution in polynomial time.

1 Introduction

Facility location problems and their variants (Stollsteimer 1963; Manne 1964; Shmoys, Tardos, and Aardal 1997; Jain and Vazirani 2001) have been actively (and most commonly) studied in the economics, operations research, and computer science communities since the mid 20th century due to its applicability in modeling and solving various realistic optimization and resource allocation problems (e.g., transportations and clustering). In the standard facility location problem, we are given a set of m facilities such as libraries, parks, and schools, a set of locations (e.g., bounded interval of $[0,1]$), and a set of n agents locating within the set of locations. The typical goal, from the *agent’s perspective*, is to locate the facilities within a set of locations to minimize the total or maximal distance/cost of the agents to their closest facilities.

Despite the numerous research work of facility location problems in the mechanism design and algorithmic settings (see e.g., Procaccia and Tennenholtz 2013; Lu et al. 2010; Fotakis and Tzamos 2014; Cheng and Zhou 2015), limited work has explored the facility location problems from the

facility’s perspective where each facility has a (cardinal, satisfaction) utility function/preference over the possible subsets of agents the facility can serve – the larger value it is for a subset, the more preferable the subset is to the facility. It is not hard to see that each facility, e.g., managed by certain personnel, can form a utility preference over the agents. For example, a recreation facility management of a recreation center has an underlying preference with regards to serving various groups of citizens. A principal or an organization of a public school has a preference over the types of students (see e.g., (Abdulkadiroğlu 2005; Ehlers et al. 2014) in school choice) that can be admitted to the school.

An optimal solution that maximizes the (utilitarian or egalitarian) social welfare of the facilities may present a very unbalanced allocation of the items to the facilities and hence be perceived as unfair, while fair allocations may induce a bad social welfare. Motivated by this, in this work, we consider the facilities’ utility preferences over the agents and initiate the study of fair facility location problems from the facility’s perspective. More specifically, we aim to locate facilities within a set of locations to serve a set of population such that each facility’s partition of agents (i.e., induced by the agents selecting the closest facilities) is fair under the facility’s utility function. We address such a key problem of locating facilities under fundamental fairness notations to achieve (approximately) fairness.

Our Results and Organization. We study the fair facility location problem where there is a set of m facilities with additive valuations over n agents or items in a bounded interval of $[0,1]$. We consider the well-studied proportionality (Prop) and envy-freeness (EF) fairness and their (additive) relaxations for our setting. Given the fairness concepts, we study the existence and guarantees of (approximately) fair *contiguous valid* allocations, which admit a location profile of facilities such that the allocation satisfies the *closest assignment rule*. We note that our problem is related to the fair division problems with contiguous bundles (FDC) (Bouvet et al. 2017; Bild et al. 2018; Suksompong 2019) in which the goal is to fairly allocate indivisible items to players/facilities such that each bundle forms a contiguous block; but we additionally require the closest assignment.

In Section 3, we first prove that the problem of deter-

mining the existence of a Prop allocation is NP-complete, using a similar reduction in (Bouveret et al. 2017) for the FDC. We show that for any instance, there exists a valid $\frac{n+m-1}{2m} \cdot u_{max}$ -Prop allocation where u_{max} is highest value of any facility for any item. On the other hand, the existence of a valid $(\frac{n}{12} \cdot u_{max} - \epsilon)$ -Prop allocation is not guaranteed for any $\epsilon > 0$, even if there are $m = 3$ facilities.

In Section 4, we prove that the problem of determining the existence of an EF allocation is NP-complete. For any instance, there exists a valid $(\frac{3}{5}n + \frac{8}{5})u_{max}$ -EF allocation. The existence of a valid $(\frac{n}{4} \cdot u_{max} - \epsilon)$ -EF allocation is not guaranteed for any $\epsilon > 0$, even if there are $m = 3$ facilities. When there are exactly two facilities, one can find a valid u_{max} -EF allocation.

In Section 5, we study the best and worst price of fairness for both utilitarian and egalitarian social welfare, which is the ratio of the maximum possible social welfare over that of a (best or worst) fair allocation. While the best price of fairness is well-studied for fair division problems, we are the first to study the worst price of fairness. We show that they have significant difference for the egalitarian price of proportionality. Table 1 summarizes our results on the price of fairness, where UB and LB indicate the worst and best price of fairness, respectively.

In Section 6, we propose an algorithm that returns a Pareto-optimal valid allocation in polynomial time, and analyze its efficiency on both types of social welfare.

Comparison with FDC. In both settings, determining the existence of a Prop (and EF) allocation is NP-complete. Table 2 summarizes the results on additive fairness relaxations, and shows that both fairness concepts are much more difficult to approximate in our problem. Surprisingly, the closest assignment constraint does not change the best price of fairness (LB in Table 1), which is the same as (Suksompong 2019) for FDC. We give all omitted proofs in Supplementary Material.

Price	Utilitarian	Egalitarian
Prop.	UB: $[m - 1 + \frac{1}{m}, m]$ LB: $m - 1 + \frac{1}{m}$	UB: m LB: 1
EF	UB: $[\frac{\lfloor \sqrt{m} \rfloor}{2}, \frac{\sqrt{m}}{2} + 1 - o(1)]$ LB: $[\frac{\lfloor \sqrt{m} \rfloor}{2}, \frac{\sqrt{m}}{2} + 1 - o(1)]$	UB: $[\frac{m}{2}, m]$ LB: $\frac{m}{2}$

Table 1: Our results on the price of fairness.

