Zero-Shot Commonsense Question Answering with Cloze Translation and Consistency Optimization

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Abstract
Commonsense question answering (CQA) aims to test if models can answer questions regarding commonsense knowledge that everyone knows. Prior works that incorporate external knowledge bases have shown promising results, but knowledge bases are expensive to construct and are often limited to a fixed set of relations. In this paper, we instead focus on better utilizing the implicit knowledge stored in pre-trained language models. While researchers have found that the knowledge embedded in pre-trained language models can be extracted by having them fill in the blanks of carefully designed prompts for relation extraction and text classification, it remains unclear if we can adopt this paradigm in CQA where the inputs and outputs take much more flexible forms. To this end, we investigate four translation methods that can translate natural questions into cloze-style sentences to better solicit commonsense knowledge from language models, including a syntactic-based model, an unsupervised neural model, and two supervised neural models. In addition, to combine the different translation methods, we propose to encourage consistency among model predictions on different translated questions with unlabeled data. We demonstrate the effectiveness of our methods on three CQA datasets in zero-shot settings. We show that our methods are complementary to a knowledge base improved model, and combining them can lead to state-of-the-art zero-shot performance. Analyses also reveal distinct characteristics of the different cloze translation methods and provide insights on why combining them can lead to great improvements. Code/dataset is available at https://github.com/PlusLabNLP/zero_shot_cqa.

Introduction
Commonsense knowledge consists of widely known facts that humans use to reason and react to everyday situations. Recently, empowering machines with such commonsense reasoning abilities has become an active research topic (Lin et al. 2019; Bosselut et al. 2019; Lv et al. 2020) and various commonsense question answering (CQA) benchmarks have been constructed (Zellers et al. 2018; Sap et al. 2019a; Zellers et al. 2019). Different from other types of QA tasks, CQA usually does not require domain-specific knowledge and sophisticated natural language understanding. Rather, it relies on inference over implicit commonsense knowledge that is not given in the QA contexts.

To tackle this problem, researchers have attempted to construct commonsense knowledge bases (Vrandečić and Krötzsch 2014; Speer, Chin, and Havasi 2017; Sap et al. 2019a), which can be integrated into downstream models (Bosselut, Le Bras, and Choi 2019). However, knowledge bases are often limited to a pre-defined set of relations and are expensive to construct. On the other hand, language models (LMs; e.g. Devlin et al. 2019; Liu et al. 2019; Lan et al. 2019) pre-trained on large textual corpora are easy to extend to more data and allow users to query about an open class of relations. In addition, it has been demonstrated that pre-trained LMs contain a certain amount of world knowledge implicitly (Roberts, Raffel, and Shazeer 2020; Talmor et al. 2020) which can be extracted by having LMs fill in the blanks of carefully designed prompts (Petroni et al. 2019; Zhou et al. 2020; Jiang et al. 2020). However, these previous work only focuses on the settings where there is a fixed set of relations or output classes, thus knowledge can be induced by designing a limited amount of hand-crafted or automatically-generated rules. For example, to obtain a birthplace of one person X, we can just have an LM fill in the blank of ‘X was born in _’. In contrast, natural questions are much more flexible and it is non-trivial to design general rules to transform different natural questions into cloze forms. How to better solicit implicit knowledge in the pre-trained LMs for CQA is an open question and no previous work has explored cloze translation for CQA to our knowl-
In this paper, we propose to better exploit the knowledge embedded in LMs for CQA by translating natural commonsense questions into “fill-in-the-blank” cloze sentences (see Figure 1 for an example). We investigate four translation methods, including 1) a syntactic-based model that performs a sequence of syntactic transformations on the source questions; 2) an unsupervised neural sequence-to-sequence (seq2seq) model that does not require any natural-cloze question pairs inspired by Lewis, Denoyer, and Riedel (2019); 3) a supervised seq2seq model (Lewis et al. 2020) that is trained on our constructed dataset of natural-cloze question pairs; 4) a sentence tagging model (Omelianchuk et al. 2020) that performs operations such as word insertions and deletions on the source natural questions and transforms them into the target cloze questions. In addition, to combine the strengths of different translation models, we propose a consistency optimization objective which encourages the consistency between model predictions on the different translated cloze questions of the same instance using only unlabeled data.

