Cached Iterative Weakening for Optimal Multi-Way Number Partitioning

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Abstract

The NP-hard number-partitioning problem is to separate a multiset $S$ of $n$ positive integers into $k$ subsets, such that the largest sum of the integers assigned to any subset is minimized. The classic application is scheduling a set of $n$ jobs with different run times onto $k$ identical machines such that the makespan, the time to complete the schedule, is minimized. We present a new algorithm, cached iterative weakening (CIW), for solving this problem optimally. It incorporates three ideas distinct from the previous state of the art: it explores the search space using iterative weakening instead of branch and bound; generates feasible subsets once and caches them instead of at each node of the search tree; and explores subsets in cardinality order instead of an arbitrary order. The previous state of the art is represented by three different algorithms depending on the values of $n$ and $k$. We provide one algorithm which outperforms all previous algorithms for $k \geq 4$. Our run times are up to two orders of magnitude faster.

1 Introduction and Overview

The NP-hard number-partitioning problem is to separate a multiset $S$ of $n$ positive integers into $k$ mutually exclusive and collectively exhaustive subsets $(S_1, ..., S_k)$ such that the largest subset sum is minimized (Garey and Johnson 1979). For example, consider $S = \{8, 6, 5, 3, 2, 1\}$ and $k=3$. The optimal partition is $(\{8, 1\}, \{5, 2, 2\}, \{6, 3\})$ with cost 9. This is a perfect partition since we can’t do better than dividing the total sum of 27 into three subsets with sums 9 each. The classic application is scheduling a set of $n$ jobs with different run times onto $k$ identical machines such that the makespan, the time to complete the schedule, is minimized.

There is a large literature on solving this problem. The early work (Graham 1969; Coffman Jr, Garey, and Johnson 1978; Karmarkar and Karp 1982; Franc¸a et al. 1994; Frangioni, Necciari, and Scutella 2004; Alvim and Ribeiro 2004) focused on approximation algorithms. (Korf 1998) presented an optimal algorithm for the 2-way partition problem. Since then, there has been work on number partitioning in both the artificial intelligence (Korf 2009; 2011; Moffitt 2013; Schreiber and Korf 2013) and operations research (Dell’Amico and Martello 1995; Mokotoff 2004; Dell’Amico et al. 2008) communities.
\langle S_2, ..., S_k \rangle$. The algorithms look for lowest-cost complete partitions using depth-first branch and bound. The upper bound \((ub)\) is the cost of the lowest-cost complete partition found so far. Initially, \(ub\) is set to the KK partition cost. As complete partitions with lower cost are found, \(ub\) is set to the new cost. For each partial partition \((S_1, ..., S_d)\), SNP and MOF use \(ub\), the remaining integers \(S^R\) and the depth \(d\) to compute a lower bound \(lb = \sum(S^R) - (k-d)(ub - 1)\). If \(lb \geq ub\), the search returns \(ub\).

Otherwise, SNP and MOF generate all subsets \(S_d\) with sums in the range \([lb, ub-1]\) one at a time from \(S^R\) to create the partial partitions \(P_d = \langle S_1, ..., S_{d-1}, S_d \rangle\) at depth \(d\). For each partial partition \(P_d\), the algorithms recursively compute \(S^R\), the remaining integers, \(k - d\) ways. If the cost of any of these recursive partitions is less than \(\maxsum(P_d)\), the recursive search returns immediately. Since the cost of a partial partition is the max over its subset sums, \(\maxsum(P_d)\) is the lowest possible cost for a complete partition that includes \(P_d\). Otherwise, the algorithm returns the lesser of \(ub\) and the lowest-cost recursive partitioning.

Avoiding Duplicates The order of subsets in a partition is not important. For example, the partition \(\langle \{8, 1\}, \{5, 2, 2\}, \{6, 3\} \rangle\) is equivalent to \(\langle \{5, 2, 2\}, \{8, 1\}, \{6, 3\} \rangle\). In order to avoid such duplicates, the largest remaining integer is always included in the next subset of the partition.