Fairness	Our setting	FDC
Prop.	UB: $\frac{m+n-1}{2m} \cdot u_{max}$ LB: $\frac{n}{12} \cdot u_{max}$	UB*: $\frac{m-1}{m} \cdot u_{max}$ LB*: $\frac{m-1}{m} \cdot u_{max}$
EF	UB: $(\frac{3n}{5} + \frac{8}{5}) \cdot u_{max}$ LB: $\frac{n}{4} \cdot u_{max}$	UB*: $2u_{max}$

Table 2: Additive-approximate fairness guarantees for our setting and FDC. The latter can be found in (Suksompong 2019), indicated by *.

Related Work. Our work is grounded on a string of fruitful research in fair division and facility location problems. It is related to the Hotelling-Downs model (Hotelling 1929; Downs 1957), where some players (facilities) strategically locate themselves at a point along a line so as to attract the greatest number of clients; the client is attracted by the closest player. Our model differs from theirs in that the facilities have utility functions, i.e., different agents have different values to the facilities.

Fair division with contiguous bundles. In FDC, the goal is to fairly allocate indivisible items that are located on a line to a group of players such that the allocation is required to be contiguous. The main difference to our problem is that, we need additionally to locate the facilities and allocate items to their closest players/facilities on the line. Such a variation takes into account the decisions of agents/items and introduces new challenges into fair division.

More formally, the n items form a connected graph and are to be allocated to m players. In a contiguous allocation, the bundle of each player must form a contiguous block of items, inducing a connected subgraph. Each player has a value for each item. When the graph is a line, under the additive fairness relaxation, Suksompong (2019) shows that there is a contiguous $\frac{m-1}{m} \cdot u_{max}$ -Prop allocation, and a contiguous $2u_{max}$ -EF allocation where u_{max} is the highest value of any player for any item. Bouveret et al. (2017) prove that the problem of determining the existence of a contiguous Prop/EF allocation for an instance is NP-complete. Bei et al. (2019) study the price of connectivity.

Price of Fairness. The price of fairness quantifies the loss of social welfare that is necessary if we impose a fairness constraint on the allocation, initially studied by Caragiannis et al. (2012) for both allocating divisible and indivisible items. Later, Aumann and Dombb (2015) focus on contiguous allocations of divisible items and consider both utilitarian and egalitarian welfare, where utilitarian welfare refers to the sum of agents' utilities and egalitarian welfare refers to their minimum. Suksompong (2019) completes the picture by providing tight or almost tight bounds on the price of fairness for contiguous allocations of indivisible items.

Facility location games. In the algorithmic mechanism design settings of facility location games (Procaccia and Tennenholtz 2013; Chen et al. 2019), the locations of the agents are private. The planner's goal is to elicit (true) locations from the agents and locate the facilities to optimize the desirable objectives. In many of such facility location problems, fairness is usually studied from the agents' perspective. For example, Cai et al. (2016) introduced a fairness criterion, called minimax-envy, to 1-facility location games and proposed strategy-proof mechanisms. Chen et al. (2020) study the minimax-envy for 2-facility location games. Liu et al. (2020) study the envy ratio for k -facility location games, which is a fairness concept derived from fair division (Lipton et al. 2004), and defined as the maximum over the ratios between any two agents' utilities. In this paper, however, we are interested in the fairness of facilities, and to our best knowledge, no previous work considers fairness from the facility's perspective.

2 Preliminaries

Let $N = \{1, \dots, n\}$ be the set of agents/items. The items are located in a line segment, represented by the interval $[0, 1]$. We want to locate $m \geq 2$ facilities $\mathcal{F} = \{F_1, F_2, \dots, F_m\}$ in the interval $[0, 1]$ and allocate n items to the m facilities such that each item is assigned to the closest facility.

An *allocation* $\mathbf{A} = (A_1, \dots, A_m)$ is a partition of all items into bundles for the facilities so that facility F_i receives bundle A_i . An allocation \mathbf{A} is *contiguous*, if each bundle forms a contiguous block of items. An allocation \mathbf{A} is *valid*, if there exists a location profile of the facilities such that the locations of facilities are pairwise distinct and each item is assigned to a facility who has the smallest distance to the item. Since the facility locations must be different, a valid allocation implies that the bundle of every facility induces a contiguous block, and thus it is contiguous.

Each facility $F_i \in \mathcal{F}$ has some nonnegative value $u_i(j)$ for item $j \in N$. Assume w.l.o.g. that for every item j , there is some facility F_i with positive value, i.e., $u_i(j) > 0$. For each facility F_i , define $u_{i,max} := \max_{j \in N} u_i(j)$ to be the highest value of F_i for an item. Let $u_{max} := \max_{F_i \in \mathcal{F}} u_{i,max}$ be the highest value of any facility for an item. We assume that utilities are *additive*, which means $u_i(N') = \sum_{j \in N'} u_i(j)$ for any facility F_i and any subset of items $N' \subseteq N$. In terms of social welfare, the *utilitarian welfare* of \mathbf{A} is the total utility $\sum_{F_i \in \mathcal{F}} u_i(A_i)$ of all facilities, and the *egalitarian welfare* is the minimum utility $\min_{F_i \in \mathcal{F}} u_i(A_i)$ among the facilities.

We are interested in finding valid allocations that are fair for the facilities, which implicitly induce a location profile of facilities. We mainly study the following two fairness concepts and their (additive) relaxations.