We mainly focus on the zero and few-shot settings as commonsense QA should be questions that any human can answer without specific training, so we want to equip models with similar ability. Moreover, these settings are robust measures of the models’ general reasoning abilities (Ma et al. 2020). We experiment on three CQA datasets, including CommonsenseQA (Talmor et al. 2019), OpenbookQA (Mihaylov et al. 2018), and SocialIQA (Sap et al. 2019b). Results demonstrate that our cloze translation methods can achieve significant improvements on both zero and few-shot settings, and the consistency optimization objective can lead to further improvements. In addition, we show that our methods are complementary to a state-of-the-art knowledge base improved method and can bring extra gains. Analyses provide insights on distinct characteristics of the different cloze translation methods and why combining them can lead to greater improvements. Finally, we demonstrate that our methods can be beneficial in high-resource settings when the models are trained with both natural questions and our translated cloze-form queries.

Methods

We first present four different cloze translation methods, discuss how we make use of the cloze questions, then illustrate how we combine them using consistency optimization on unlabeled data.\footnote{We focus on multiple-choice commonsense question answering. Formally, given a natural question $q$ and a set candidate answers $\{a_i\}$, the model needs to select the most probable answer.}

Cloze Translation

We investigate four methods for cloze translation:

**Syntactic-Based Rewriting.** Transforming natural questions to cloze questions can be understood as a series of syntactic transformation rules. While it can be nontrivial to design a perfect set of rules (Heilman and Smith 2010), here we adopt some simple heuristics and our general idea is shown in Figure 1. We use the constituency parser in (Joshi, Peters, and Hopkins 2018) to get the part-of-speech tags and syntactic structure of the input questions. The syntactic-based rewriting model does not require any training data, but it can be inflexible as it is hard to take all kinds of natural questions into consideration.

Specifically, we mainly consider two cases in this paper. First, if there exist nodes with the type ‘SQ’ in the input sentence, where ‘SQ’ is defined as the constituent of questions excluding wh-word or wh-phrase, we apply Algorithm 1 on the sentence. To illustrate, Algorithm 1 mainly swaps the first two children of the ‘SQ’ node, then performs an inverse wh-movement on the inputs, and finally replaces the wh-word with the mask token. Note that when doing the wh-word replacement, we replace ‘what’, ‘who’, ‘which’ with ‘[MASK]’; ‘why’ with ‘because [MASK]’; ‘how’ with ‘by [MASK]’; ‘where’ with ‘at [MASK]’; ‘when’ with ‘when [MASK]’.

**Unsupervised Seq2Seq.** Lewis, Denoyer, and Riedel (2019) have shown that we can perform unsupervised cloze translation by training neural seq2seq models with denoising auto-encoding and iterative back-translation objectives on unparallel natural and cloze question data. Their unsupervised cloze translation method (Lewis, Denoyer, and Riedel 2019) borrows some ideas from unsupervised neural machine translation methods (Lample et al. 2018a,b). Concretely, first, they create a cloze question corpus by masking noun phrases and named entities in statements sampled from Wikipedia, and a natural question corpus by mining questions containing some common wh-words from CommonCrawl. Then, they train both cloze-to-natural and natural-to-cloze translation methods with denoising auto-encoding and iterative back-translation objectives as in unsupervised machine translation. The denoising auto-encoding objective first masks some of the tokens in the source questions, and the model is trained to reconstruct the original questions. For the iterative back-translation objective, a target-to-source.
Supervised Seq2Seq. To provide models with supervision, we manually create a dataset of natural-cloze question pairs. Concretely, we manually translate all the natural questions in the original CommonsenseQA training and development data into cloze questions. We create a (8,500/1,221/1,241) split as in our main experiments. It takes a person around 40 hours to construct such a dataset. We sample several examples from the dataset as shown in Table 1. We can see that there exist different kinds of transformations that convert the source tokens to the target tokens. For example, given a source and target sentence ‘A ten years old boy go school’ and ‘A ten-year-old boy goes to school.’, it first pre-processes the pair to convert it to a sequence of transformation rules. Concretely, first, they map each token from the source sentence to subsequence of tokens from the target sentence: [A → A], [ten → ten, -], [years → year, -], [old → old], [go → goes, to], [school → school,]. Then, they will find token-level transformations that convert the source tokens to the target tokens and there is only one transformation for each source token: [A → KEEP], [ten → MERGE_HYPHEN], [years → NOUN_NUMBER_SINGULAR], [old → KEEP], [go → VERB_FORM_VB_VBZ], [school → APPEND_DOT]. Because there is only a single tag for each token, this method is not suitable for all the situations. To solve the problem, they propose to process the pairs iteratively and at each step there is one single tag for each token.