2.3 Generating Subsets with sums in a Given Range

The first step of each partitioning is to generate all subsets from the remaining integers \(S^R\) whose sums fall in the range \([lb, ub-1]\). The core difference between SNP and MOF is the method for generating these subsets.

Inclusion-Exclusion (IE) MOF uses the inclusion-exclusion algorithm (IE) to generate subsets (Korf 2009). It searches a binary tree with each depth corresponding to an integer of \(S\). Each node includes the integer in the subset on the left branch and excludes it on the right. The leaves correspond to complete subsets. IE sorts \(S\) then considers the integers in decreasing order, searching the tree from left to right always including integers before excluding them. It prunes the tree if the sum of the integers included at a node exceeds \(ub - 1\). Similarly, it prunes if the sum of the included integers at a node plus all non-assigned integers below the node is less than \(lb\). In the worst case, this runs in time \(O(2^n)\) and space \(O(n)\). An IE tree is searched at each node of the partition search tree.

Extended Schroeppele and Shamir (ESS) The (Horowitz and Sahni 1974) algorithm (HS) for the subset sum problem (Is there a subset of \(S\) whose sum is equal to a target value?) uses memory to improve upon the run time of IE. HS runs in time \(O\left(\frac{n}{2} \cdot 2^{n/2}\right)\) and space \(O(2^n)\). This is much faster than IE for large \(n\) but its memory requirements limit it to about \(n \approx 50\) integers. It is not as fast for small \(n\) because of initial overhead. The (Schroeppele and Shamir 1981) algorithm (SS) is based on HS, but uses only \(O(2^{n/4})\) space, limiting it to about \(n = 100\) integers.

Both \(HS\) and \(SS\) solve the subset sum problem. With a little extra work, they can generate all sets in a range (Korf 2011). SNP generates subsets using the ranged version of \(SS\), called extended Schroeppele and Shamir (ESS).

2.4 Binary-Search Branch-and-Cut-and-Price

Binary-search branch-and-cut-and-price (BSBCP), an operations research algorithm, solves number partitioning by solving a sequence of bin packing problems on \(S\), varying the bin capacity \(C\) (maximum subset size). BSBCP performs a binary search over \([lb, ub]\) searching for the smallest value of \(C\) such that \(S\) can be packed into \(k\) bins. Our BSBCP implementation uses the BCP bin-packing solver from (Belov and Scheithauer 2006). Also see (Coffman Jr, Garey, and Johnson 1978; Schreiber and Korf 2013).

3 Cached Iterative Weakening (CIW)

Our new algorithm, cached iterative weakening (CIW), performs a recursive partitioning like sequential number partitioning (SNP) and the (Mofitt 2013) (MOF) algorithm. However, the methodology is different.

Call \(C^*\) the largest subset sum of an optimal partition for a particular number-partitioning problem. While searching for \(C^*\), both SNP and MOF start with \(ub\) set to the KK partition which is typically much larger than \(C^*\). They then search for better partitions until they find one with cost \(C^*\). At this point, they verify optimality by proving there is no partition with all subsets having sums less than \(C^*\). In contrast, CIW only considers partitions with cost less than or equal to \(C^*\).

When constructing partitions, for each partial partition \(\langle S_1, ..., S_{d-1} \rangle\), SNP and MOF generate the next subsets \(S_d\) using extended Schroeppele and Shamir (ESS) and inclusion-exclusion (IE) respectively. In contrast, CIW generates feasible sets once using ESS and caches them before performing the recursive partitioning.

3.1 Iterative Weakening

CIW begins by calculating \(\text{perfect} = \lfloor \text{sum}(S)/k \rfloor\), a lower bound on partition cost, achieved if the sum of each of the \(k\) subsets of a partition differ by no more than one. In any partition, there must be at least one subset whose sum is at least as large as \(\text{perfect}\).