Definition 2.1 (Proportional (Prop)). *An allocation $\mathbf{A} = (A_1, \dots, A_m)$ is proportional if $u_i(A_i) \geq \frac{u_i(N)}{m}$ for all $F_i \in \mathcal{F}$. For $\epsilon \geq 0$, the allocation is ϵ -proportional if $u_i(A_i) \geq \frac{u_i(N)}{m} - \epsilon$ for all $F_i \in \mathcal{F}$.*

Definition 2.2 (Envy-Free (EF)). *An allocation $\mathbf{A} = (A_1, \dots, A_m)$ is envy-free if $u_i(A_i) \geq u_i(A_j)$ for all $F_i, F_j \in \mathcal{F}$. For $\epsilon \geq 0$, the allocation is ϵ -envy-free if $u_i(A_i) \geq u_i(A_j) - \epsilon$ for all $F_i, F_j \in \mathcal{F}$.*

From these definitions, it is not hard to see that EF implies Prop, and ϵ -EF implies ϵ -Prop.

We know that a valid allocation is contiguous but not vice versa. For example, consider a 3-facility instance with 4 items located at $(0, 0.1, 0.9, 1)$, and a contiguous allocation $(\{1\}, \{2, 3\}, \{4\})$. There is no feasible location profile of facilities such that each item is assigned to the closest facility, and thus it is not valid. In particular, when there are two facilities, any contiguous allocation is also valid, because we can locate one facility at the right endpoint of the left bundle, and locate the other at the left endpoint of the right bundle, such that every item is assigned to the closest facility.

Observation 2.3. *When $m = 2$, every contiguous allocation is valid.*

We assume that the items have pairwise distinct locations. If the items can be located at the same point, there is a very

bad instance of 2 facilities, where $n - 1$ items are located at 0 and one item is located at 1. Note that the facility locations are different. Then any valid allocation cannot be fair, because it must assign the items at 0 to one facility, and the remaining item to the other. This is unacceptably unbalanced. Therefore, we only consider the case with pairwise distinct locations of items.

As a preliminary result, we present a key proposition that is important for finding ϵ -Prop and ϵ -EF valid allocations in Sections 3 and 4.

Proposition 2.4. *Given a contiguous allocation $\mathbf{A} = (A_1, \dots, A_m)$, we can have a valid allocation $\mathbf{A}' = (A'_1, \dots, A'_m)$ which obtains at least half utility for each facility from \mathbf{A} , that is, $u_i(A'_i) \geq \frac{1}{2} \cdot u_i(A_i)$ for every $F_i \in \mathcal{F}$.*

Proof. Let $\mathbf{A} = (A_1, \dots, A_m)$ be a contiguous allocation. Let a_i and b_i be the leftmost and rightmost items allocated to facility $F_i \in \mathcal{F}$. Denote by $y_i = \frac{a_i + b_i}{2}$ the midpoint of F_i 's bundle. Now we construct a location profile $\mathbf{x} = (x_1, \dots, x_m)$ of facilities. In view of each facility F_i , if the total utility for the items located in interval $[a_i, y_i]$ is greater than that for the items located in $[y_i, b_i]$, then locate facility F_i at $x_i = a_i$, otherwise $x_i = b_i$. Based on the facilities' location profile $\mathbf{x} = (x_1, \dots, x_m)$, we obtain an allocation $\mathbf{A}' = (A'_1, \dots, A'_m)$ by assigning each item to the closest facility, breaking ties arbitrarily.

By definition, allocation \mathbf{A}' is contiguous and valid. By the above construction of location profile \mathbf{x} and the closest assignment, if $x_i = a_i$ (resp. $x_i = b_i$), then all items in the interval $[a_i, y_i]$ (resp. $[y_i, b_i]$) are assigned to F_i . Therefore, we have $u_i(A'_i) \geq \frac{1}{2} \cdot u_i(A_i)$, establishing the theorem. \square

To end this section, we show that, given a contiguous allocation $\mathbf{A} = (A_1, \dots, A_m)$, there is an efficient algorithm that determines whether it is valid. This enables us to focus on valid allocations, as the corresponding location profile of facilities can be computed efficiently. We assume each bundle A_i is non-empty here, otherwise we can remove facility F_i from the allocation, and do not locate it. Let a_i and b_i be the left and right endpoints of A_i . (Recall that items have distinct locations.) Renaming if necessary, assume $b_{i-1} < a_i \leq b_i < a_{i+1}$, for $i = 2, \dots, m - 1$. Let x_i be a variable indicating the location of facility F_i . We need to check the existence of a facilities' location profile (x_1, \dots, x_m) so that the locations are pairwise distinct and items are assigned to their closest facilities. We can do that by solving the following program, whose feasible solution corresponds to such a location profile and whose feasible region is non-empty if and only if \mathbf{A} is valid.

$$\begin{aligned} & \max && 0 \\ \text{s.t.} & && |x_i - a_i| \leq |a_i - x_{i-1}| && \text{for } i = 2, \dots, m \\ & && |b_i - x_i| \leq |x_{i+1} - b_i| && \text{for } i = 1, \dots, m - 1 \\ & && 0 \leq x_i \leq 1. && \text{for } i = 1, \dots, m \end{aligned} \quad (1)$$

The first $2m - 2$ constraints characterize closest assignment. Through simple manipulation of the absolute value expression, this problem can be solved via linear programming.

3 Proportionality

In this section, we consider Prop allocations. Inspired by Theorem 3.1 of (Bouveret et al. 2017), which states that determining whether an FDC instance admits a Prop allocation is NP-complete, we adapt their reduction by adding distance terms and locating facilities to obtain the NP-hardness result in Theorem 3.1. Then, we present possibility and impossibility results for the existence of ϵ -Prop valid allocations.

Theorem 3.1. *The problem of determining the existence of a proportional valid allocation is NP-complete.*

Proof. We reduce from EXACT-3-COVER (X3C), which is an NP-complete problem (Garey and Johnson 1979). An instance of X3C is given by $I = (X, \mathcal{T})$, where $X = \{x_1, \dots, x_{3s}\}$ is a set of elements, and $\mathcal{T} = \{T_1, \dots, T_r\}$ is a family of 3-element subset of X . The answer is “yes” if and only if X can be exactly covered by s sets from \mathcal{T} , i.e., each element in X is covered by exactly one of the s sets. This problem remains NP-complete if the frequency $f_x = |\{T \in \mathcal{T} : x \in T\}|$ of each $x \in X$ is at most 3.