We can see that after the pre-processing, sequence generation is turned to a sequence classification task. Therefore, we can encode the entire input sentence using its encoder and feed the encoded representations to a classifier. The classifier will decide which transformation rule to apply for each token. We refer readers to their codebase3 for more details.

Answer Prediction

Once we have the cloze question $x$ for a natural question $q$, we can replace the mask token in $x$ with each of the candidate answers in $\{a_i\}$, and feed each replaced sentence $r_i = \langle r_{i1}, \ldots, r_{in} \rangle$ to a pre-trained LM. The pre-trained LM model is first used to translate a target question into the source side, then a source-to-target model is trained to output the original target question given the translated source sentence, and this process will be repeated in both directions iteratively. Their model architecture uses a 4-layer Transformer (Vaswani et al. 2017) encoder and a 4-layer Transformer decoder.

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Table 1: Samples from the created cloze translation data.
can assign a score for \( r_i \) with
\[
s_i = \frac{1}{n} \sum_{k=1}^{n} \log p(r_{ik} | r_i),
\]
and \( r_i \) with the highest score is treated as our prediction.\(^4\) We can apply softmax on the resulting scores \( \{s_i\} \) to get the prediction probabilities.

**Consistency Optimization**

The above methods can generate different cloze questions \( \{x_j\} \) for \( q \). Instead of picking a single one for LMs, we propose a consistency optimization objective to combine them with unlabeled data.

Inspired by work on multi-view learning (Wang, Ruder, and Neubig 2021), we encourage the consistency between predictions on different cloze translations for the same question. For each training instance, we first ensemble the model predictions: formally, given each cloze question \( x_j \) and its candidate answers \( \{a_i\} \), we obtain the score \( \{s_{ij}\} \) for \( \{a_i\} \) as in the previous section, then apply softmax on \( \{s_{ij}\} \) to get the prediction probabilities \( \{p_{ij}\} \); then, we sum the probabilities for each answer from different translation as \( p_i = \sum_j p_{ij} \) and take the answer with the highest probability as the ensemble prediction \( a^* \).

We then treat \( a^* \) as the pseudo-label to supervise the LM in a self-training manner. Concretely, we fine-tune the LM to maximize the probability of \( x_j \) with its mask token replaced with \( a^* \) while minimizing the probability of all the other candidate answers in \( \{a_i\} \) with the cross-entropy loss.

Note that the consistency optimization objective does not need any gold labels, making it possible to be integrated into the zero-shot and few-shot settings.