Whereas SNP and MOF recursively partition \(S\) into \(k\) subsets decreasing \(ub\) until the optimal cost \(C^*\) is found and subsequently verified, CIW starts with \(ub\) set to \(\text{perfect}\) and tries to recursively partition \(S\) into \(k\) sets no greater than \(ub\). It iteratively increases \(ub\) until it finds \(C^*\), the first value for which a partition is possible. This process is called iterative weakening (Provost 1993). In order to verify optimality, any optimal algorithm must consider all partial partitions with costs between \(\text{perfect}\) and \(C^*\). Even after a branch and bound algorithm finds an optimal partition of cost \(C^*\), it still needs to verify its optimality. Iterative weakening only explores partial partitions with costs between \(\text{perfect}\) and \(C^*\).

Suppose we could efficiently generate subsets one by one in sum order starting with \(\text{perfect}\). CIW iteratively chooses each of these subsets as the first subset \(S_1\) of a partial partition. It sets \(ub\) to \(\text{sum}(S_1)\) and \(lb\) to \(\text{sum}(S) - (k - 1)(ub)\).
Then, given that it can efficiently generate all subsets in the range \([lb, ub]\), it determines whether there are \(k - 1\) of these subsets that are mutually exclusive and contain all the integers in \(S^R = S - S_1\). If this is possible, \(ub\) is returned as the optimal partition cost. Otherwise, CIW moves onto the subset with the next larger sum. In this scheme, the cost of a partial partition is always the sum of its first subset \(S_1\).

In the next section, we will discuss how to enable CIW to efficiently examine subsets one by one in sum order starting with \(perfect\). In section 3.3, we will discuss how to efficiently determine if it is possible to partition the remaining integers \(S^R\) into \(k - 1\) subsets with sums in range \([lb, ub]\) at each iteration of iterative weakening.

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### 3.2 Precomputing: Generating Subsets in Sum Order

We are not aware of an efficient algorithm for generating subsets one by one in sum order. Instead, we describe an algorithm for efficiently generating and storing the \(m\) subsets with the smallest sums greater than or equal to \(perfect\).

Call \(max\) the \(m^{th}\) smallest subset sum greater than or equal to perfect. The minimum sum of any subset in a partition of cost \(max\) is \(min = \text{sum}(S) - (k - 1)(max)\). CIW generates all subsets in the range \([min, max]\), which includes \(m\) subsets with sums in the range \([perfect, max]\) and all subsets in the range \([min, perfect - 1]\).

Extended Schroeppel and Shamir (ESS) efficiently generates all subsets in a given range. We wish to generate all subsets with sums in the range \([min, max]\). Unfortunately, we do not know the values of \(min\) and \(max\) before generating the \(m\) subsets with sums greater than or equal to perfect.

Therefore, in order to generate all subsets in the range \([min, max]\), CIW modifies ESS to use a min-heap and a max-heap. It initially sets \(max\) to the KK \(ub\) and \(min\) to the corresponding \(lb\) using ESS to generate sets with sums in this range. It puts each subset found with sum in the range \([min, perfect - 1]\) into the min-heap and those in the range \([perfect, max]\) into the max-heap. This continues until the max-heap contains \(m\) subsets. At this point, CIW resets \(max\) to the sum of the largest subset in the max-heap and recalculates \(min\) as \(\text{sum}(S) - (k - 1)(max)\). It then pops all subsets with sums less than \(min\) from the min-heap.

ESS continues searching for all sets in the new range \([min, max]\). Each time a subset with sum greater than or equal to \(perfect\) but less than \(max\) is found, CIW pops the top subset from the max-heap and pushes this new subset onto the heap. \(max\) is set to the new max sum and \(min\) is updated accordingly, popping all subsets with sum less than \(min\) from the min-heap. When this modified ESS search is complete, the subsets from the min-heap and the max-heap are moved to one array sorted by subset sum.