Consider an instance $I = (X, \mathcal{T})$ of X3C, where $f_x \leq 3$ for each $x \in X$, and the elements of T are denoted by x_T^1, x_T^2, x_T^3 for each $T \in \mathcal{T}$. We construct an instance of our problem as follows. There are three items v_T^1, v_T^2, v_T^3 for each set $T \in \mathcal{T}$, a set of s items $B = \{b_1, \dots, b_s\}$ and a dummy item w . Let $\epsilon > 0$ be a sufficiently small number. The order of these $n = 3r + s + 1$ items in the line segment $[0, 1]$ is

$$v_{T_1}^1 < v_{T_1}^2 < v_{T_1}^3 < v_{T_2}^1 < \dots < v_{T_r}^3 < b_1 < \dots < b_s < w.$$

For each $T_i \in \mathcal{T}$, the lengths of both intervals $(v_{T_i}^1, v_{T_i}^2)$ and $(v_{T_i}^2, v_{T_i}^3)$ are ϵ . For $i = 1, \dots, r-1$, the lengths of both intervals $(v_{T_i}^3, v_{T_{i+1}}^1)$ and $(v_{T_r}^3, b_1)$ are 3ϵ . For $j = 1, \dots, s-1$, the lengths of intervals (b_j, b_{j+1}) and (b_s, w) are ϵ . Define a T -set to be $V_T = \{v_T^1, v_T^2, v_T^3\}$ for each $T \in \mathcal{T}$.

There are a total of $m = 3s + r + 1$ facilities: one facility F_T for each $T \in \mathcal{T}$, one facility F_x for each $x \in X$ and one dummy facility F_d . The values are defined as:

$$u_T(v) = \begin{cases} 1/(3m) & \text{if } v \in V_T \\ 1/m & \text{if } v \in B \\ (m-s-1)/m & \text{if } v = w \\ 0 & \text{otherwise} \end{cases}$$

$$u_x(v) = \begin{cases} 1/m & \text{if } v \in V_T \text{ and } x \in T \\ 1-3f_x/m & \text{if } v = w \\ 0 & \text{otherwise} \end{cases}$$

$$u_d(v) = \begin{cases} 1 & \text{if } v = w \\ 0 & \text{otherwise} \end{cases}$$

Then each facility has a utility of 1 over the set of all items, and in any Prop allocation he should have a utility of at least $1/m$. It is easy to see that, an allocation is Prop, if and only if facility F_d receives the dummy item w , each facility F_x receives an item in V_T such that $x \in T$, and each facility F_T receives the set V_T or an item from B .

Suppose that there is a valid Prop allocation. As $|B| = s$, the number of T -facilities who is assigned to a T -set must

be $r - s$. So the number of T -sets available for x -facilities is s , which constitutes a cover for X .

Suppose that I admits an exact cover $\mathcal{T}' \subseteq \mathcal{T}$ of size s . Let μ be a perfect matching between \mathcal{T}' and B . Define a location profile of facilities and the allocation as follows:

- for each $T \in \mathcal{T}'$, facility F_T is located at the position of item $\mu(T) \in B$, and receives item $\mu(T)$;
- for each $T \notin \mathcal{T}'$, facility F_T is located at the position of item v_T^2 , and receives the T -set V_T ;
- each facility F_x is located at the position of item v_T^k such that $x = x_T^k$ and $T \in \mathcal{T}'$, and receives item v_T^k ;
- facility F_d is located at the position of item w , and receives item w .

It is easy to verify that each facility receives a contiguous piece of value at least $1/m$, and each item is assigned to the closest facility. Thus, the allocation is Prop and valid. \square

ϵ -Proportionality

Suksompong (2019) shows that there always exists a contiguous allocation \mathbf{A} such that $u_i(A_i) \geq \frac{1}{m} \cdot u_i(N) - \frac{m-1}{m} \cdot u_{i,max}$. By Proposition 2.4, we can obtain a valid allocation with a loss of at most half utility for each facility, by locating each facility in the left (or right) endpoint of his bundle in \mathbf{A} if he prefers the left (or right) half of his bundle, and then allocating the items subject to the closest assignment.

Theorem 3.2. *Given any instance, there exists a valid allocation (N_1, \dots, N_m) such that for every facility F_i ,*

$$u_i(N_i) \geq \frac{1}{m} \cdot u_i(N) - \frac{n+m-1}{2m} \cdot u_{i,max}.$$

In particular, there exists a valid $\frac{n+m-1}{2m} \cdot u_{max}$ -proportional allocation.

Proof. By Theorem 1 of (Suksompong 2019), there is a contiguous $\frac{m-1}{m} \cdot u_{max}$ -proportional allocation $\mathbf{A} = (A_1, \dots, A_m)$ such that $u_i(A_i) \geq \frac{1}{m} \cdot u_i(N) - \frac{m-1}{m} \cdot u_{i,max}$. By Proposition 2.4, it can induce a valid allocation $\mathbf{A}' = (A'_1, \dots, A'_m)$ such that, for every facility $F_i \in \mathcal{F}$,

$$\begin{aligned} u_i(A'_i) &\geq \frac{u_i(A_i)}{2} \geq \frac{u_i(N)}{2m} - \frac{(m-1)u_{i,max}}{2m} \\ &= \frac{u_i(N)}{m} - \left(\frac{u_i(N)}{2m} + \frac{m-1}{2m} \cdot u_{i,max} \right) \\ &\geq \frac{u_i(N)}{m} - \left(\frac{n \cdot u_{i,max}}{2m} + \frac{m-1}{2m} \cdot u_{i,max} \right) \\ &= \frac{u_i(N)}{m} - \frac{m+n-1}{2m} \cdot u_{i,max}. \end{aligned}$$

\square

Next, we give a non-existence result for ϵ -Prop valid allocations.