**Experiments**

We experiment on CommonsenseQA (Talmor et al. 2019), OpenbookQA (Mihaylov et al. 2018), and SocialIQA (Sap et al. 2019b). Because the standard test set labels of CommonsenseQA are unavailable, we create a \((8,500/1,221/1,241)\) split for (train/dev/test) following Lin et al. (2019); Wang et al. (2020). We use the standard splits for the other datasets. We compare with two methods that do not use any knowledge base, including 1) natural questions (‘Base’), which are directly concatenated with each answer choice and then fed to pre-trained LMs; 2) self-talk (Schwartz et al. 2020), which gets additional background for commonsense questions by querying LMs. We also report the results of Ma et al. (2020), which is a state-of-the-art zero-shot CQA model using knowledge bases to construct CQA datasets automatically. We use ALBERT-xxlarge-v2 (Lan et al. 2019) as the base LM. We will illustrate the details of our experimental setup in the following paragraphs.

\(^4\)We find that averaging the output logits is sometimes better than averaging the log probabilities, and in the experiments we select the best strategy based on the development set.

**Experimental Setup**

**Datasets.** For the CommonsenseQA dataset (Talmor et al. 2019), because its test set is not publicly available, the predictions for it can only be evaluated once every two weeks via the official leaderboard. Therefore, following previous work (Lin et al. 2019; Wang et al. 2020), we separate the training data into training and test sets consisting of 8,500 and 1,241 instances respectively. We use the standard development set consisting of 1,221 instances. The OpenbookQA (Mihaylov et al. 2018) dataset consists of 5,957 multiple-choice questions with 4,957 training, 500 development, 500 testing instances. While it provides a small “book” of 1,326 core science facts, we do not include this additional information because our focus is on the implicitly learned knowledge in pre-trained language models. The SocialIQA (Sap et al. 2019b) dataset contains 33,410 training, 1,954 development, 2,224 testing instances, the aim of which is to probe the emotional and social intelligence of models in a variety of everyday situations.

**Cloze Translation Methods.** For the unsupervised cloze translation method, we use the pre-trained model (sentence cloze boundaries, named entity answers) provided by Lewis, Denoyer, and Riedel (2019). For the seq2seq model, we follow the setting in text summarization on XSUM and fine-tune the BART-Large model on the training set of our cloze data for 15k steps with a batch size of 16,384 tokens and a learning rate of 3e-5. For the sequence tagging model, we fine-tune the RoBERTa-based GECToR model on our cloze translation data with default parameters.\(^5\) We select the models that achieve the best BLEU scores on the development set for cloze translation.

**Consistency Optimization.** For the consistency optimization objective, we use the training data of each dataset without using their labels. We encourage the model prediction consistency on the data generated by syntactic-based rewriting, supervised seq2seq, and supervised sequence tagging model. The models are trained with a learning rate of 1e-5 for 2k/1k/2k steps for CommonsenseQA/OpenbookQA/SocialIQA respectively.

**Zero-shot Settings.** In the zero-shot settings, for the baseline ALBERT-xxlarge-v2 model, we directly concatenate the questions and answers together, and feed the concatenated sentences to the model to get the language modeling scores. For the self-talk baseline, we try both GPT2-Large and ALBERT-xxlarge-v2 for querying the external contexts and getting the language modeling scores using the default parameters. For Ma et al. (2020), we use their constructed CWWV data that utilizes three knowledge bases: ConceptNet, WordNet, and Wikidata, then we train both ALBERT-xxlarge-v2 and RoBERTa-Large on the CWWV data with their default parameters.

**Few-shot Settings.** For the few-shot settings, we randomly sample 16/32/64/128 datapoints from the training data and fine-tune ALBERT-xxlarge-v2 on both the natural

\(^5\)https://github.com/grammarly/gector
Table 2: Example failure cases of our translation methods sampled from the CommonsenseQA dev set.