After this is done, iterative weakening iterates through this array one by one in sum order starting with the subset with smallest sum no less than \(perfect\). If \(m\) iterations are performed without finding an optimal partition, the algorithm is run again to generate the next \(2m\) subsets. If the \(2m\) subsets are exhausted without finding an optimal solution, then \(4m\) subsets are generated, then \(8m\), etc. Thus, \(m\) is a parameter of CIW. We discuss setting \(m\) experimentally in section 4.

Example Consider the example number-partitioning problem with \(S = \{127, 125, 122, 105, 87, 75, 68, 64, 30, 22\}\) and \(k = 4\). Figure 1 shows the array of 19 sets generated by modified ESS with \(m = 6\) in the table at the top left. The final range \([min, max]\) is \([192, 211]\) and \(perfect = 207\). There are 13 sets with sums in the range \([min, perfect - 1]\) shown above the horizontal line and 6 sets with sums in the range \([perfect, max]\) shown below the horizontal line. The 6 subset sums below the horizontal line are the candidate first subsets that CIW iterates over.

The last column of the table is called \(Iter\). This column corresponds to the iteration in which the subset in that row first appears in the range \([lb, ub]\). For example, in the first iteration, \(ub = 207\) and \(lb = 825 - 3 \times 207 = 204\) with two subsets in range. In the second iteration, \(ub = 208\) and \(lb = 825 - 3 \times 208 = 201\) with seven sets in range, namely the rows with \(Iter\) equal to 1 or 2. In the sixth iteration, all 19 sets in the table are in range.
3.3 Recursive Partitioning

At each iteration of iterative weakening, CIW chooses the first subset \( S_1 \) as the next subset with sum at least as large as \( \text{perfect} \) from the stored array of subsets, sets \( wb = \sum(S_1) \) and \( lb = \sum(S) - (k-1)(ub) \). At this point, CIW attempts to recursively partition \( S^R = S - S_1 \) into \( (S_2, \ldots, S_k) \). Since all of the subsets in the range \([lb, ub]\) have already been generated, this is a matter of finding \( k-1 \) of these subsets which are mutually exclusive and contain all the integers of \( S^R \).

A Simple Algorithm Given an array \( A \) of subsets, we present a recursive algorithm for determining if there are \( k \) mutually exclusive subsets containing all the integers of \( S \).

For each first subset \( S_1 \) in \( A \), copy all subsets of \( A \) that do not contain an integer in \( S_1 \) into a new array \( B \). Then, recursively try to select \( k - 1 \) disjoint subsets from \( B \) which contain the remaining integers of \( S - S_1 \). If \( k = 0 \), return true, else if the input array \( A \) is empty, return false.

While this algorithm is correct, it is inefficient, as the entire input array must be scanned for each recursive call. In the next two sections, we present an efficient algorithm which performs the same function.

Cached Inclusion-Exclusion (CIE) Trees After CIW chooses the first subset \( S_1 \) from the precomputed array, it uses cached inclusion-exclusion (CIE) to test if there are \( k - 1 \) mutually exclusive subsets which contain all integers in \( S^R = S - S_1 \). CIE trees store all subsets whose sums are in the range \([lb, ub]\) of the current iterative weakening iteration. They are built incrementally by inserting all subsets in the range \([lb, ub]\) at each iteration of iterative weakening. CIE trees are similar to IE trees (Section 2.3).

With IE trees, all feasible subsets, regardless of cardinality, can be found in one tree. In contrast, there is one CIE tree for each unique cardinality of feasible subset. The distribution of the cardinality of the subsets in range \([lb, ub]\) is not even. The average cardinality of a subset in an optimal solution is \( n/k \). Typically, most subsets in an optimal solution have cardinality close to this average. Yet, there are often many more subsets with higher cardinality than \( n/k \). In section 3.3, we will show how to leverage these cardinalities so CIW never has to examine the higher cardinality subsets. In the example of figure 1, there are separate trees for subsets of cardinality 2, 3 and 4 storing all subsets with sums in the range \([195, 210]\) (every subset in iteration 1 through 4).