Theorem 3.3. *The existence of a valid $(\frac{n}{12} \cdot u_{max} - \delta)$ -proportional allocation is not guaranteed for any $\delta > 0$, even if there are $m = 3$ facilities.*

Proof. Suppose that there are $n = 2k$ ($k > 1$) items with unit value of 1 (implying $u_{max} = 1$) to be assigned to $m = 3$ facilities. The leftmost k items are located in $[0, 0.1]$, and the rightmost k items are located in $[0.9, 1]$. Then a $(\frac{n}{12} \cdot u_{max} - \delta)$ -proportional allocation guarantees that each facility receives a utility at least $\frac{n}{3} - (\frac{n}{12} - \delta) = \frac{k}{2} + \delta$.

If such an allocation is valid, it admits a location profile of facilities so that each item is allocated to his closest facility. Renaming if necessary, assume F_1 is the leftmost facility and F_3 is the rightmost one. Assume w.l.o.g. that F_1 and F_2 are located in $[0, 0.5]$. Then they cannot receive the items located in $[0.9, 1]$, because facility F_3 is always closer to them. It indicates that F_1 and F_2 can only be allocated the leftmost k items, and one of them has a utility at most $\frac{k}{2}$, giving a contradiction. \square

4 Envy-Freeness

In this section, we consider EF valid allocations. Using a similar reduction as in Theorem 3.1, we have the following hardness result on EF. Then we present possibility and impossibility results for the existence of ϵ -EF valid allocations.

Theorem 4.1. *The problem of determining the existence of an envy-free valid allocation is NP-complete.*

ϵ -Envy-Freeness

For $m = 2$ facilities, one can find a contiguous u_{max} -EF allocation (Suksompong 2019). It follows by Proposition 2.4 that a valid u_{max} -EF allocation also exists.

Theorem 4.2. *Given any instance with two facilities, there exists a valid allocation such that facility i has envy at most $u_{i,max}$ towards the other. In particular, there exists a valid u_{max} -envy-free allocation.*

Proof. Arranging the items in an order from left to right, we add one item to a block (initiated as an empty set) at each time, until some facility i (say F_1) values this block at least $u_i(N)/2 - u_{i,max}/2$. Then we allocate this block (denoted by A_1) to F_1 , and the leftover (denoted by A_2) to F_2 . Clearly facility F_1 values F_2 's block A_2 at most $u_1(N)/2 + u_{1,max}/2$. It implies $u_1(A_1) \geq u_1(A_2) - u_{1,max}$, and F_1 has envy at most $u_{1,max}$ towards F_2 . Similarly, F_2 values F_1 's block A_1 less than $u_2(N)/2 - u_{2,max}/2 + u_{2,max} = u_2(N)/2 + u_{2,max}/2$, because the last item added in A_1 has a value at most $u_{2,max}$ to F_2 . Then he values his own block A_2 more than $u_2(N)/2 - u_{2,max}/2$, which implies $u_2(A_2) > u_2(A_1) - u_{2,max}$, and F_2 has envy at most $u_{2,max}$ towards F_1 . \square

For general number of facilities, Suksompong (2019) shows that there exists a contiguous allocation such that facility F_i has envy less than $2u_{i,max}$ towards any other. We can also modify it to construct a valid allocation, incurring a loss of the utility.

Theorem 4.3. *Given any instance, there exists a valid $(\frac{3}{5}n + \frac{8}{5})u_{max}$ -envy-free allocation.*

Proof. Suppose that $\mathbf{A} = (A_1, \dots, A_m)$ is a contiguous allocation given in (Suksompong 2019). We construct a

valid allocation $\mathbf{A}' = (A'_1, \dots, A'_m)$ as in Proposition 2.4, where $u_i(A'_i) \geq u_i(A_i)/2$ holds for every facility $F_i \in \mathcal{F}$. Note that \mathbf{A} is $2u_{i,max}$ -envy-free (Suksompong 2019). So the inequality $u_i(A_i) \geq u_i(A_j) - 2u_{i,max}$ holds for any $j \neq i$. Let A_k be the bundle which satisfies $u_i(A_k) = \max_{A_j \in \mathbf{A}} u_i(A_j)$. By the construction rule of allocation \mathbf{A}' , the bundle A'_j is a subset of the union of A_j and A_j 's one neighbor bundle in \mathbf{A} , which implies that $u_i(A'_j) \leq u_i(A_k) + u_i(A_j)$. We obtain that for any $j \neq i$,

$$\begin{aligned} u_i(A'_i) &\geq \frac{1}{2}u_i(A_i) \geq \frac{1}{4}(u_i(A_k) + u_i(A_j) - 4u_{i,max}) \\ &\geq \frac{1}{4}u_i(A'_j) - u_{max}. \end{aligned}$$

Since $u_i(A'_j) + u_i(A'_i) \leq nu_{max}$, it gives that

$$\begin{aligned} u_i(A'_i) &\geq \frac{1}{4}u_i(A'_j) - u_{max} \\ &= \left(\frac{5}{8} - \frac{3}{8}\right)u_i(A'_j) - u_{max} \\ &\geq \frac{5}{8}u_i(A'_j) - \frac{3}{8}nu_{max} + \frac{3}{8}u_i(A'_i) - u_{max}. \end{aligned}$$

It immediately follows that $u_i(A'_i) \geq u_i(A'_j) - (\frac{3}{5}n + \frac{8}{5})u_{max}$, establishing the proof. \square

Using the example constructed in the proof of Theorem 3.3, we have the following lower bound.