<table>
<thead>
<tr>
<th>Method</th>
<th>Natural Question</th>
<th>Cloze Question</th>
</tr>
</thead>
<tbody>
<tr>
<td>Syntactic-based</td>
<td>Where is a good idea but not required to have a fire extinguisher?</td>
<td>But is a good idea not required to have a fire extinguisher at [MASK].</td>
</tr>
<tr>
<td>Unsup. Seq2Seq</td>
<td>What island country is ferret popular?</td>
<td>The island country is a popular ferret in [MASK].</td>
</tr>
<tr>
<td>Sup. Seq2Seq</td>
<td>Blue read material outside of his comfort zone because he wanted to gain what?</td>
<td>James read material outside of his comfort zone because he wanted to gain [MASK].</td>
</tr>
<tr>
<td>Sup. Tag</td>
<td>Where is a human likely to go as a result of being hungry?</td>
<td>A is likely to go to [MASK] as a result of being hungry.</td>
</tr>
</tbody>
</table>

Table 3: Accuracy (%) in zero-shot settings. ‘*’ indicates that we run the experiments three times with different random seeds. For self-talk and Ma et al. (2020), we try both ALBERT and the best LMs used in their papers. The best scores are in **bold**.

and cloze translated data. Similar to the consistency optimization objective, a question is concatenated with each answer choice and then fed to the model to get its corresponding score. The scores for all the choices are then normalized using softmax to get the prediction probability for the choices, then we use the cross-entropy loss on the prediction probability to train the model. The learning rate is set to 1e-5 and the number of epoch is set to 10 or 20, selected on the development sets. We run the experiments three times with different random seeds for each setting.

**Main Results**

In this section, we will present the main results of our methods in both zero- and few-shot settings.

**Methods without Knowledge Base**  We first compare with methods that utilize knowledge base. Table 3 shows that cloze translation can significantly across settings (one exception is unsupervised seq2seq on SocialIQA), demonstrating that the knowledge in LMs can indeed be better extracted with cloze questions. Unsupervised seq2seq is the least effective translation method, potentially due to its lack of supervision. Our models cannot outperform self-talk on SocialIQA, possibly because self-talk manually designs task-specific question transformation rules for SocialIQA, which inserts strong supervision into their models.

We then combine all the translation methods except unsupervised seq2seq. We find that directly ensembling them as in Section cannot always lead to improvements, but consistency optimization can improve the performance by a large margin across settings. This demonstrates that it is highly nontrivial to combine the strengths of different translation methods and our designed objective is one very effective way of combining them. Note that after using the consistency optimization objective, our method can even achieve comparable performance with Ma et al. (2020) that leverages external knowledge base on two datasets.
The supervised methods are more much flexible. However, the supervised seq2seq method can sometimes generate unfaithful outputs. Interestingly, in Table 2, it replaces the person name ‘Blue’ in the original question with ‘James’, possibly because ‘James’ appears more frequently in the training data. Note that even though the output is unfaithful, it does not affect the correct answer choice. For the supervised tagging model, because it mainly deals with word deletions and insertions, sometimes over-deletions or insertions may occur, resulting in unfaithful or unnatural sentences. But it should be noted that the supervised tagging method can generate more faithful outputs than the seq2seq method, confirming our previous hypothesis.

We can see that different cloze translation methods have rather distinct characteristics, indicating that the translation outputs can be rather diverse, which can be the reason why our consistency optimization objective can greatly improve the model performance across settings as in Table 3.

**High-resource Settings.** We also test the model performance on natural and cloze questions in high-resource settings where all the labeled training data are used. In the high-resource settings, we fine-tune ALBERT-xlarge-v2 on both the natural and cloze translated data with all the training data. The models are trained with a learning rate of 1e-5 for 2k/1k/2k steps for CommonsenseQA/OpenbookQA/SocialIQA. For the ensemble, we apply softmax on the prediction scores for each model, and add the prediction probabilities together. We try to ensemble 3 models trained on natural data, 3 models trained on cloze data, and 2 models trained on natural and cloze data respectively.