IE searches an implicit tree, meaning only the recursive stack of IE is stored in memory. In contrast, the entire CIE trees are explicitly stored in memory before they are searched. In each iterative weakening iteration, all subsets with sums in the range \([lb, ub]\) are represented in the CIE tree of appropriate cardinality. These subsets were already generated in the precomputing step, so this is a matter of iterating over the array of subsets and adding all subsets with sums in range that were not added in previous iterations.

The nodes of each CIE tree correspond to one of the integers in \( S \). The integer is included on the left branch and excluded on the right. In figure 1, solid arrows correspond to inclusion of the integer pointed to while dashed arrows correspond to exclusion. For example, in the cardinality two tree, from the root, following the left solid arrow to 127, then the left solid arrow to 75 (root \( \rightarrow \) 127 \( \rightarrow \) 75) corresponds to the subset \{127, 75\}. Similarly, root \( \rightarrow \) 127 \( \rightarrow \) 75 \( \rightarrow \) 68 corresponds to the subset \{127, 68\}.

### Recursive Partitioning with CIE Trees

After selecting \( S_1 \) and calculating \( lb \) and \( ub \), CIW adds all subsets with sums newly in the range \([lb, ub]\) from the stored array of subsets into the CIE tree of proper cardinality. If CIW finds \( k - 1 \) mutually exclusive subsets containing all the integers of \( S^R = S - S_1 \), then the optimal cost is \( wb = \sum(S_1) \).

Like the standard IE algorithm, CIE searches its trees left to right, including integers before excluding them. However, each node of a CIE tree corresponds to an integer in \( S \) and not all of these integers still remain in \( S^R \). At each node of the CIE tree, an integer can only be included if it is a member of \( S^R \), the integers remaining.

Iterative weakening selects the first subset \( S_1 \). To generate each \( S_2 \), CIE searches its smallest cardinality tree first. Call \( \text{card} \) the cardinality of the tree CIE is searching to generate \( S_1 \) in partial partition \( \langle S_1, \ldots, S_d \rangle \). If CIE finds a subset \( S_d \) of cardinality \( \text{card} \), the recursive search begins searching for subset \( S_{d+1} \) in the \( \text{card} \) CIE tree. If no more subsets are found in the \( \text{card} \) CIE tree, the \( \text{card} + 1 \) CIE tree is searched until no higher cardinality CIE tree exists. CIW prunes if \( k - d \times \text{card} \leq |S^R| \) since there are not enough integers left in \( S^R \) to create \( k - d \) subsets, each with cardinality \( \geq \text{card} \).

### Avoiding Duplicates

Choosing subsets in cardinality order avoids many duplicates. However, if \( S_d \) and \( S_{d+1} \) in partial solution \( P_{d+1} \) have equal cardinality, to remove duplicates, the largest integer in \( S_{d+1} \) must be smaller than in \( S_d \). For example, CIE generates the partition \( \{\{8, 1\}, \{6, 3\}, \{5, 2, 2\}\} \) and not \( \{\{6, 3\}, \{8, 1\}, \{5, 2, 2\}\} \) since the ‘8’ in \( \{8, 1\} \) is larger than the ‘6’ in \( \{6, 3\} \).