Theorem 4.4. *For any $\delta > 0$, the existence of a valid $(\frac{n}{4} \cdot u_{max} - \delta)$ -envy-free allocation is not guaranteed, even if there are $m = 3$ facilities.*

The bounds in Theorems 4.3 and 4.4 are asymptotically tight when $m = 3$.

5 Price of Fairness

The price of fairness measures the efficiency loss of allocations due to fairness constraints. In this section, we study the *best* and *worst* prices, which compare the solution maximizing the social welfare and the best/worst fair solution. We assume the normalization $u_i(N) = 1$ for all $i = 1, \dots, m$ when considering the price of fairness notions.

Given an instance (along with a set of allocations considered), its *best utilitarian price of proportionality* is defined as the ratio of the utilitarian welfare of the optimal valid allocation over that of the best Prop valid allocation. Formally, given instance I , if it admits a valid Prop allocation, its best utilitarian price of proportionality is

$$P_{pr}^u(I) := \frac{\sum_{F_j \in \mathcal{F}} u_j(\mathbf{A}^*)}{\sum_{F_j \in \mathcal{F}} u_j(\mathbf{A}_{pr}^*)},$$

where \mathbf{A}^* is an optimal valid allocation, and \mathbf{A}_{pr}^* is an optimal Prop valid allocation. If a Prop valid allocation does not exist, then the price is not defined for that instance.

The egalitarian price is defined analogously. Given instance I , if it admits a valid Prop allocation, its best egalitarian price of proportionality is

$$P_{pr}^e(I) := \frac{\min_{F_j \in \mathcal{F}} u_j(\mathbf{A}^*)}{\min_{F_j \in \mathcal{F}} u_j(\mathbf{A}_{pr}^*)}.$$

The (overall) best utilitarian (resp., egalitarian) price of proportionality is then the supremum over all instances: $P_{pr}^u = \sup_I P_{pr}^u(I)$ (resp., $P_{pr}^e = \sup_I P_{pr}^e(I)$). The price of envy-freeness P_{ef}^u and P_{ef}^e are defined analogously.

Suksompong (2019) studies the best price of fairness for the FDC problem. His results are applicable to our problem, because we can define suitable distances of items in the constructed instances such that each contiguous allocation considered is valid.

Theorem 5.1. *For valid allocations of indivisible items, $P_{pr}^u = m - 1 + \frac{1}{m}$, and $P_{pr}^e = 1$.*

Theorem 5.2. *For valid allocations of indivisible items, $P_{ef}^u \in (\frac{\lfloor \sqrt{m} \rfloor}{2}, \frac{\sqrt{m}}{2} + 1 - o(1))$, and $P_{ef}^e = \frac{m}{2}$.*

Proof sketch. The upper bound of P_{ef}^u can be derived by using the proof of Theorem 2.1 in (Aumann and Dombb 2015), which establishes the same upper bound for the connected cake cutting problem, by linear programming. The lower bound of P_{ef}^u can be derived as in the proof of Theorem 8 in (Suksompong 2019), which considers best price for the FDC problem. The bounds of P_{ef}^e comes from Theorem 11 in (Suksompong 2019). \square

While the best price of fairness is well-studied in fair division problems, we are the first to study the *worst* price of fairness: given instance I ,

$$\bar{P}_{pr}^u(I) = \sup_{\mathbf{A} \in \mathcal{A}_{pr}} \frac{\sum_{F_j \in \mathcal{F}} u_j(\mathbf{A}^*)}{\sum_{F_j \in \mathcal{F}} u_j(\mathbf{A})}, \quad \bar{P}_{pr}^e(I) = \sup_{\mathbf{A} \in \mathcal{A}_{pr}} \frac{\min_{F_j \in \mathcal{F}} u_j(\mathbf{A}^*)}{\min_{F_j \in \mathcal{F}} u_j(\mathbf{A})},$$

where \mathcal{A}_{pr} is the set of Prop valid allocations. Similarly, the overall price of proportionality is $\bar{P}_{pr}^u = \sup_I \bar{P}_{pr}^u(I)$ and $\bar{P}_{pr}^e = \sup_I \bar{P}_{pr}^e(I)$. The worst price of envy-freeness \bar{P}_{ef}^u and \bar{P}_{ef}^e are defined analogously. We show that the worst price has a significant difference with the best price only for the egalitarian price of proportionality.

Theorem 5.3. *For valid allocations of indivisible items, $m - 1 + \frac{1}{m} \leq \bar{P}_{pr}^u \leq m$.*

Proof. Consider an arbitrary instance. In any Prop valid allocation, since the utility of each facility is at least $\frac{1}{m}$, the utilitarian welfare is at least 1. In an optimal valid allocation, the utility of each facility is no more than 1, so the utilitarian welfare is at most m . Thus we have that $\bar{P}_{pr}^u \leq m$. Since $\bar{P}_{pr}^u \geq P_{pr}^u = m - 1 + \frac{1}{m}$, we obtain the lower bound. \square

Theorem 5.4. *For valid allocations of indivisible items, $\bar{P}_{pr}^e = m$.*

Proof. Upper bound. Consider an arbitrary instance. A Prop valid allocation ensures that every facility has utility at least $\frac{1}{m}$, while the optimal egalitarian welfare is no more than 1. Thus the worst egalitarian price is no more than m .