As in Figure 3, our model (‘1 Rule’) cannot always outperform the baseline (‘1 Base’). We hypothesize that this is due to the translation errors as we have analyzed in the previous part. Concretely, the translation errors can alter the meaning of the original questions, and training on these noisy data can lead to degraded performance. However, because of the diversity among different translation methods, we can ensemble each of the models trained on different data (‘1 Base + 1 Rule’), which is better than ensembling 3 models trained on the same data with different random seeds (‘3 Base’ and ‘3 Rule’).
LMs, while only the probability of words appearing after [MASK] are changed for unidirectional LMs. For example, cloze translation improves GPT2 the least possibly because it is unidirectional and only the probability of words succeeding [MASK] are affected. Similarly, consistency training improves T5 marginally because it is a sequence-to-sequence model and the target side are the label words. Therefore, only the label word probabilities will be affected which can limit the model performance.

**Related Work**

**Commonsense Question Answering.** Researchers have created different benchmarks (Zellers et al. 2018; Zhou et al. 2019; Sakaguchi et al. 2020; Sap et al. 2019a; Zellers et al. 2019; Talmor et al. 2019; Lin et al. 2020; Bisk et al. 2020; Sap et al. 2019b), which motivates the research on commonsense question answering. Most previous work on commonsense question answering tries to incorporate knowledge base during training (Bosselut, Le Bras, and Choi 2019; Bosselut et al. 2019; Ye et al. 2019; Ma et al. 2020) or during inference (Bauer, Wang, and Bansal 2018; Lin et al. 2019; Xu et al. 2021; Lv et al. 2020; Wang et al. 2020). For example, Ma et al. (2020) use knowledge bases to automatically construct data for commonsense question answering and train the models on their constructed datasets. Xu et al. (2021) try to fuse knowledge into models by having the models being able to attend to knowledge bases such as ConceptNet. Different from these methods, we focus on better utilizing the knowledge embedded in pre-trained LMs.

Recently, Shwartz et al. (2020) propose a self-talk framework that can induce commonsense knowledge from LMs by iteratively querying them to discover additional background knowledge given a question. They also manually design dataset-specific rules for cloze translation. In this paper, we treat it as our baseline and take a step further, developing more principled ways of cloze translation and achieving better performance than their methods.

**Knowledge Exploitation from Pre-trained Language Models.** Pre-trained language models (Devlin et al. 2019; Liu et al. 2019; Lan et al. 2019) have been demonstrated impressive performance across natural language processing tasks. The implicitly stored knowledge during pre-training can benefit the model on downstream tasks and there have been several papers on evaluating the embedded knowledge in pre-trained language models (Petroni et al. 2019; Roberts, Raffel, and Shazeer 2020; Talmor et al. 2020; Zhou et al. 2020). This property has been used to solve text classification, natural language inference, relational classification tasks in zero-shot settings by having LMs fill in the blanks of cloze questions (Jiang et al. 2020; Schick and Schütze 2021) or predict the continuation to prompts (Brown et al. 2020; Gao, Fisch, and Chen 2021). For example, Jiang et al. (2020) automatically design several translation rules for extracting 41 different relations and try to ensemble different translation results. We refer the readers to Liu et al. (2021) for a more comprehensive survey.

However, these previous work usually focuses on the settings where there are only a fixed set of relations or output classes, and knowledge can be induced by designing a limited amount of hand-crafted or automatically-generated rules, thus these methods cannot be directly applied in commonsense question answering. In this paper, we investigate if this paradigm can also be applied in commonsense question answering and examine ways of adapting natural questions for pre-trained LMs by cloze translation.

**Conclusions**

We aim to better utilize the implicitly learned knowledge in pre-trained LMs for commonsense question answering by natural-to-cloze question translation. To this end, we construct a dataset of natural-question pairs and investigate four translation methods. In addition, we demonstrate that different translation methods have distinct characteristics and we propose a consistency optimization objective to combine the strengths of different translations using unlabeled data. We demonstrate the effectiveness of our methods in zero and few-shot settings and show that our methods are complementary to a state-of-the-art knowledge base method.

In the future, we can investigate more cloze translation methods and develop better ways of utilizing the translated questions. Also, so far we only perform experiments on English datasets and our syntactic-based method is specifically designed for English questions, thus it can be interesting to see if our methods can generalize to other languages.
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