### Example

Figure 2 shows the recursive partitioning search tree for iteration 4 of our running example using the CIE trees from figure 1. The root of the tree is the subset \( S_1 = \{105, 75, 30\} \) whose sum 210 is the \( ub \) for iteration 4 of iterative weakening. The search of candidate subsets for \( S_2 \) begins in the cardinality 2 CIE tree, including nodes before excluding them. Starting from the root of the CIE tree, CIW includes 127 but cannot include 75 since it is included in \( S_1 \). It excludes 75 and includes 68 giving us \( S_2 = \{127, 68\} \). We continue to search the cardinality 2 CIE tree for \( S_3 \) but the largest integer must be less than 127 to avoid duplicates, so we exclude 127 and then include 125. We cannot include 75 since it is not in \( S^R \), so we backtrack to exclude...
125 and include 122 and then 87 giving us the 3rd subset $S_3 = \{122, 87\}$. Since there is only $S_4$ left, we can put all remaining integers into $S_4$, but the sum of the remaining integers 125+64+22 = 211 is greater than the $ub$, so we prune. (Note that the set [125, 64, 22] is not in the cardinality 3 CIE tree.) We backtrack to generate the next $S_3$ subset. Continuing where we left off in the cardinality 2 tree when we generated $S_3 = \{122, 87\}$, we backtrack to exclude 87 but since 75 is not in $S^R$, we have exhaustively searched the cardinality 2 CIE tree. We move to the cardinality 3 tree. However, there are five integers left to partition into two subsets and the cardinality of the subsets left must be three or greater. Since $2 \times 3 > 5$, we prune.

We now backtrack to generate the next $S_2$ subset continuing where we left off in the cardinality 2 cached-IE tree when we generated $S_2 = \{127, 68\}$. Since the first child was $\{127, 68\}$, and there are no more subsets containing 127 we backtrack to exclude 127. We then include 125 but 75 is not in $S^R$, so we backtrack and exclude 125. We then include 122 and 87, giving us $S_2 = \{122, 87\}$. We continue to search the cardinality 2 tree for $S_3$ but the largest integer must now be less than 122 to avoid duplicates. We exclude 127 and 125, but there are no more exclusion branches so we move to the cardinality 3 tree. Again, we can prune since $2 \times 3 > 5$ and thus there is no optimal partition of cost 210.

In the next iteration, iterative weakening will set $S_1 = \{125, 64, 22\}$ with $ub = \text{sum}(S_1) = 211$ and add the four gray rows with $Iter = 5$ from the table in figure 1 to the CIE trees. It is possible to partition $S$ into $k = 4$ subsets all with sums less than 211, resulting in the optimal partition $\{\{125, 64, 22\}, \{127, 75\}, \{122, 87\}, \{105, 68, 30\}\}$.

### 4 Experiments

We ran experiments for $n$ from 40 to 60 and $k$ from 3 to 12. \(^1\)

Generally, for these values of $n$, sequential number partitioning (SNP) is the previous state of the art for $k = 3$ to 7, the (Moffitt 2013) algorithm (MOF) for $k = 8$ to 10 and binary-search branch-and-cut-and-price (BSBCP) for $k = 11$ and 12. \(^2\) We compare cached iterative weakening (CIW) to SNP for $k \leq 7$, to MOF for $k$ from 8 to 10 and to BSBCP for $k = 11$ and 12. For each combination of $n$ and $k$, we generated 100 problem instances. Each instance consists of $n$ integers sampled uniformly at random in the range $[1, 2^{48} - 1]$ in order to generate hard instances without perfect partitions (Korf 2011). These are the first published results for high-precision number partitioning with $n > 50$. All experiments were run on an Intel Xeon X5680 CPU at 3.33GHz.

For a particular $k$, since the previous state of the art depends on $n$, one might infer that a hybrid recursive algorithm that uses one of SNP, MOF or BSBCP for recursive calls depending on $n$ and $k$ would outperform the individual algorithms. However, (Korf, Schreiber, and Moffitt 2013) shows that the hybrid algorithm in fact does not significantly outperform the best of the individual algorithms.

For CIW, we need to choose a value for $m$, the number of subsets to initially generate during the precomputing phase (section 3.2). Ideally, we want $m$ to be exactly the number of subsets in the range $[\text{perfect}, \text{C}^*]$, but we do not know this number in advance. If $m$ is set too small, CIW will have to run ESS multiple times. If $m$ is set too large, CIW will waste time generating subsets in the precomputing step that

\(^1\) Benchmarks at https://sites.google.com/site/elsbenchmarks/.