Lower bound. Suppose that there are m facilities and $n = m^2$ items. The value of facility F_i is $u_i(j) = \frac{1}{m}$ for $j = (i-1)m + 1, \dots, im$ and $u_i(j) = 0$ otherwise. For $i = 1, 2, \dots, m$, items $(i-1)m + 1$ are located at $\frac{i-1}{m} + \frac{1}{2m}$, and items $(i-1)m + 2, (i-1)m + 3, \dots, im$ are located in $(\frac{i-1}{m} + \frac{1}{2m}, \frac{i}{m})$. Consider a valid allocation \mathbf{A} where facility

F_i is located at $\frac{i-1}{m} + \frac{1}{2m}$. The items $(i-1)m + 1, \dots, im$ are assigned to facility F_i which implies that F_i has a utility 1, and the egalitarian welfare is 1.

Consider another valid allocation \mathbf{A}' where facility F_i is located at $\frac{i-1}{m}$, and let F_1 receive item 1, F_i receive items $(i-2)m + 2, (i-2)m + 3, \dots, (i-1)m, (i-1)m + 1$ for $i = 2, \dots, m-1$, and F_m receive items $(m-2)m + 2, (m-2)m + 3, \dots, (m-1)m, (m-1)m + 1, (m-1)m + 2, \dots, m$. Since each facility has a utility at least $\frac{1}{m}$, this allocation is Prop. As the utility of facility F_1 is $\frac{1}{m}$, the egalitarian welfare is $\frac{1}{m}$. Thus we have that $\bar{P}_{pr}^e \geq \frac{1}{1/m} = m$. \square

Theorem 5.5. *For valid allocations of indivisible items, $\frac{\lfloor \sqrt{m} \rfloor}{2} < \bar{P}_{ef}^u \leq \frac{\sqrt{m}}{2} + 1 - o(1)$, and $\frac{m}{2} \leq \bar{P}_{ef}^e \leq m$.*

6 Pareto-Optimality

In this section, we study the Pareto-optimality of valid allocations. Given an allocation A , an allocation A' is a *Pareto-improvement* of A if $u_i(A'_i) \geq u_i(A_i)$ for all $F_i \in \mathcal{F}$ and $u_j(A'_j) > u_j(A_j)$ for some $F_j \in \mathcal{F}$. A valid allocation is *Pareto-optimal* if no valid allocation is its Pareto-improvement. Denote set $\{1, \dots, k\}$ by $[k]$. We assume the normalization $u_i(N) = 1$ for $i \in [m]$.

Theorem 6.1. *Given any instance, a Pareto-optimal valid allocation can be found in polynomial time.*

Proof. Let $\min(V)$ be the leftmost item in bundle V . Let $S_i \subseteq N$ be the minimum contiguous bundle containing all items to which F_i has positive utility, that is, F_i benefits from the leftmost and rightmost items in S_i , and $u_i(N \setminus S_i) = 0$. We show that Algorithm 1 finds a Pareto-optimal valid allocation. We consider unallocated items from left to right, and give a facility (say F_i), who has positive utility to the current item, the intersection between S_i and unallocated items, if this allocation is valid¹. This process ends when all items are assigned or the allocation is no longer valid. If there are remaining items unallocated, we assign them to the last facility who receives a non-empty bundle. The returned allocation is valid, because the process always maintains the validity when adding a new bundle to the allocation. The time complexity of Algorithm 1 mainly comes from checking the validity of an allocation in Line 10, which can be done by solving LP (1), and thus is polynomial.

It remains to show the Pareto-optimality of the returned allocation $\mathbf{A} = (A_1, \dots, A_m)$. Assume bundles A_1, \dots, A_k are non-empty, and A_{k+1}, \dots, A_m are empty. Suppose there is a valid allocation $\mathbf{A}' = (A'_1, \dots, A'_m)$ which is a Pareto-improvement of \mathbf{A} . Note that items in $N \setminus A_1$ have 0 value to F_1 , and $u_1(A'_1) = u_1(A_1) = u_1(N)$. Because A_1 is the minimum contiguous bundle such that facility F_1 receives the full utility, it must be $A_1 \subseteq A'_1$. Since A_2 is the minimum contiguous bundle such that F_2 receives the maximum possible utility $u_2(N \setminus A_1) = u_2(A_2)$, we have $A_1 = A'_1$ (otherwise the leftmost item in A_2 belongs to A'_1 , and $u_2(A'_2) < u_2(A_2)$). Similarly, it must be $A_2 \subseteq A'_2$ and

¹If partial allocation $(A_1, \dots, A_{i-1}, \{j\})$ is valid for facilities F_1, \dots, F_i , then $(A_1, \dots, A_{i-1}, A_i = S_i \setminus \cup_{k=1}^{i-1} A_k)$ is also valid.

Algorithm 1 Pareto-optimal valid allocation

Require: The number m of facilities, and location profile \mathbf{x} of items N satisfying $x_1 \leq \dots \leq x_n$.

Ensure: A valid allocation of items.