\(^2\) There are exceptions for ($n \leq 45; k=7$), where MOF is faster than SNP and ($n \leq 46; k=11$), where MOF is faster than BSBCP.
For each combination of \( n \) and \( k \), we initially set \( m \) to 10,000. Call \( m_i \) the number of sets in the range \([1, m_i]\) for problem instance \( i \). After instance 1 is complete, \( m \) is set to \( m_1 \). After instance \( i \) is complete, \( m \) is set to the max of \( m_i \) through \( m_n \). The values of \( m \) used ranged from 24 for the second instance of \( n = 56; k = 3 \) to 180,085 for the last eight instances of \( n = 59; k = 12 \).

Table 1 compares CIW to SNP for \( k \) from 3 to 7. Each row corresponds to a value of \( n \). There are three columns for each value of \( k \). The first two columns report the average time to partition \( n \) integers into \( k \) subsets over 100 instances using CIW and SNP respectively. The third column is the ratio of the run times of CIW to SNP.

CIW is faster than SNP for \( k \geq 4 \) with the exception of \( (n \leq 42; k = 4) \). SNP is faster than CIW for \( k = 3 \). For fixed \( n \), SNP tends to get slower as \( k \) gets larger while CIW tends to get faster as \( k \) gets larger. For \( 5 \leq k \leq 7 \), the ratios of the run times of SNP to CIW tend to grow as \( n \) gets larger, suggesting CIW is asymptotically faster than SNP. For \( k = 3 \) and \( 4 \), there is no clear trend. The biggest difference in the average run times is for \((n = 58; k = 7)\) where SNP takes 94.7 times longer than CIW.

Table 2 shows data in the same format as table 1 but for \( k \) from 8 to 12 and this time comparing CIW to MOF for \( k \) from 8 to 10 and CIW to BSBCP for 11 and 12. CIW outperforms both MOF and BSBCP for all \( n \) and \( k \). The ratios of the run times of MOF to CIW and BSBCP to CIW grow as \( n \) gets larger for all \( k \), again suggesting that CIW is asymptotically faster than MOF and BSBCP. The biggest difference in the average run times of MOF and CIW is for \((n = 60; k = 10)\) where MOF takes 429 times longer than CIW. The biggest difference for BSBCP is for \((n = 60; k = 11)\) where BSBCP takes 250 times longer than CIW.

There is memory overhead for CIW due to both ESS and the CIE trees, proportional to the number of subsets with sums in the range \([\text{perfect}, C^*]\). All of the experiments require less than 4.5GB of memory and 95% require less than 325MB. However, it is possible that with increased \( n \), memory could become a limiting factor as well as time. Better understanding and reducing the memory usage is the subject of future work.

For each value of \( k \), we are showing a comparison of CIW to the best of SNP, MOF and BSBCP. If we compared CIW to any of the other two algorithms, the ratio of the run time of the other algorithms to CIW would be even higher, up to multiple orders of magnitude more.

5 Conclusions

The previous state of the art for optimally partitioning integers was represented by sequential number partitioning (Korf, Schreiber, and Moffitt 2013), the (Moffitt 2013) algorithm and binary-search branch and cut and price (Dell’Amico et al. 2008; Schreiber and Korf 2013) depending on \( n \) and \( k \). We have presented cached iterative weakening (CIW), which outperforms all algorithms for \( k \geq 4 \).

CIW partitions \( S \) into \( k \) subsets like the previous AI algorithms but has three major improvements. It explores the search space using iterative weakening instead of branch and bound; generates feasible subsets once and caches them instead of at each node of the search tree; and explores subsets in cardinality order instead of an arbitrary order. These improvements make CIW up to two orders of magnitude faster than the previous state of the art.

Number partitioning is sometimes called the “easiest hard problem” (Mertens 2006). As compared to other NP-hard problems, it has very little structure. Nonetheless, there
have been continuous algorithmic improvements of orders of magnitude for solving this problem for over four decades. This leads us to believe that similar gains should be possible for more highly structured NP-hard problems.

References