- 1: Initialize $A_i = \emptyset$ for $i \in [m]$.
 - 2: Let $S_i \subseteq N$ be the minimum contiguous bundle containing all items to which F_i has positive utility.
 - 3: Let $P_j = \{F_i \in \mathcal{F} \mid u_i(j) > 0\}$ be the set of facilities that have positive utility to item $j \in N$. $P_j \neq \emptyset$.
 - 4: Assume $F_1 \in P_1$ (renaming if necessary). $A_1 \leftarrow S_1$.
 - 5: **for** $i = 2, \dots, m$ **do**
 - 6: **if** $N = \bigcup_{k=1}^{i-1} A_k$ **then**
 - 7: **break**
 - 8: **end if**
 - 9: Let $j = \min(N \setminus \bigcup_{k=1}^{i-1} A_k)$ be the leftmost one among the unallocated items.
 - 10: Assume $F_i \in P_j$ (renaming if necessary). Check if $(A_1, \dots, A_{i-1}, \{j\})$ is valid for facilities F_1, \dots, F_i .
 - 11: **if not valid then**
 - 12: $A_{i-1} \leftarrow A_{i-1} \cup N \setminus \bigcup_{k=1}^{i-1} A_k$.
 - 13: **return** allocation $\mathbf{A} = (A_1, \dots, A_m)$.
 - 14: **else**
 - 15: $A_i \leftarrow S_i \setminus \bigcup_{k=1}^{i-1} A_k$.
 - 16: **end if**
 - 17: **end for**
 - 18: **return** allocation $\mathbf{A} = (A_1, \dots, A_m)$.
-

$u_2(A'_2) = u_2(A_2)$ by $A_1 = A'_1$. Since A_3 is the minimum contiguous bundle such that F_3 receives $u_3(N \setminus \bigcup_{i=1,2} A_i) = u_3(A_3)$, we have $A_2 = A'_2$. Repeating this analysis, we have $A_i = A'_i$ for $i \in [k-1]$, and $A_k \subseteq A'_k$.

If $k = m$, all facilities receive a non-empty bundle in \mathbf{A} , and it must be $\mathbf{A} = \mathbf{A}'$. So we consider the case when $k < m$. Suppose F_l ($l > k$) improves in \mathbf{A}' , and A'_l is non-empty. Let $j = \min(N \setminus \bigcup_{k=1}^{i-1} A_k)$, and $F_i \in P_j$. Note from Line 10 of Algorithm 1 that the partial allocation $(A_1, \dots, A_k, \{j\})$ is not valid for facilities F_1, \dots, F_k, F_i . The only possible reason is that any potential location for F_i that is closest for item j would attract some item in A_k . Then it is easy to see that the partial allocation $(A'_1, \dots, A'_k, A'_l)$ is not valid for facilities F_1, \dots, F_k, F_l , because any potential location for F_l that is closest for items in A'_l would attract some item in A'_k . Thus \mathbf{A}' is not valid, a contradiction. \square

Once a valid allocation is given, one can find the corresponding location profile of facilities easily by solving LP (1). Our solution is not (approximately) fair, as it may assign nothing to a facility. We also remark that, while Igarashi and Peters (2019) show that a Pareto-optimal contiguous allocation on a path can be found efficiently, their algorithm is not applicable here due to the closest assignment constraint.

For the utilitarian welfare, every optimal allocation must be Pareto-optimal. For the egalitarian welfare, there exists an optimal valid allocation (which lexicographically maximizes the facilities' utility, from the smallest to the largest) is Pareto-optimal. Thus the (best) price of Pareto-optimality equals 1 for both types of social welfare. However, it is dif-

ficult to calculate a valid allocation maximizing social welfare, and the solution found by Algorithm 1 may incur a large loss of social welfare.

Theorem 6.2. *The utilitarian welfare induced by Algorithm 1 is at least $\frac{1}{m}$ fraction of the optimal utilitarian welfare. For the worst instance, the ratio of the utilitarian (or egalitarian) welfare of the optimal valid solution over that of the outcome of Algorithm 1 approaches m (or infinity).*

Proof. In an allocation given by Algorithm 1, the utility of the first facility equals 1, implying that the utilitarian welfare is no less than 1. Note that the utilitarian welfare is no more than m in the optimal allocation. Thus, the first claim holds.

Consider m facilities and $n = m + 1$ items. The utilities of facilities are defined as follows: $u_1(1) = 1 - \epsilon$, $u_1(2) = \dots = u_1(m) = 0$, $u_1(m+1) = \epsilon$; $u_j(j) = 1$, $u_j(i) = 0$ for $j = 2, \dots, m$ and $i \neq j$. In the optimal allocation, facility F_i serves item i for $i = 1, \dots, m-1$, and F_m serves two items m and $m+1$. The utilitarian welfare equals $m - \epsilon$, and the egalitarian welfare equals $1 - \epsilon$. But in the Pareto-optimal allocation given by Algorithm 1, facility F_1 obtains all items, implying that the utilitarian welfare equals 1 and the egalitarian welfare equals 0, completing the proof. \square

7 Conclusions

This paper is devoted to the problem of fairly locating the facilities and assigning the agents/items to the facilities. We consider the fairness concepts of proportionality and envy-freeness, and their additive relaxations. Compared with the results for the well-studied fair division problem with contiguous bundles, an approximate fair allocation in our problem is much harder to obtain and to guarantee the existence, while the price of fairness is almost the same. A Pareto-optimal valid allocation can be found efficiently, though it does not satisfy the fairness criteria.

This problem contributes to the class of facility location problems by first considering the fairness of facilities, while previous works only consider the fairness of agents (items). On the other hand, it adds a new dimension to the typical fair division problems, that all items/agents must be assigned to their closest individuals/facilities. It takes into account the preference of items, and the allocations are *non-imposing* in the sense that the items can freely select the facilities.

There are a lot of future directions for this problem. First, we only consider the additive approximate fairness. What about the multiplicative approximate fairness, or envy-freeness up to one good (EF1) (Bilò et al. 2018)? Second, other fairness concepts (e.g., maximin share (Garg and Taki 2020)) and other social welfare (e.g., Nash welfare (McGlaughlin and Garg 2020)), along with their prices, can be studied. Further, when the items and facilities are allowed to locate on a more general space such as a tree or a cycle, there are more possibilities to explore.

Acknowledgements

Minming Li is also from City University of Hong Kong Shenzhen Research Institute, Shenzhen, P. R. China. The work was partially sponsored by Project 11771365 supported by NSFC.

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